



Immunotherapy in Lung Carcinoma

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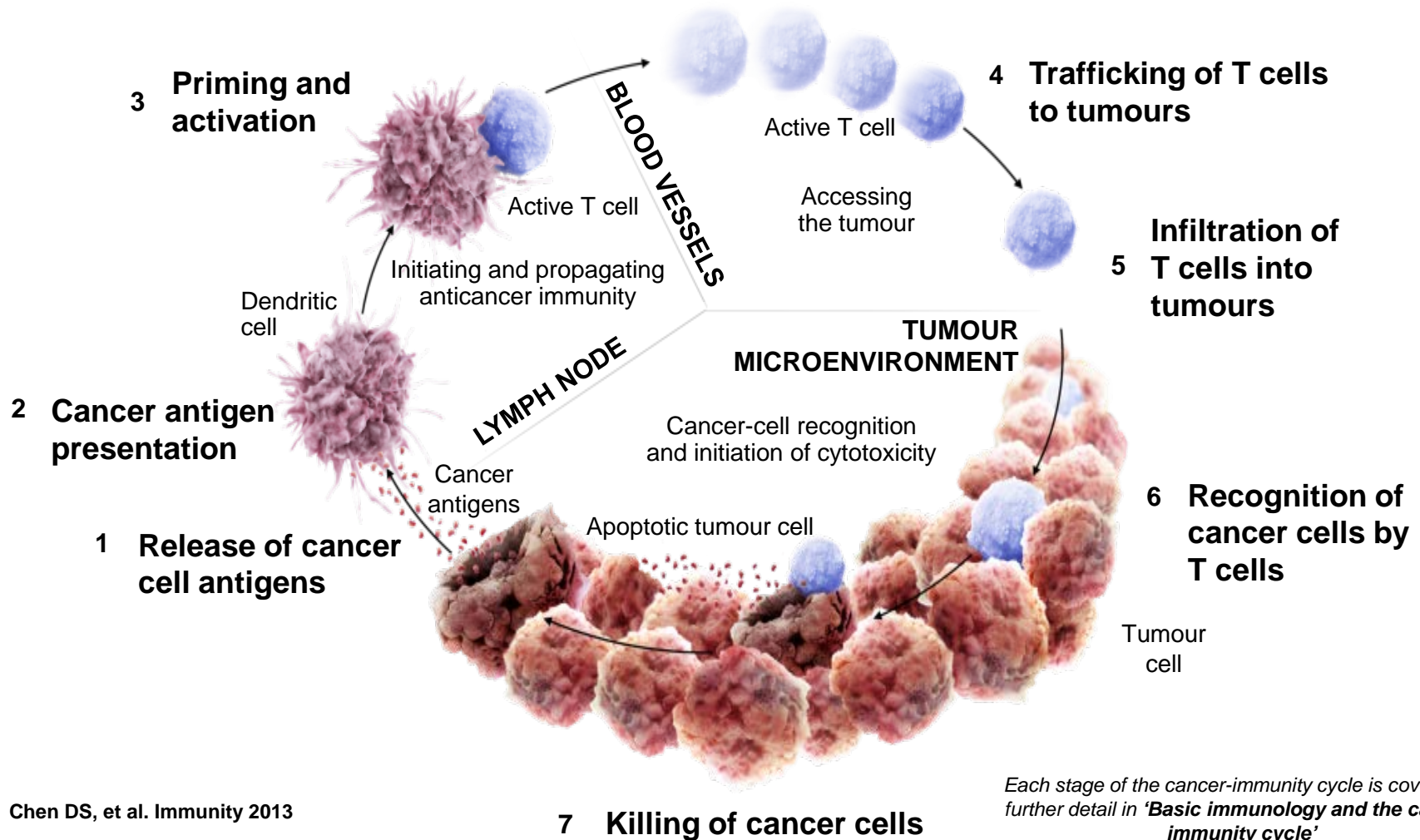
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- **The information is presented for the purpose of scientific knowledge exchange only.**

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- **Writing assistance from Boehringer Ingelheim, Pfizer and BMS**
- **Share holder in CARP pharmaceuticals**

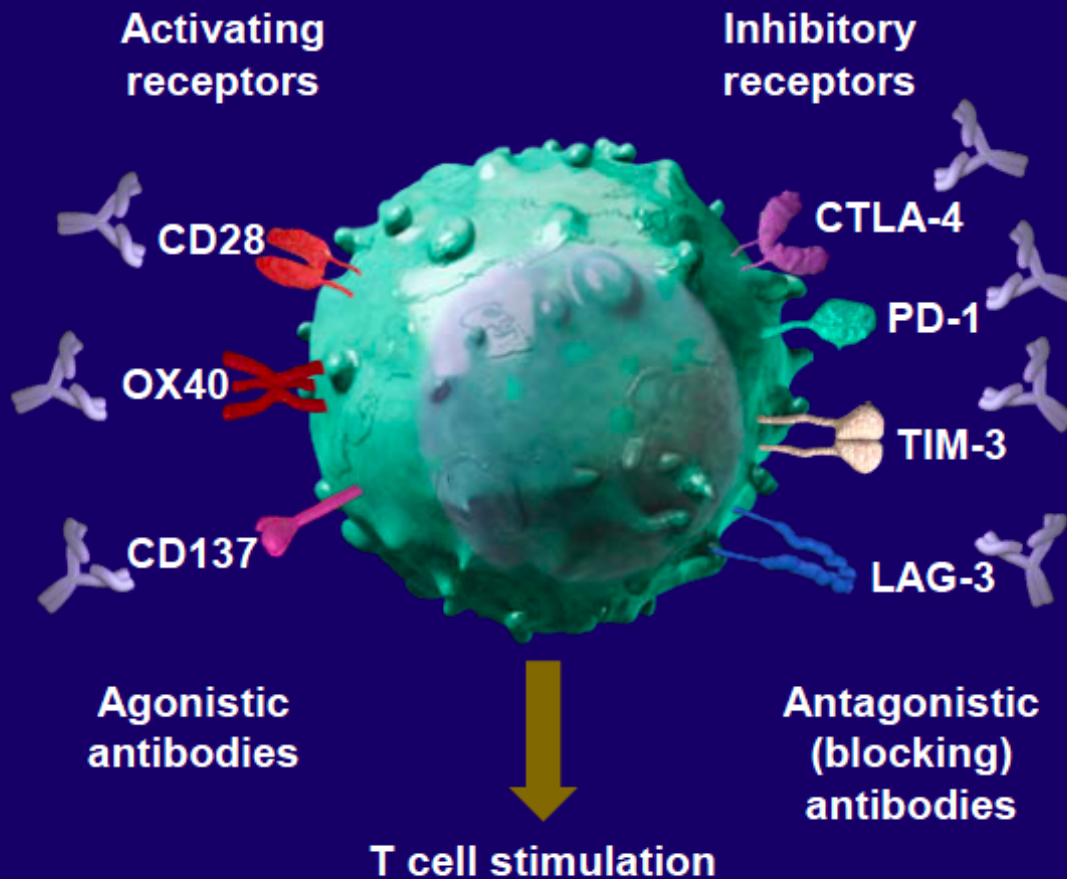
For an anticancer immune response to lead to effective killing of cancer cells, a series of stepwise events must be initiated and allowed to proceed and expand iteratively

The cancer-immunity cycle



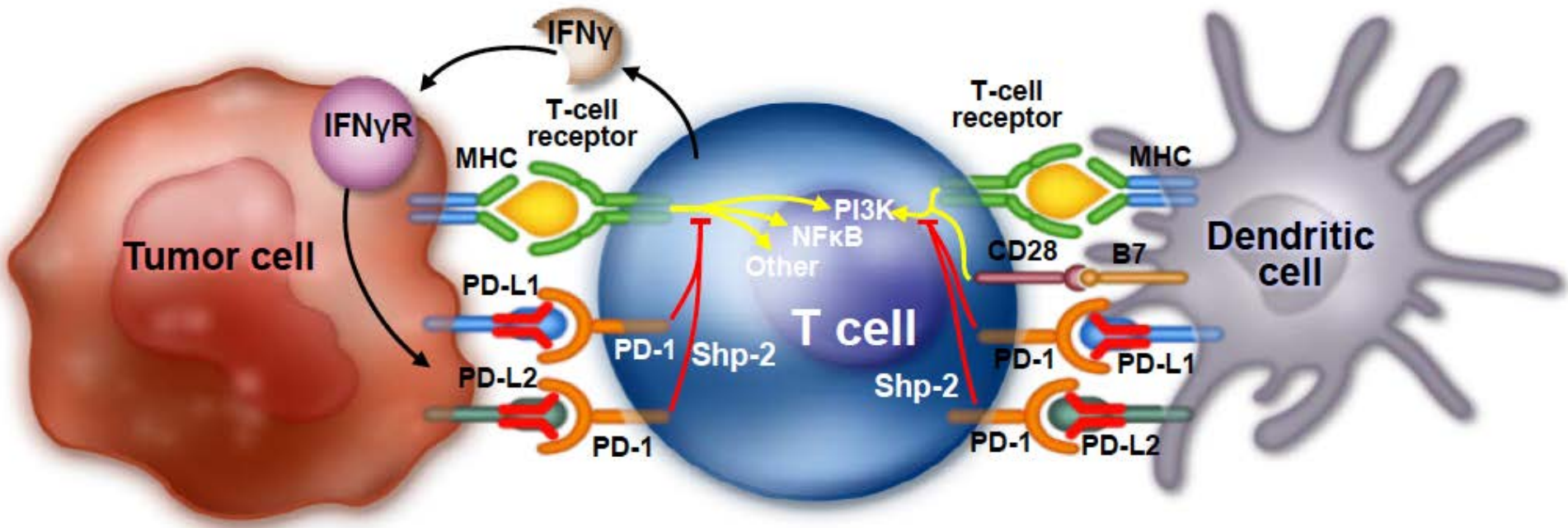
Each stage of the cancer-immunity cycle is covered in further detail in '[Basic immunology and the cancer-immunity cycle](#)'

Regulating the T cell immune response



- T cell responses are regulated through a complex balance of inhibitory ('checkpoint') and activating signals
- Tumours can dysregulate checkpoint and activating pathways, and consequently the immune response
- Targeting checkpoint and activating pathways is an evolving approach to cancer therapy, designed to promote an immune response

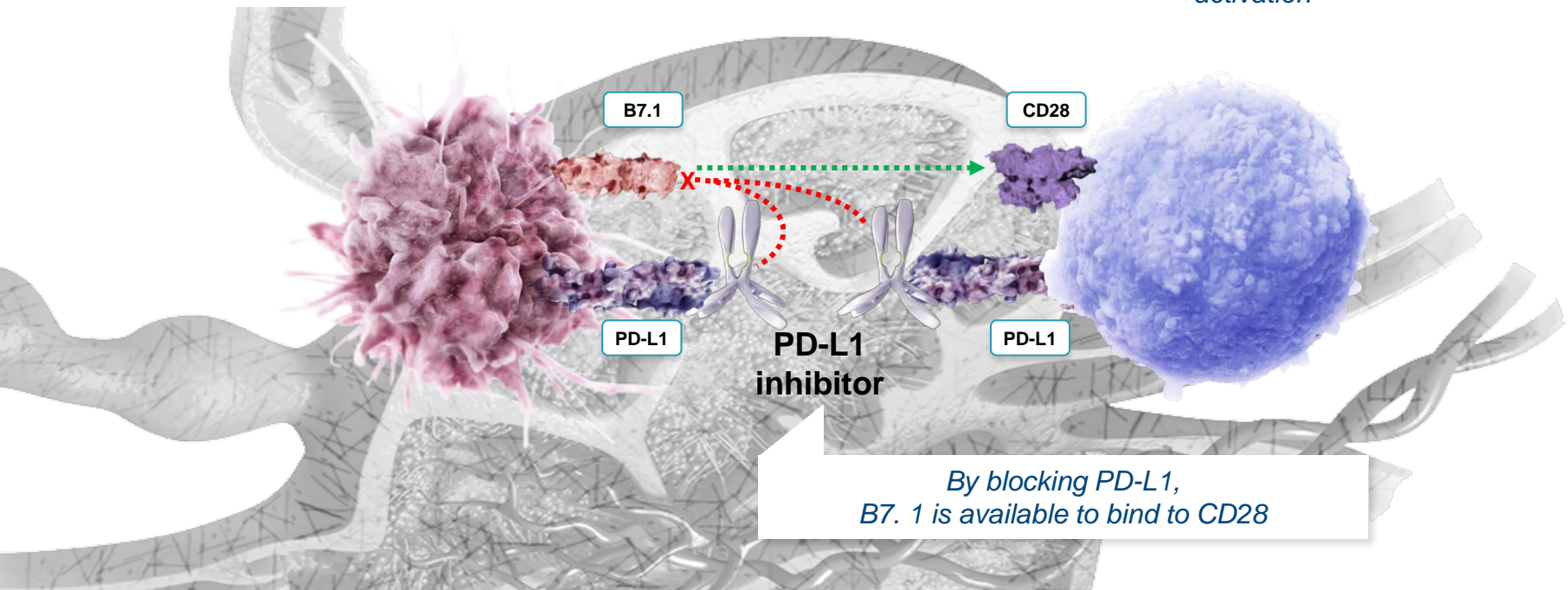
Anti-PD1-Monoclonal Antibody Mechanism of Action



In the lymph node

PD-L1 inhibitor (e.g. atezolizumab) can enhance T-cell priming and activation in the lymph node

B7.1 binding to CD28 provides a co-stimulatory signal to T cells for priming and activation

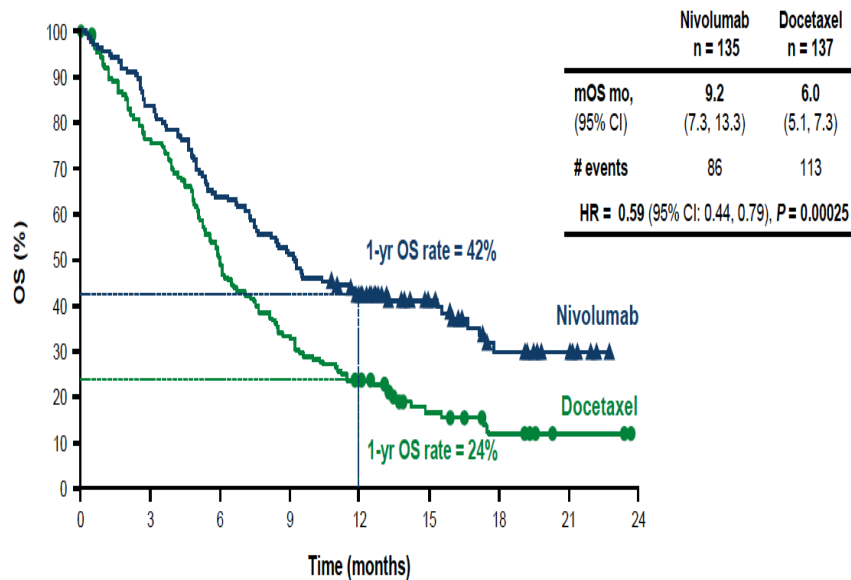


PD-L1 inhibitor can enhance T-cell priming and activation through blocking the interaction of PD-L1 with B7.1

Park JJ, et al. Blood 2010; Paterson AM, et al. J Immunol 2011; Yang J, et al. J Immunol 2011; Chen DS, et al. Clin Cancer Res 2012. Genentech data, submitted

Checkmate 017: Squamous cell cancer

Overall Survival



Number of Patients at Risk

	0	3	6	9	12	15	18	21	24
Nivolumab	135	113	86	69	52	31	15	7	0
Docetaxel	137	103	68	45	30	14	7	2	0

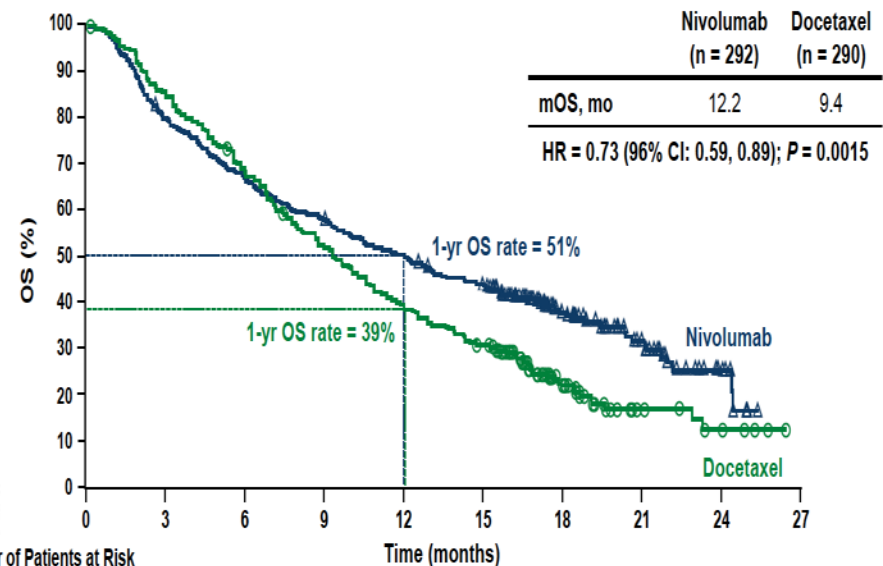
Symbols represent censored observations

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PRESENTED AT: ASCO Annual '15 Meeting

Checkmate 057: Non-Squamous NSCLC

Overall Survival



Number of Patients at Risk

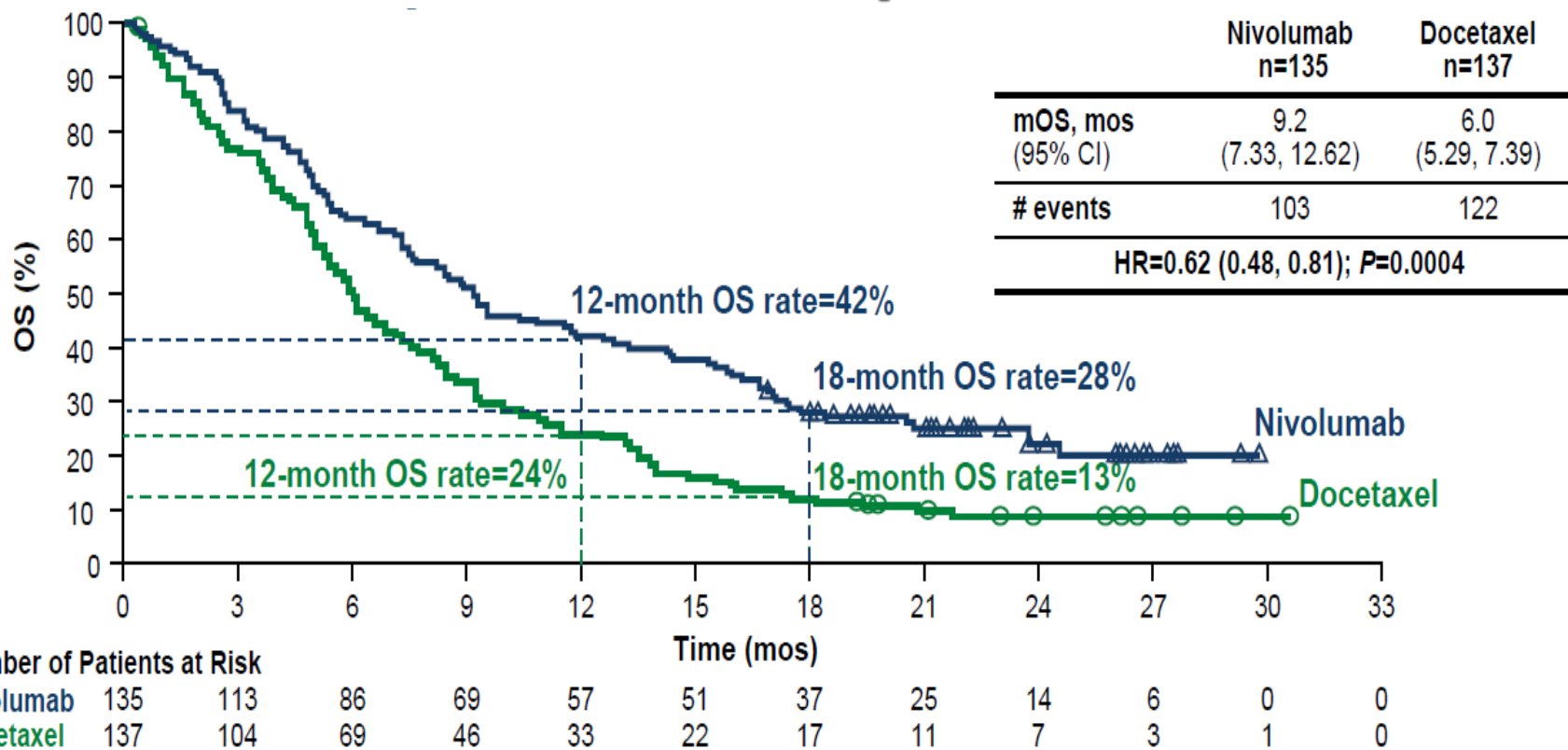
	0	3	6	9	12	15	18	21	24	27
Nivolumab	292	232	194	169	146	123	62	32	9	0
Docetaxel	290	244	194	150	111	88	34	10	5	0

Symbols represent censored observations.

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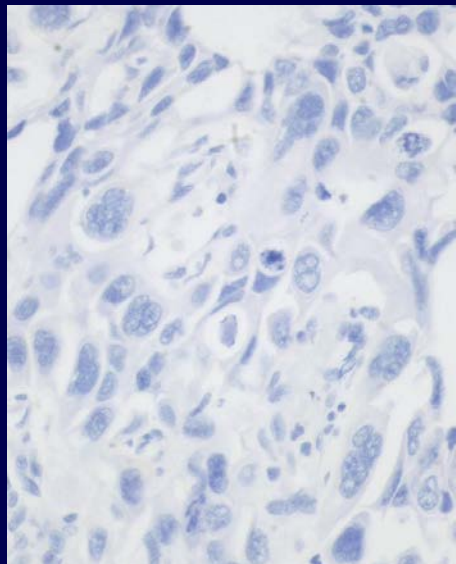
PRESENTED AT: ASCO Annual '15 Meeting

CheckMate 017: updated OS

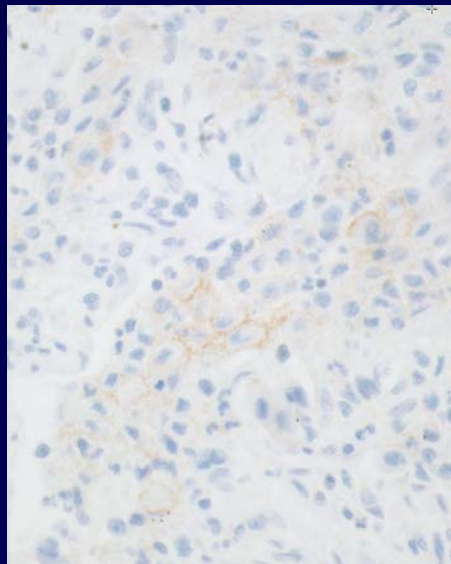


Minimum follow-up for survival: 18 months

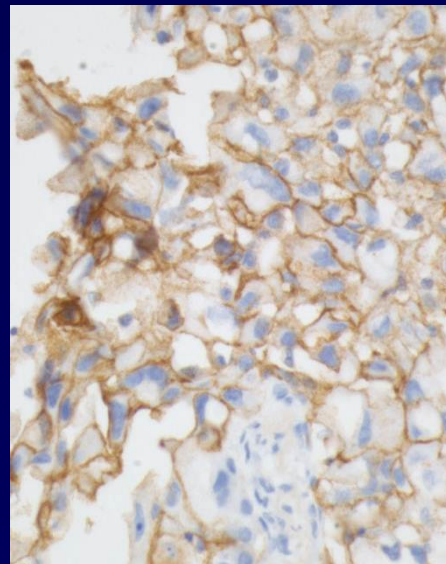
PD-L1 NSCLC Sample Immunohistochemical Staining using the 22C3 antibody



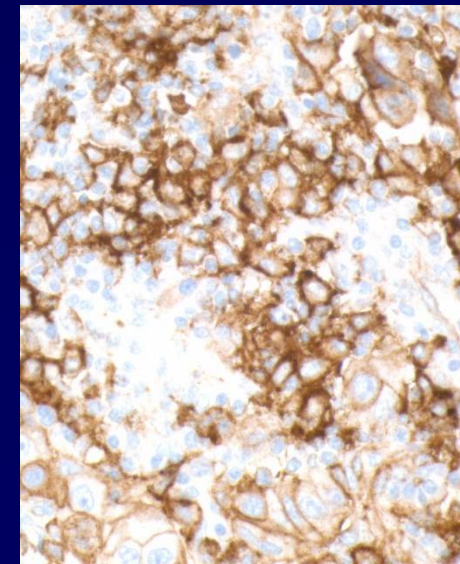
Staining intensity: 0+
PD-L1 = 0% positive



Staining intensity: 1+
PD-L1 = 2% positive



Staining intensity: 2+
PD-L1 = 100% positive



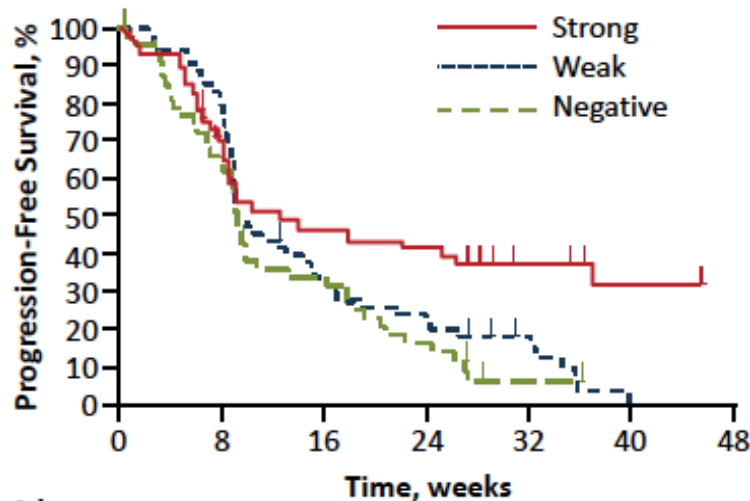
Staining intensity: 3+
PD-L1 = 100% positive

PD-L1-Negative

PD-L1-Positive

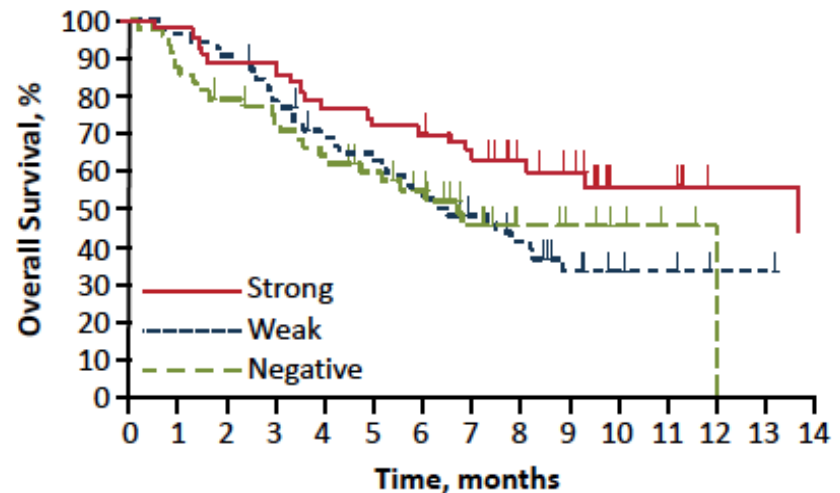
Kaplan-Meier Estimates of Survival

PFS (RECIST v1.1, Central Review)



n at risk	0	8	16	24	32	40	48
Strong	44	28	18	17	9	6	3
Weak	53	43	17	12	6	0	0
Negative	49	30	15	7	1	0	0

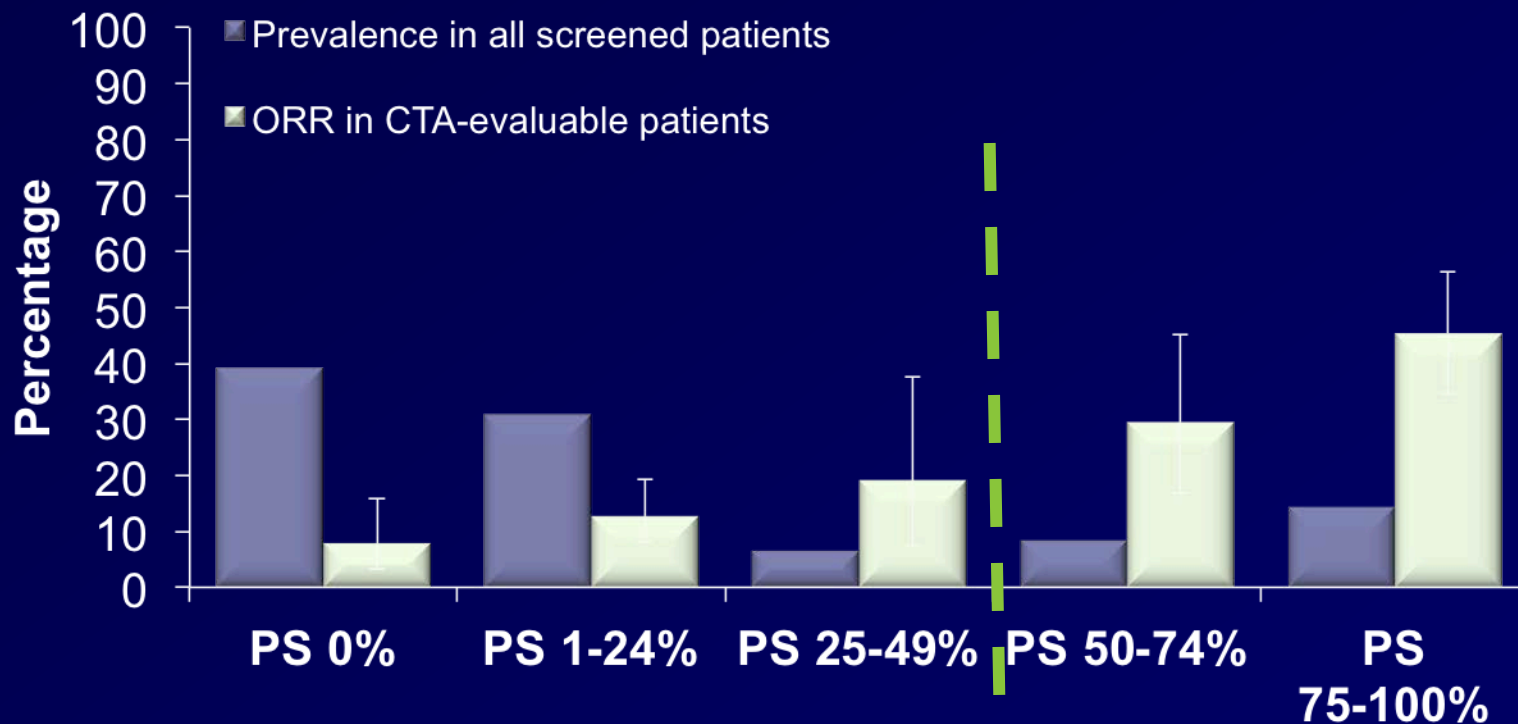
OS



n at risk	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
Strong	44	43	38	38	34	32	30	27	21	18	9	8	5	5	4
Weak	53	51	48	40	34	31	26	22	18	11	8	7	5	5	4
Negative	49	42	38	34	29	26	21	14	8	6	4	2	0	0	0

- PFS was longer in patients with PD-L1 strong-positive versus PD-L1 weak-positive/negative tumors (HR, 0.52; 95% CI, 0.33-0.80)
- OS was longer in patients with PD-L1 strong-positive versus PD-L1 weak-positive/negative tumors (HR, 0.59; 95% CI, 0.35-0.99)

Prevalence of PD-L1 Positivity and ORR by PD-L1 Proportion Score:



Prevalence, all screened patients, n (%)

323 (39.2)

255 (31.0)

55 (6.7)

71 (8.6)

120 (14.6)

ORR in CTA-evaluable patients, n (%) [95% CI]

7 (8.1)
[3.3-15.9]

19 (12.9)
[8.0-19.4]

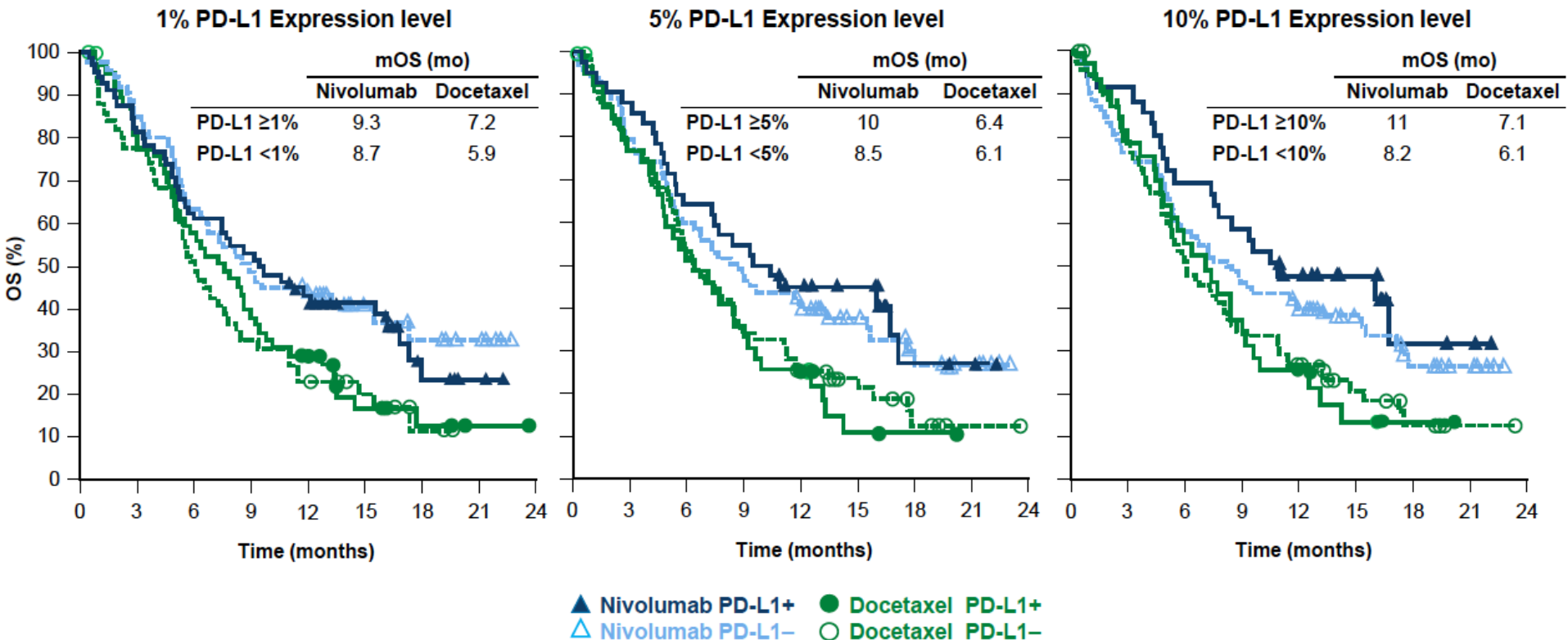
6 (19.4)
[7.5-37.5]

13 (29.6)
[16.8-45.2]

39 (45.4)
[34.6-56.5]

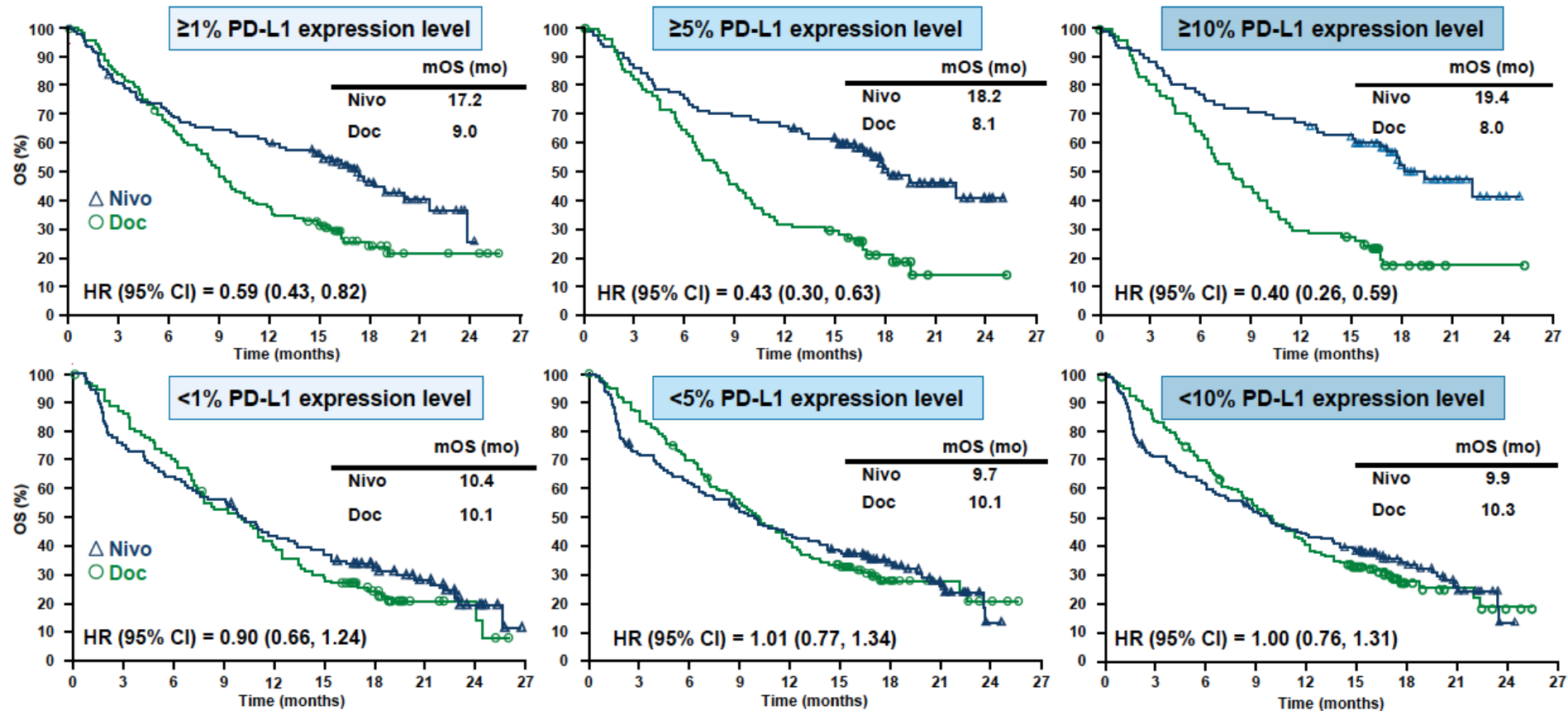
Checkmate 017: Squamous cell cancer

OS by PD-L1 Expression



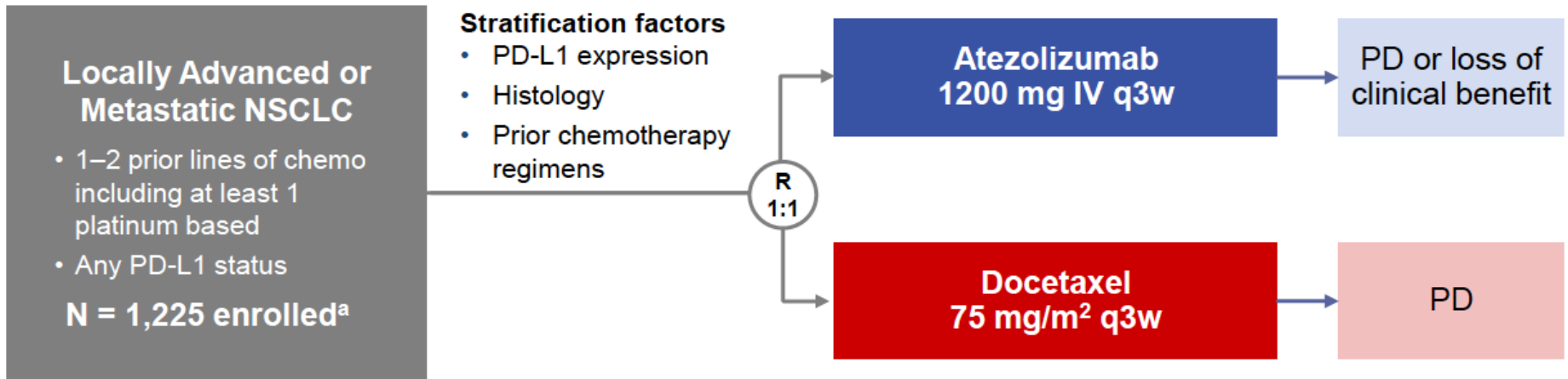
Checkmate 057: Non-Squamous NSCLC

OS by PD-L1 Expression



Symbols represent censored observations.

PHASE III OAK STUDY DESIGN



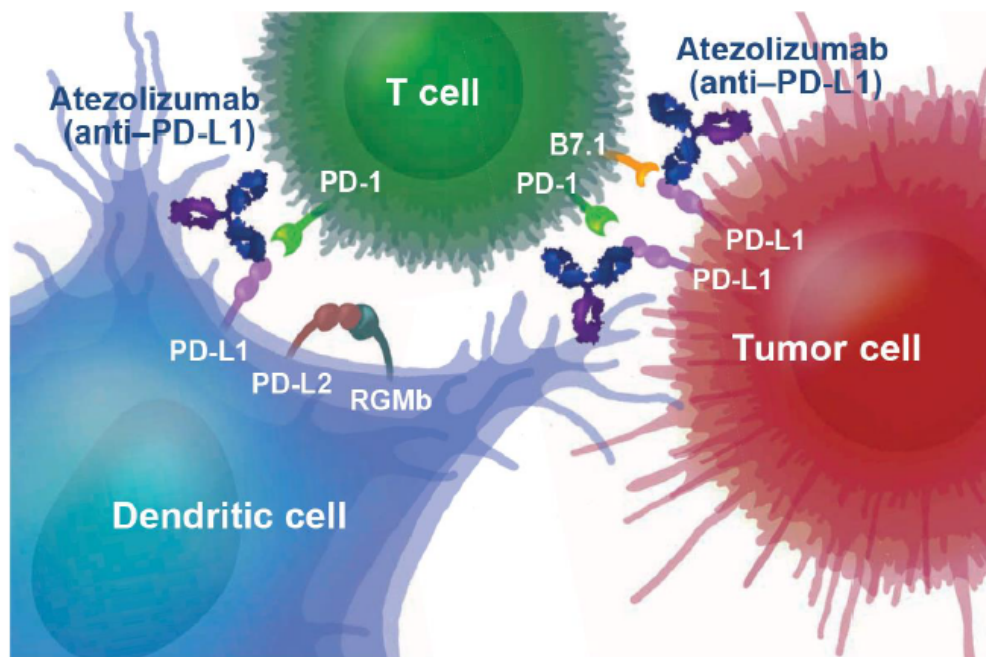
Primary Endpoints (first 850 enrolled patients):

- OS in the ITT population
- OS in patients with PD-L1 expression on $\geq 1\%$ TC or IC

Secondary Endpoints: ORR, PFS, DoR, Safety

^aA prespecified analysis of the first 850 patients provided sufficient power to test the co-primary endpoints of OS in the ITT and TC1/2/3 or IC1/2/3 subgroup ($\geq 1\%$ PD-L1 expression). TC, tumor cells; IC, tumor-infiltrating immune cells.

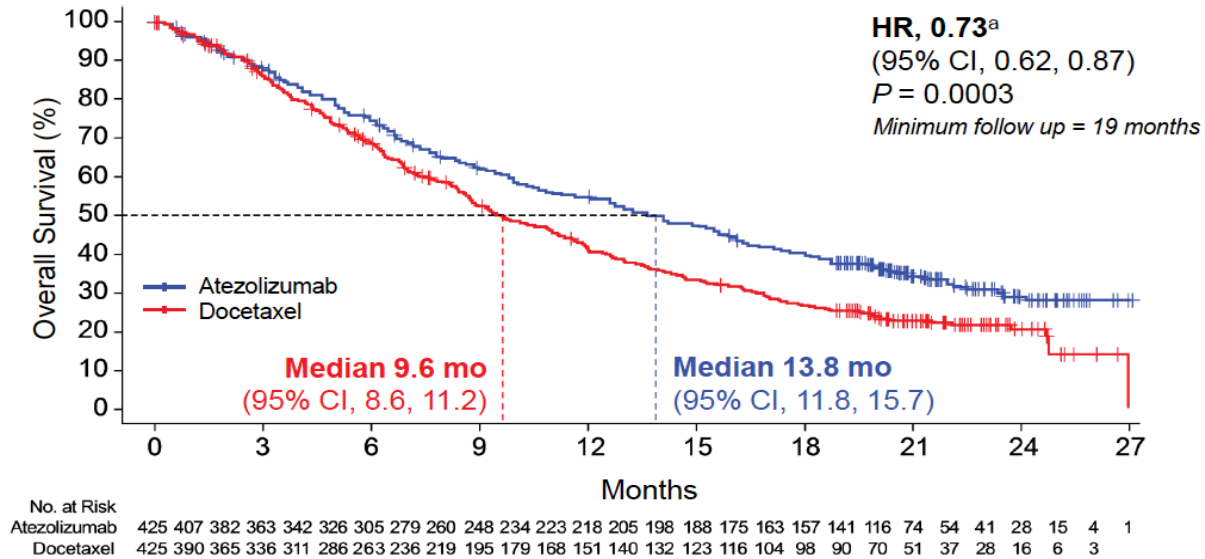
BACKGROUND



- Atezolizumab (anti-PD-L1) is an engineered mAb that inhibits the PD-L1/PD-1 and PD-L1/B7.1 interactions to restore anti-tumor T-cell activity and enhance T-cell priming^{1,2}
- In previously treated NSCLC, atezolizumab improved OS vs docetaxel in the randomized Phase II POPLAR study (median OS 12.6 vs 9.7 mo; HR = 0.69)^{3,4}
- The data from OAK are the first Phase III results for a PD-L1-directed antibody

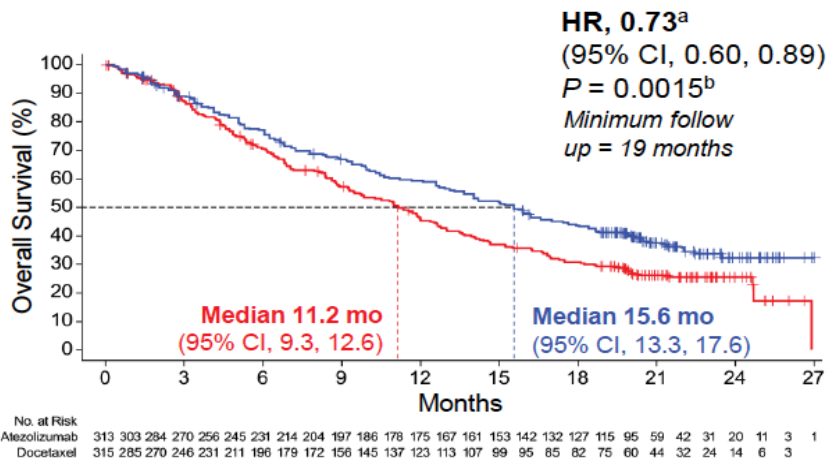
1. Herbst *Nature* 2014. 2 Chen *Immunity* 2013.
3. Fehrenbacher *Lancet* 2016; 4. Smith *J Clin Oncol* 2016.

OVERALL SURVIVAL, ITT (N = 850)

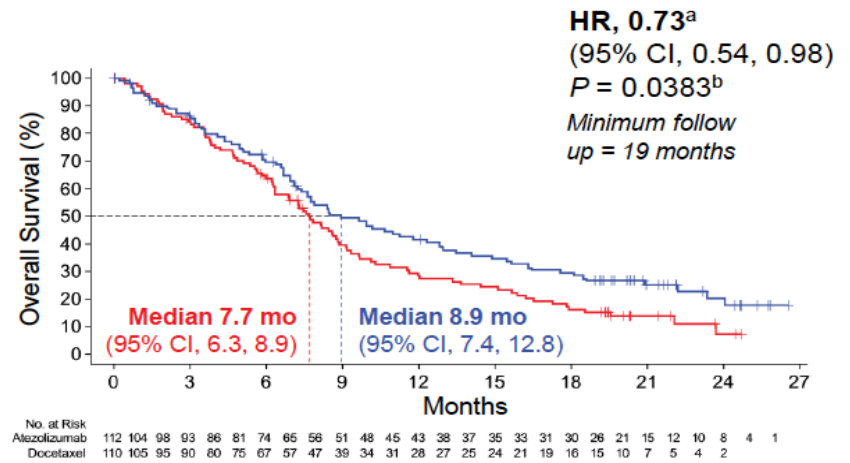


OS BY HISTOLOGY

Non-squamous

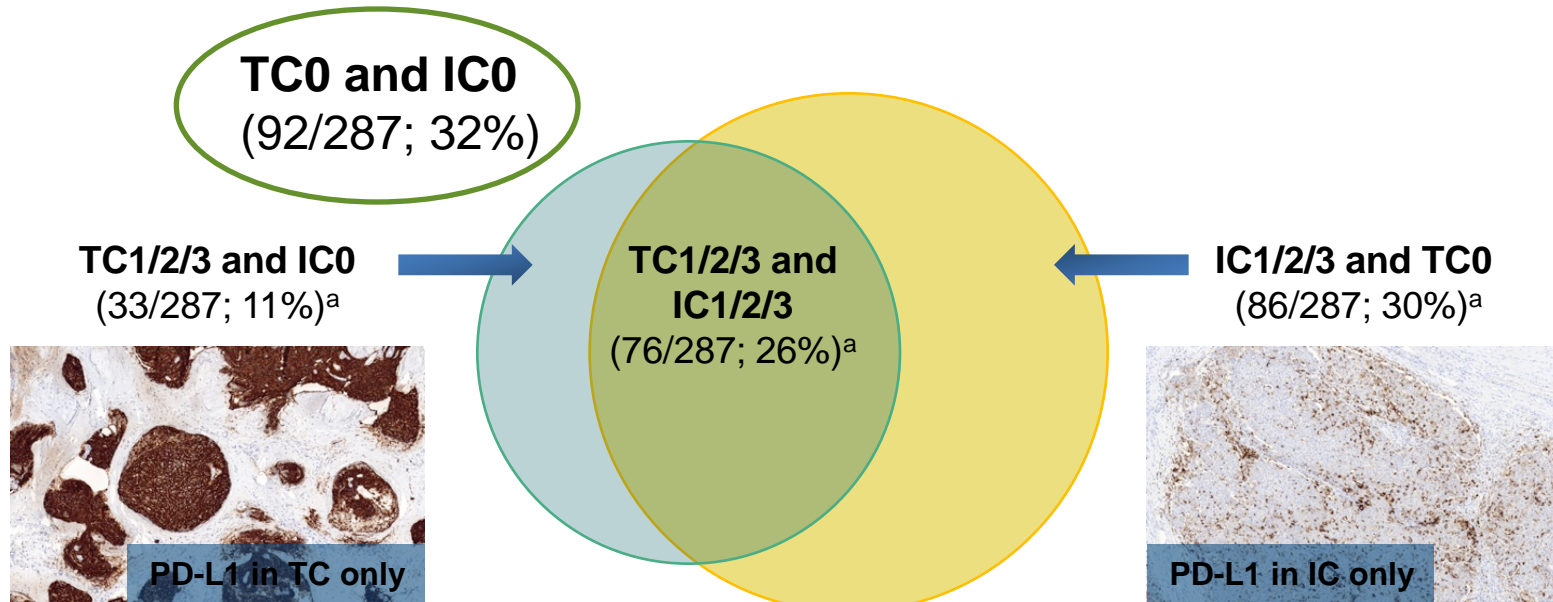


Squamous



— Atezolizumab
 — Docetaxel

POPLAR: Both TC and IC are independent predictors of survival improvement

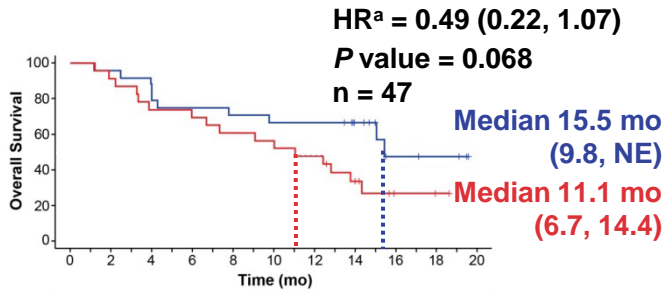


PD-L1 status	OS HR ^b (95% CI)
TC1/2/3 and IC0	0.37 (0.12, 1.13)
IC1/2/3 and TC0	0.63 (0.36, 1.12)
TC1/2/3 and IC1/2/3	0.60 (0.34, 1.08)
TC1/2/3 or IC1/2/3	0.59 (0.40, 0.85)

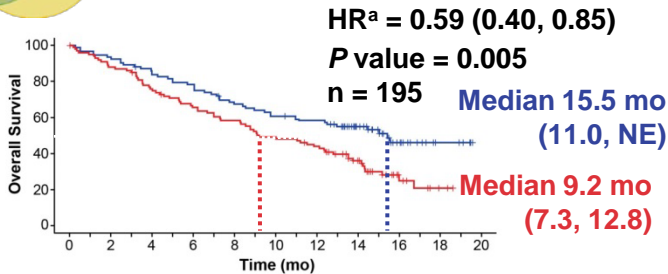
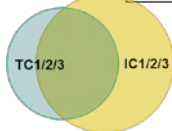
^aNumber of patients within subgroup/total study population; Percentage of total study population. ^bUnstratified HR.

Data cut-off May 8, 2015.

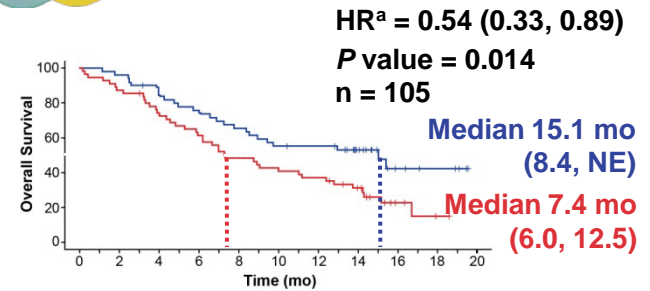
POPLAR: OS by PD-L1 expression



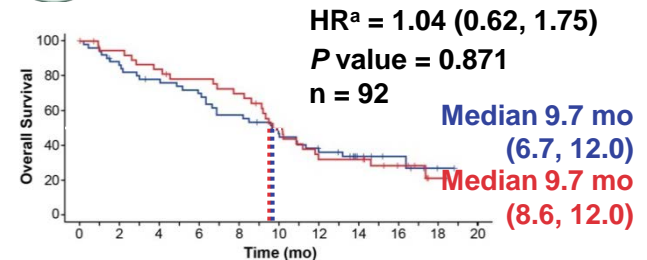
	No. of Patients at Risk									
Atezolizumab	24	23	21	18	17	16	16	11	4	3
Docetaxel	23	21	17	16	14	13	11	7	2	1



	No. of Patients at Risk									
Atezolizumab	96	87	79	72	62	55	52	34	15	5
Docetaxel	102	88	75	64	57	48	42	28	10	2



	No. of Patients at Risk									
Atezolizumab	50	48	43	37	32	27	26	17	6	4
Docetaxel	55	48	40	33	26	23	20	15	4	1



	No. of Patients at Risk									
Atezolizumab	51	44	38	34	28	23	17	8	5	2
Docetaxel	41	35	31	28	25	17	12	11	7	1

Atezolizumab

Docetaxel

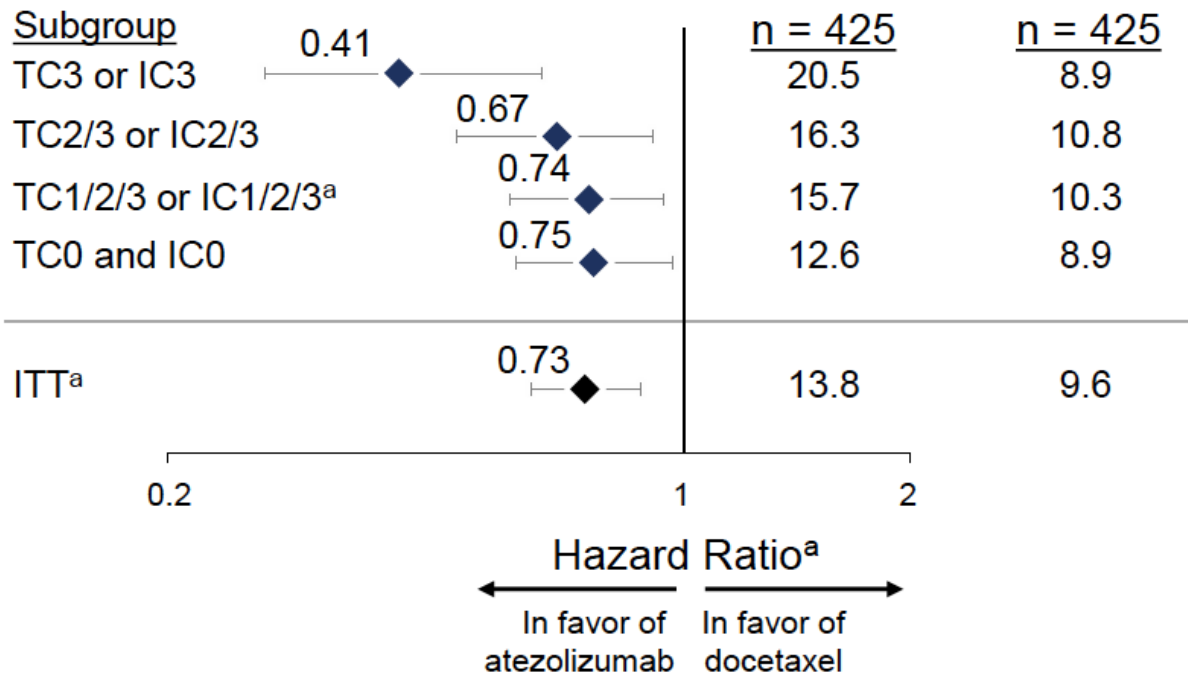
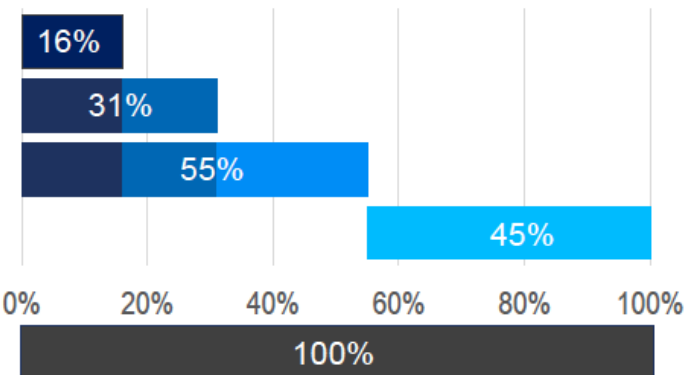
+ Censored

^aUnstratified HR for subgroups and stratified HR for ITT.

Data cut-off May 8, 2015.

OS BY PD-L1 EXPRESSION

On-study Prevalence



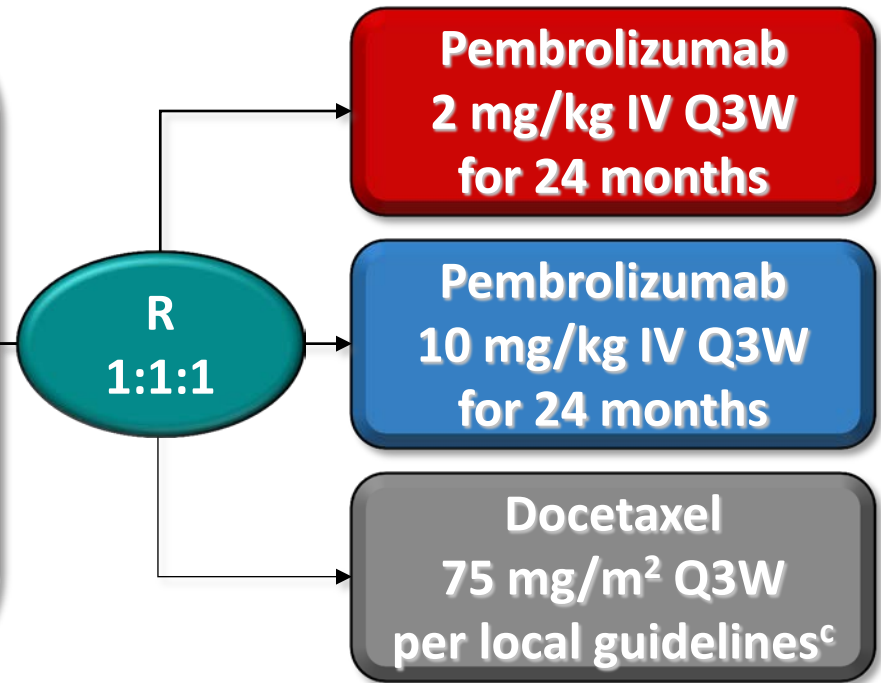
KEYNOTE-010 (NCT01905657): International, Phase 2/3 Study

Patients

- Advanced NSCLC
- Confirmed PD after ≥ 2 cycles of platinum-doublet chemotherapy^a
- PD-L1 TPS $\geq 1\%$
- ECOG PS 0-1
- No active brain metastases
- No serious autoimmune disease
- No ILD or pneumonitis requiring systemic steroids

Stratification factors:

- ECOG PS (0 vs 1)
- Region (East Asia vs non-East Asia)
- PD-L1 status^b (TPS $\geq 50\%$ vs 1%-49%)



End points in the total population and TPS $\geq 50\%$ stratum

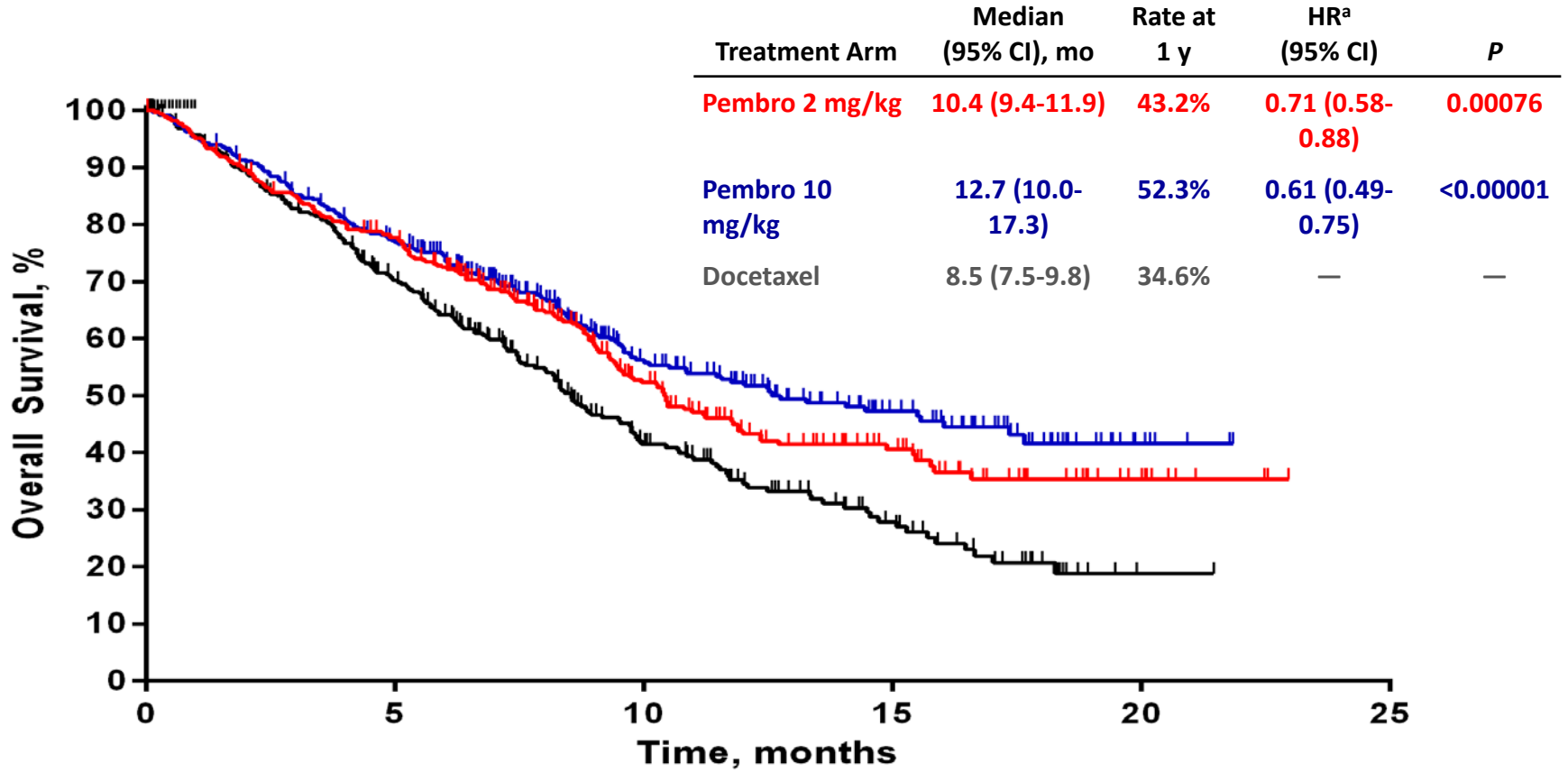
- Primary: PFS and OS
- Secondary: ORR, duration of response, safety

^aAn appropriate tyrosine kinase inhibitor was required for patients whose tumors had an *EGFR* sensitizing mutation or an *ALK* translocation.

^bAdded after 441 patients enrolled based on results from KEYNOTE-001 (Garon EB et al. *N Engl J Med.* 2015;372:2018-28).

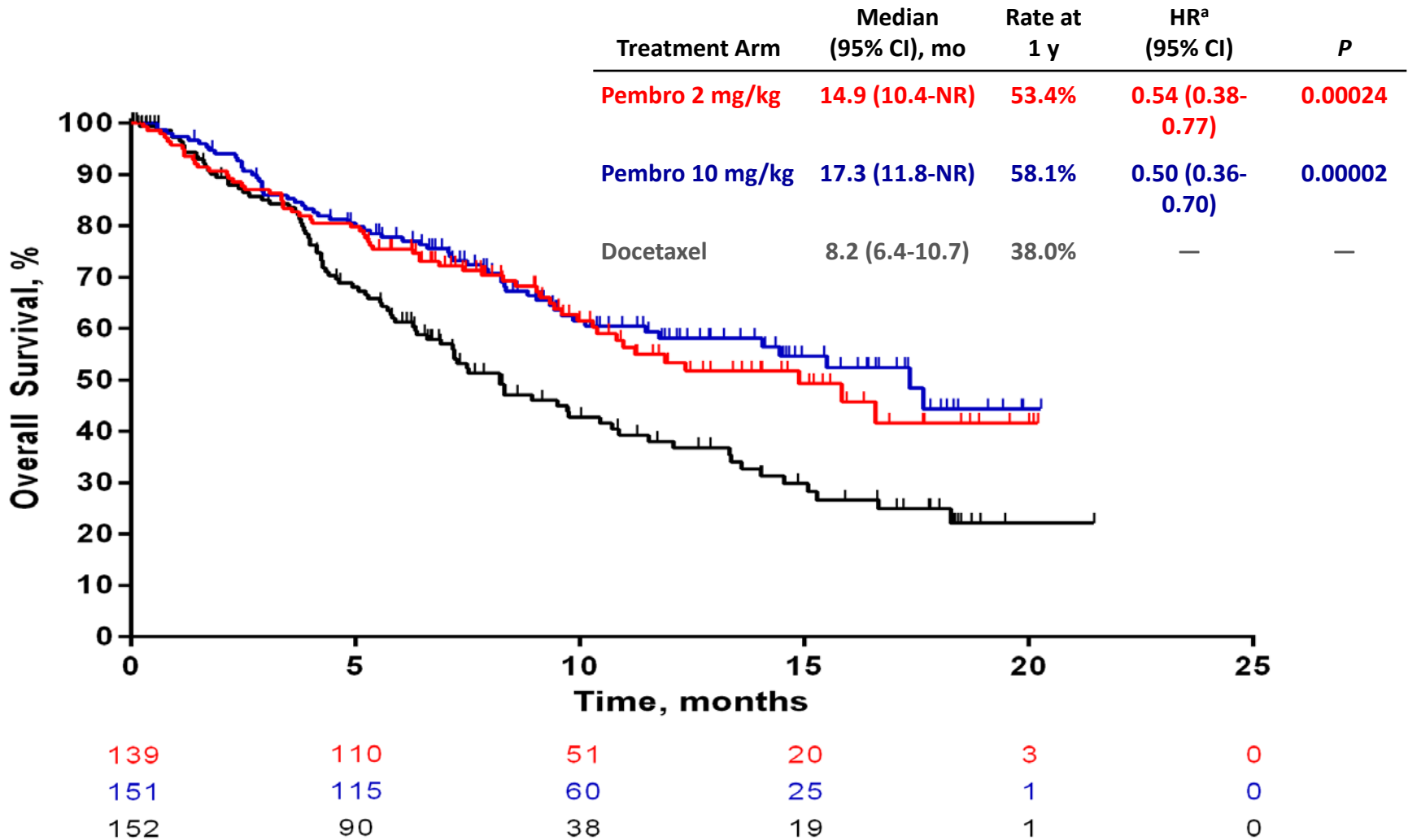
^cPatients received the maximum number of cycles permitted by the local regulatory authority.

OS, Total Population



344	259	115	49	12	0
346	255	124	56	6	0
343	212	79	33	1	0

OS, PD-L1 TPS $\geq 50\%$ Stratum



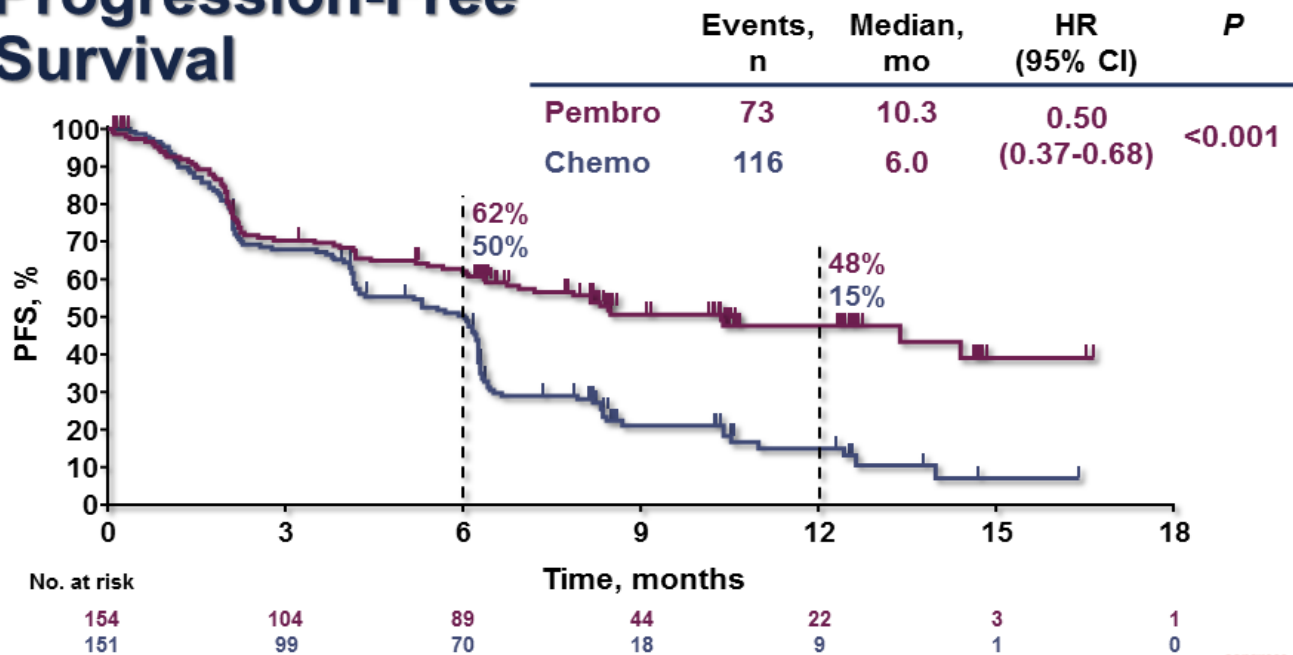


KEYNOTE-024: Pembrolizumab vs Platinum-Based Chemotherapy as First-Line Therapy for Advanced NSCLC With a PD-L1 TPS $\geq 50\%$

Martin Reck,¹ Delvys Rodríguez-Abreu,² Andrew G. Robinson,³ Rina Hui,⁴ Tibor Csőszi,⁵ Andrea Fülöp,⁶ Maya Gottfried,⁷ Nir Peled,⁸ Ali Tafreshi,⁹ Sinead Cuffe,¹⁰ Mary O'Brien,¹¹ Suman Rao,¹² Katsuyuki Hotta,¹³ Melanie A. Leiby,¹⁴ Gregory M. Lubiniecki,¹⁴ Yue Shentu,¹⁴ Reshma Rangwala,¹⁴ and Julie R. Brahmer¹⁵ on behalf of the KEYNOTE-024 investigators

¹Lung Clinic Grosshansdorf, Airway Research Center North (ARCN), member of the German Center for Lung Research (DZL), Grosshansdorf, Germany; ²Hospital Universitario Insular de Gran Canaria, Las Palmas, Spain; ³Cancer Centre of Southeastern Ontario at Kingston General Hospital, Kingston, ON, Canada; ⁴Westmead Hospital and the University of Sydney, Sydney, NSW, Australia; ⁵Jász-Nagykun-Szolnok County Hospital, Szolnok, Hungary; ⁶Országos Korányi TBC és Pulmonológiai Intézet, Budapest, Hungary; ⁷Meir Medical Center, Kfar-Saba, Israel; ⁸Davidoff Cancer Center, Tel Aviv University, Petah Tikva, Israel; ⁹Southern Medical Day Care Centre, Wollongong, NSW, Australia; ¹⁰St. James's Hospital and Cancer Trials Ireland (formerly ICORG – All Ireland Cooperative Oncology Research Group), Dublin, Ireland; ¹¹The Royal Marsden Hospital, London, UK; ¹²MedStar Franklin Square Hospital, Baltimore, MD, USA; ¹³Okayama University Hospital, Okayama, Japan; ¹⁴Merck & Co., Inc., Kenilworth, NJ, USA; ¹⁵Sidney Kimmel Comprehensive Cancer Center at Johns Hopkins, Baltimore, MD, USA

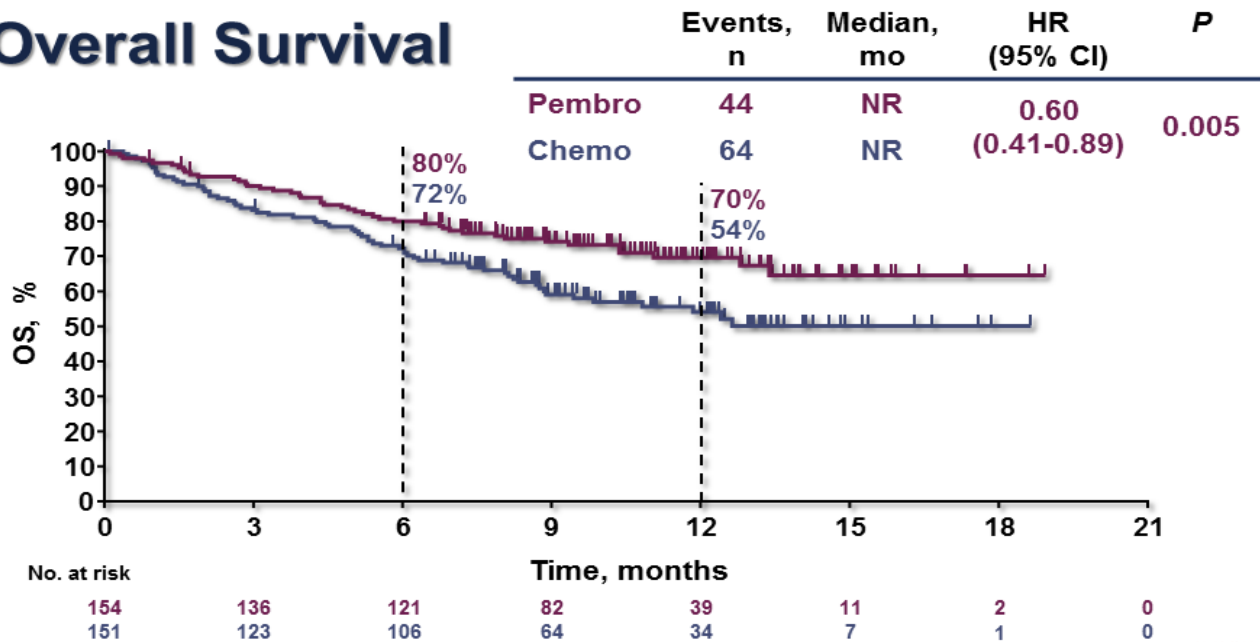
Progression-Free Survival



Assessed per RECIST v1.1 by blinded, independent central review. Data cut-off: May 9, 2016.

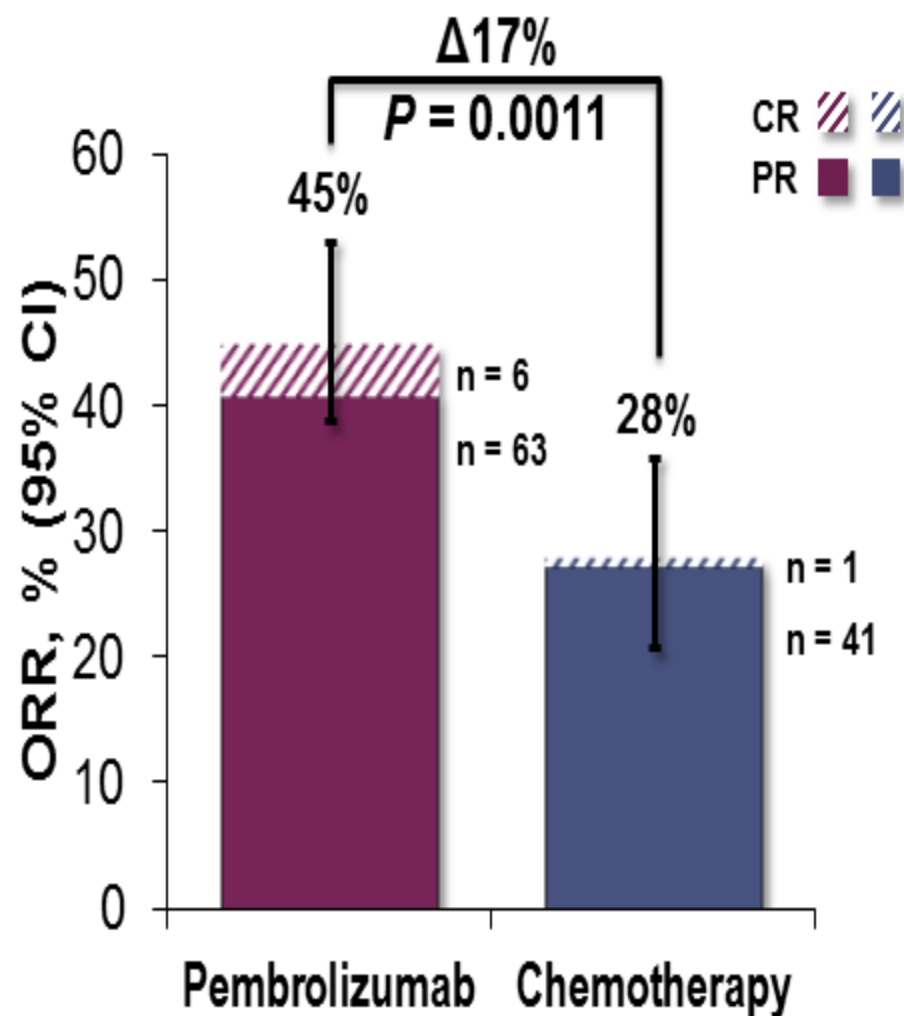
MReck. ESMO 2016.

Overall Survival



Data cut-off: May 9, 2016.

Confirmed Objective Response Rate

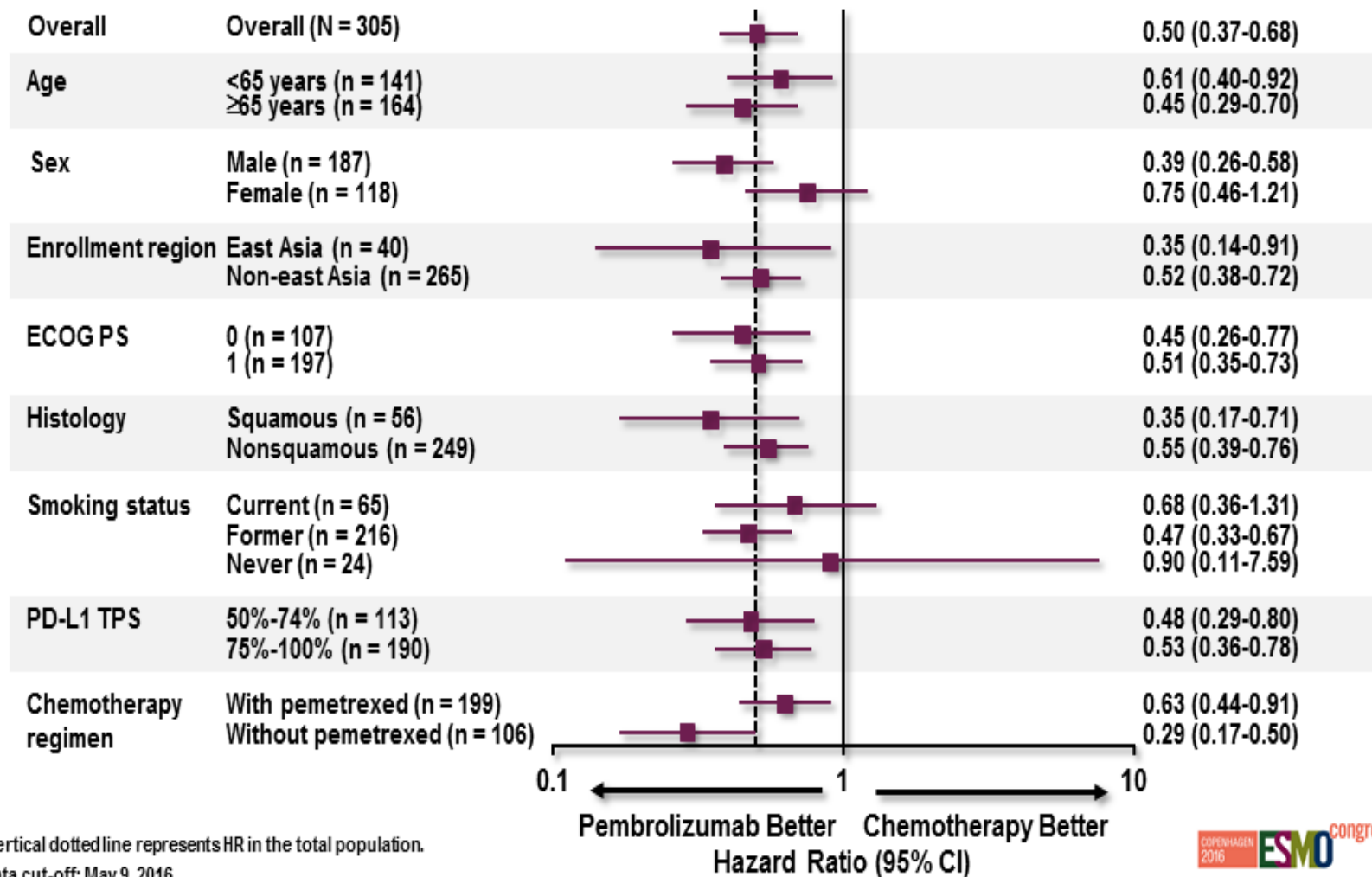


	Pembro Responders n = 69	Chemo Responders n = 42
TTR, mo median (range)	2.2 (1.4-8.2)	2.2 (1.8-12.2)
DOR, mo median (range)	NR (1.9+ to 14.5+)	6.3 (2.1+ to 12.6+)

Keynote -024

MReck. ESMO 2016.

Progression-Free Survival in Subgroups



Vertical dotted line represents HR in the total population.

Data cut-off: May 9, 2016.

CheckMate 026: A Phase 3 Trial of Nivolumab vs Investigator's Choice of Platinum-Based Doublet Chemotherapy as First-line Therapy for Stage IV/ Recurrent Programmed Death Ligand 1-Positive NSCLC

Mark A. Socinski,¹ Benjamin Creelan,² Leora Horn,³ Martin Reck,⁴ Luis Paz-Ares,⁵ Martin Steins,⁶ Enriqueta Felip,⁷ Michel van den Heuvel,⁸ Tudor Eliade Ciuleanu,⁹ Firas Badin,¹⁰ Neal Ready,¹¹ T. Jeroen N. Hiltermann,¹² Suresh Nair,¹³ Rosalyn Juergens,¹⁴ Solange Peters,¹⁵ Elisa Minenza,¹⁶ William J. Geese,¹⁷ Prabhu Bhagavatheeswaran,¹⁷ Allen C. Chen,¹⁷ David P. Carbone¹⁸

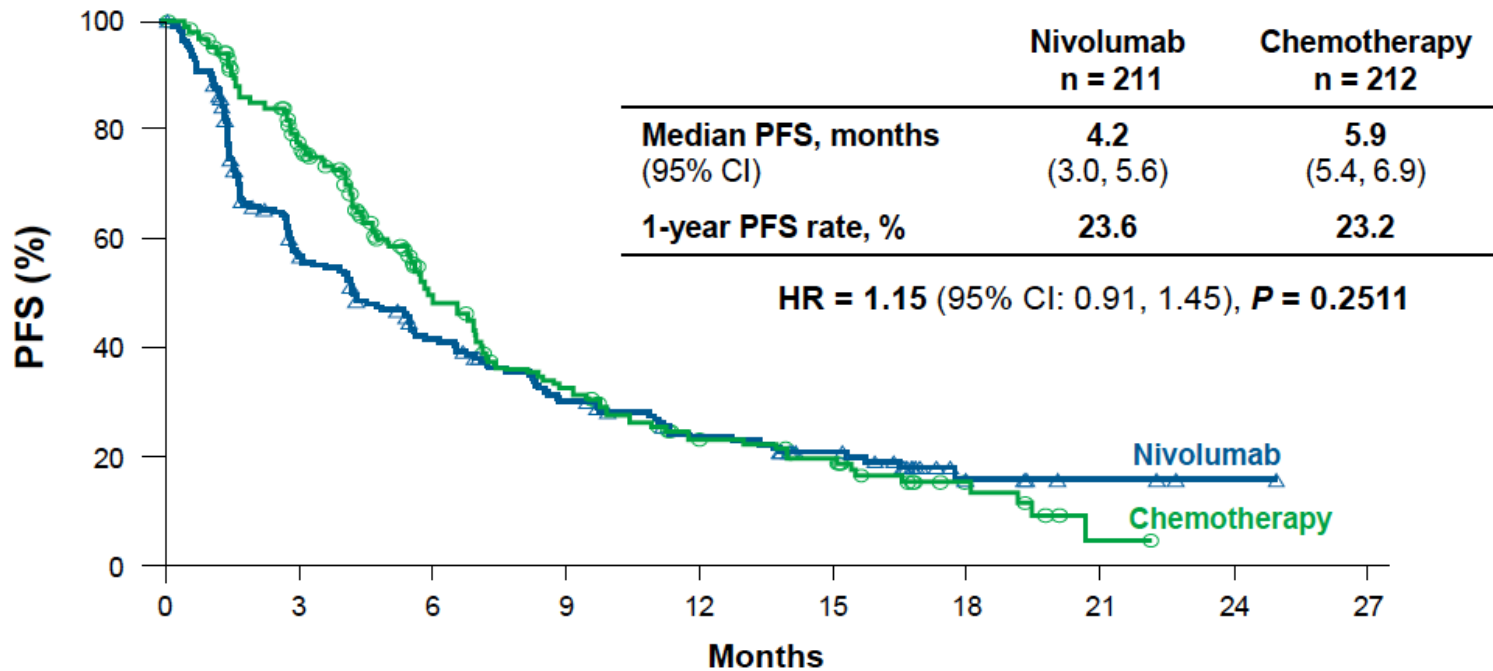
¹UPMC Cancer Center, Pittsburgh, PA, USA; ²H. Lee Moffitt Cancer Center, Tampa, FL, USA; ³Vanderbilt University Medical Center, Nashville, TN, USA;

⁴LungenClinic Grosshansdorf, Airway Research Center North (ARCN), Grosshansdorf, Germany; ⁵Hospital Universitario Doce de Octubre & CNIO, Madrid, Spain; ⁶Thoraxklinik-Heidelberg GmbH, Heidelberg, Germany; ⁷Hospital Universitari Vall d'Hebron, Barcelona, Spain; ⁸Antoni Van Leeuwenhoek Ziekenhuis, Amsterdam, Netherlands; ⁹Prof. Dr. Ion Chiricuta Institute of Oncology and UMF Iuliu Hatieganu, Cluj-Napoca, Romania; ¹⁰Baptist Health Lexington, Lexington, KY, USA; ¹¹Duke University Medical Center, Durham, NC, USA; ¹²University of Groningen and University Medical Center Groningen, Groningen, Netherlands; ¹³Lehigh Valley Health Network, Allentown, PA, USA; ¹⁴Juravinski Cancer Centre, Ontario, Canada; ¹⁵University of Lausanne, Lausanne, Switzerland; ¹⁶Ospedale S. Maria Nuova, Terni, Italy; ¹⁷Bristol-Myers Squibb, Princeton, NJ, USA;

¹⁸Ohio State University Comprehensive Cancer Center, Columbus, OH, USA

Primary Endpoint (PFS per IRRC in $\geq 5\%$ PD-L1+)

CheckMate 026: Nivolumab vs Chemotherapy in First-line NSCLC



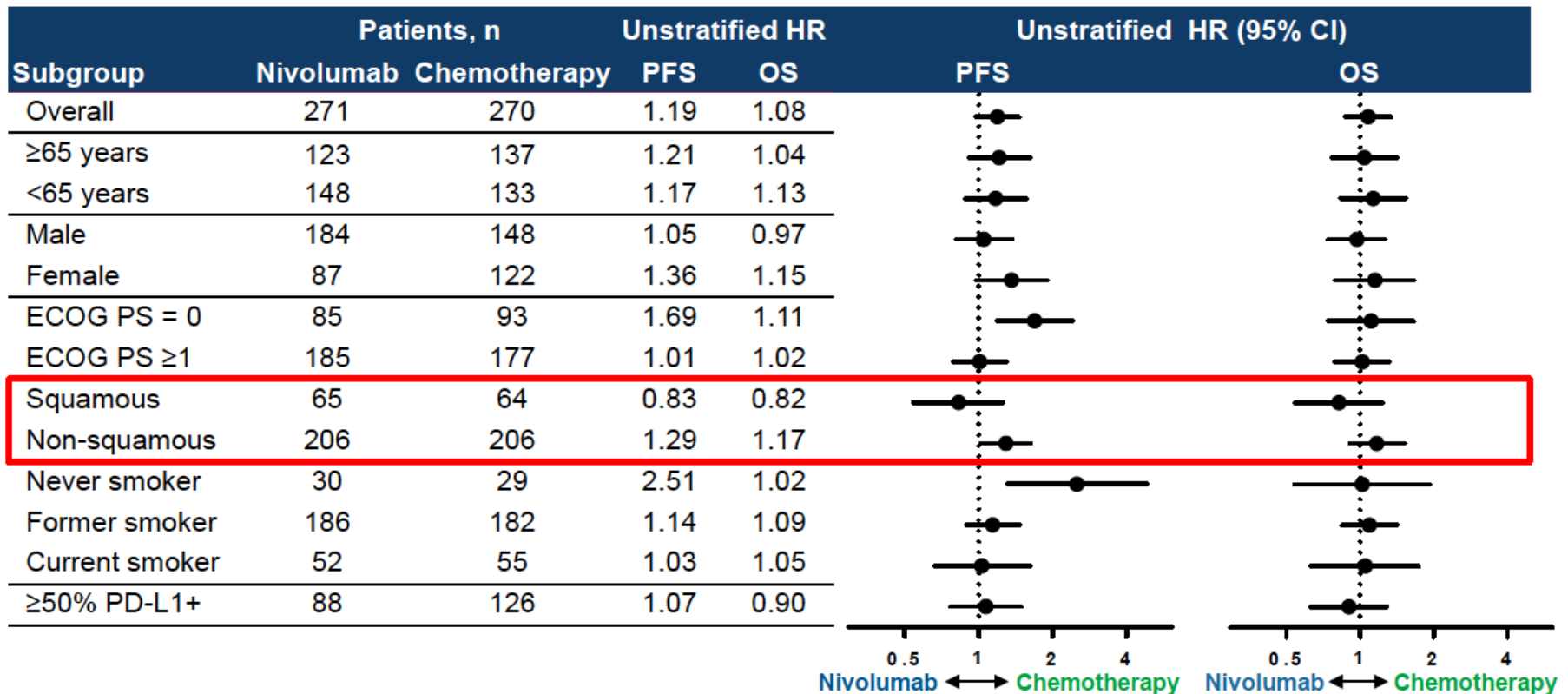
No. of patients at risk:

	0	3	6	9	12	15	18	21	24	27
Nivolumab	211	104	71	49	35	24	6	3	1	0
Chemotherapy	212	144	74	47	28	21	8	1	0	0

All randomized patients ($\geq 1\%$ PD-L1+): HR = 1.17 (95% CI: 0.95, 1.43)

Checkmate-026

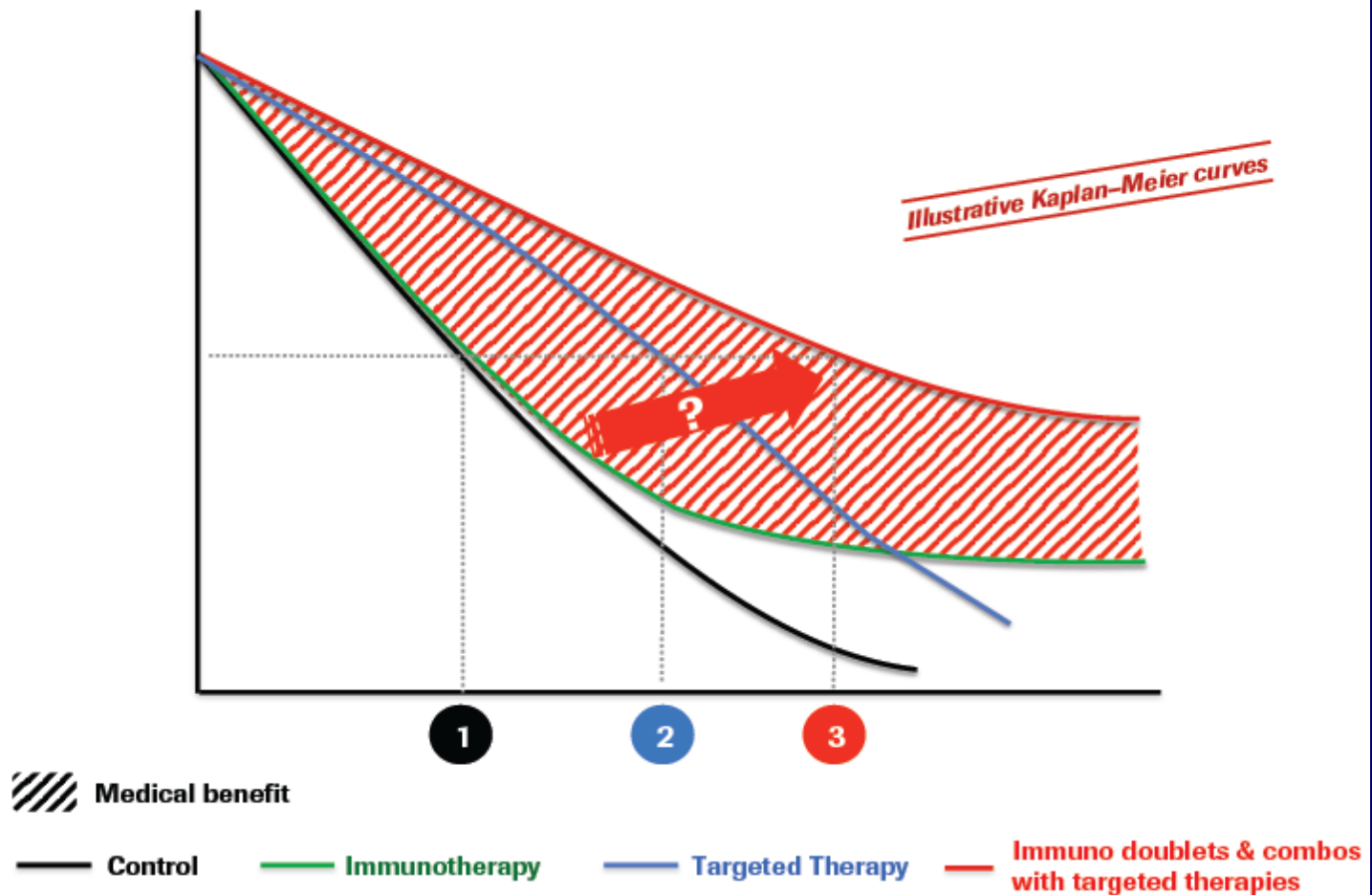
PFS and OS Subgroup Analyses (All Randomized Patients) CheckMate 026: Nivolumab vs Chemotherapy in First-line NSCLC



**Is Combination Immune
Checkpoint Therapy Better?**

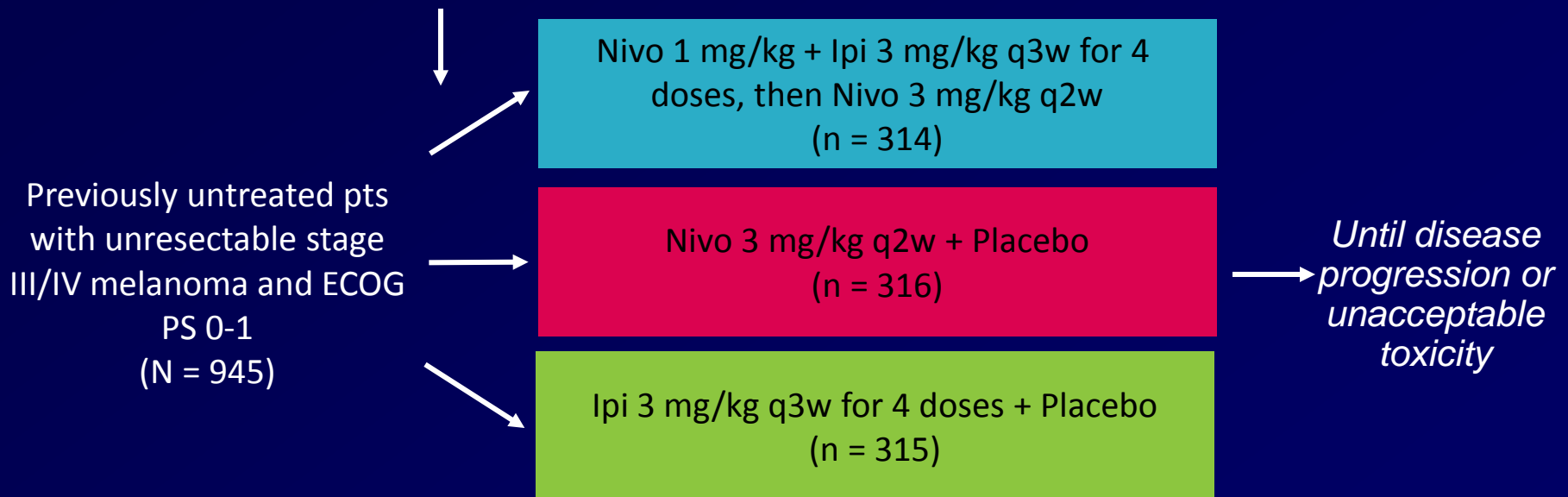
Cancer immunotherapy in the future

Better patient selection, combinations, broader use?



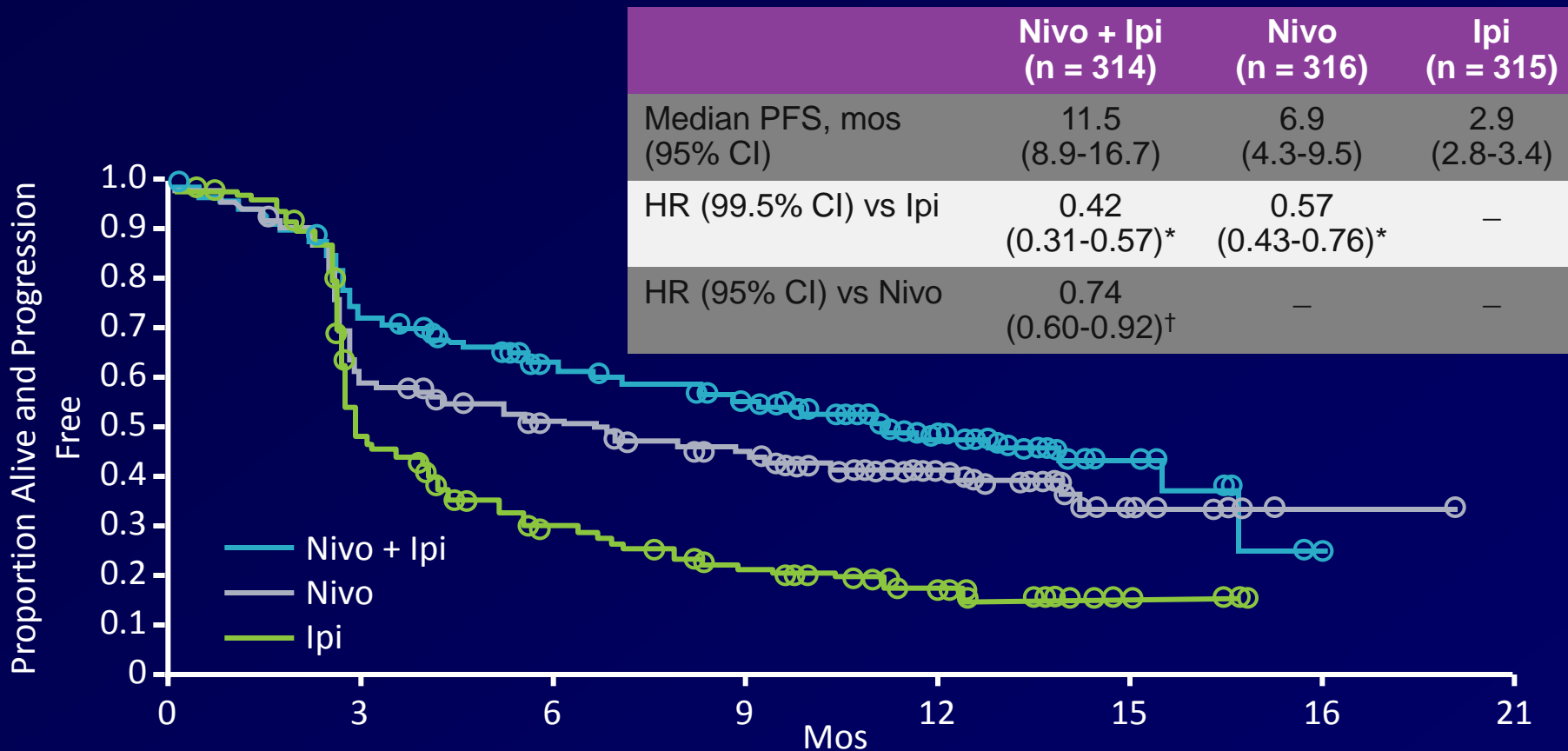
CheckMate 067: Phase III Trial of Nivo + Ipi vs Nivo vs Ipi for 1st line Treatment of Melanoma

Stratified by PD-L1 expression (< 5% vs ≥ 5%), BRAF status, and AJCC M stage



- **Coprimary endpoints: PFS, OS (OS data still blinded)**
- **Secondary endpoints: ORR, tumor PD-L1 expression and efficacy, safety**

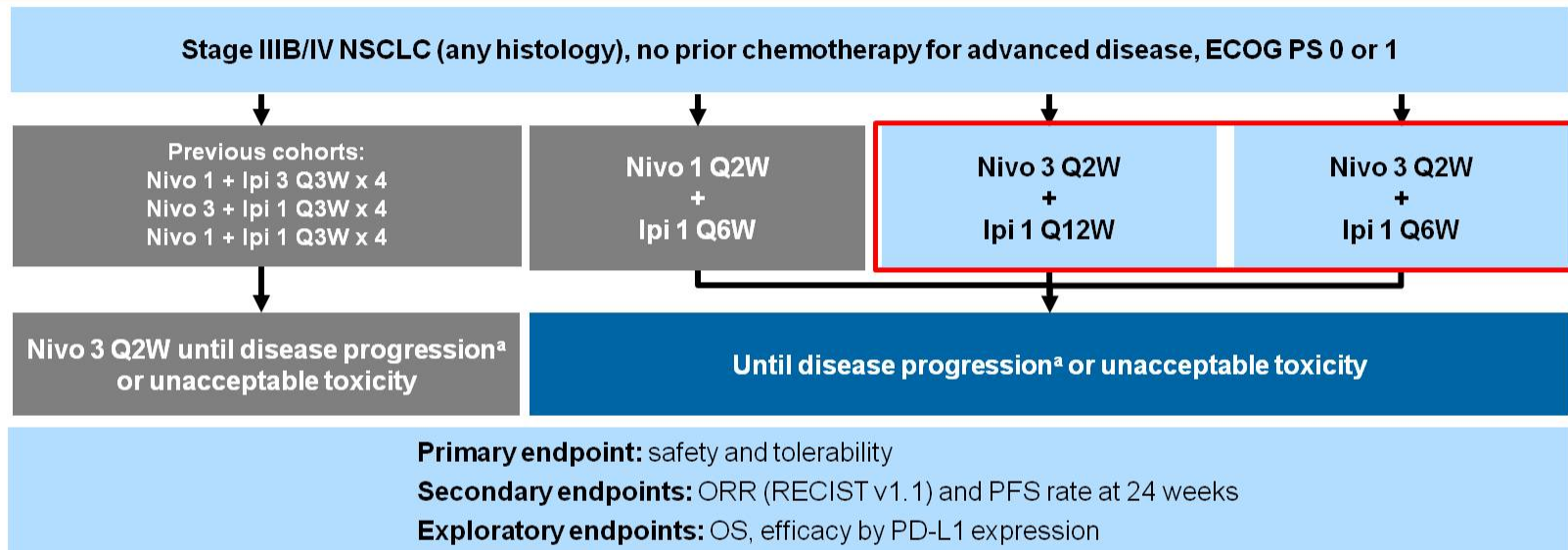
CheckMate 067: Improved PFS with Nivo + Ipi or Nivo Alone vs Ipi Alone



*Stratified log-rank $P < .00001$ vs Ipi.

[†]Exploratory endpoint. Study not powered to detect a statistical difference between Nivo + Ipi and Nivo.

Phase 1 CheckMate 012 Study Design: Nivolumab Plus Ipilimumab in First-line NSCLC



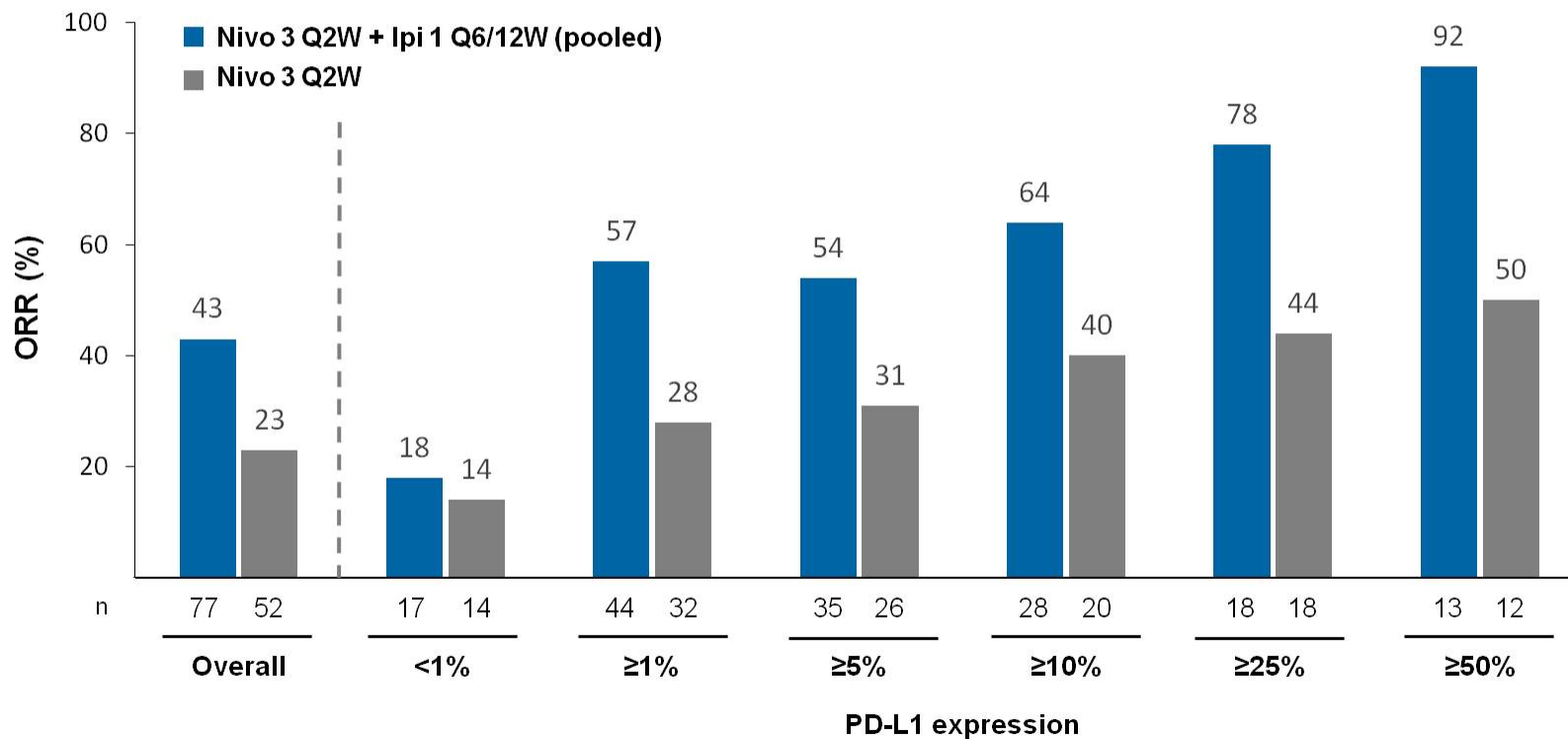
- The safety and tolerability of the nivolumab–ipilimumab combination was improved with less frequent ipilimumab dosing⁵
- Schedules with nivolumab 3 mg/kg also showed increased clinical efficacy in a previous analysis⁵
- Here, we report longer follow-up on nivolumab 3 mg/kg plus ipilimumab schedules^b

^aPatients tolerating study treatment permitted to continue treatment beyond RECIST v1.1-defined progression if considered to be deriving clinical benefit

^bFebruary 2016 database lock

Ipilimumab and nivolumab dosing are shown in mg/kg IV (eg, nivo 1 = nivolumab 1 mg/kg IV)

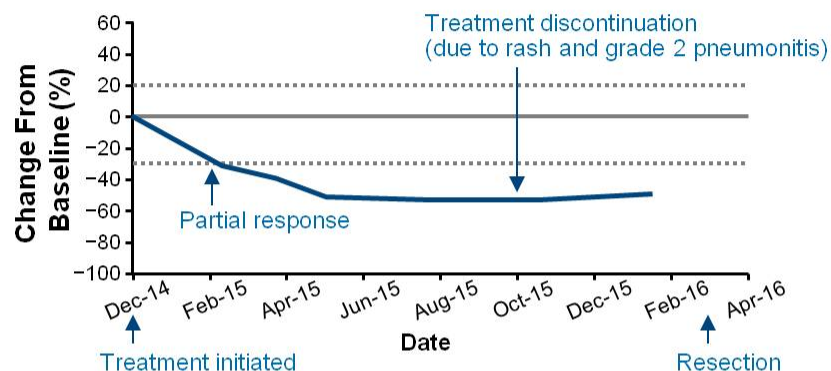
Nivolumab Plus Ipilimumab in First-line NSCLC: Efficacy Across All Tumor PD-L1 Expression Levels



Combination data based on a February 2016 database lock; monotherapy data based on a March 2015 database lock

Case of Pathological CR in One Patient Treated With Nivo 3 Q2W + Ipi 1 Q6W

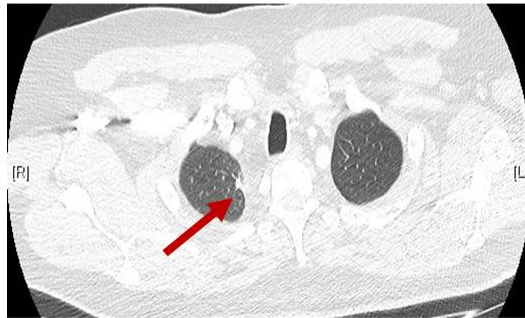
- 54-yr-old male (former smoker, 52 pack-yr) with metastatic large-cell lung cancer (PD-L1 <1%^a)
 - 53% total tumor size reduction by RECIST
 - Radiographic residual lesions in the lung and mediastinal lymph nodes, without distant disease



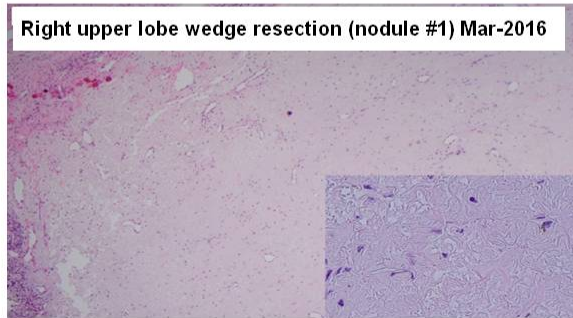
Before nivo + ipi therapy



Following nivo + ipi therapy



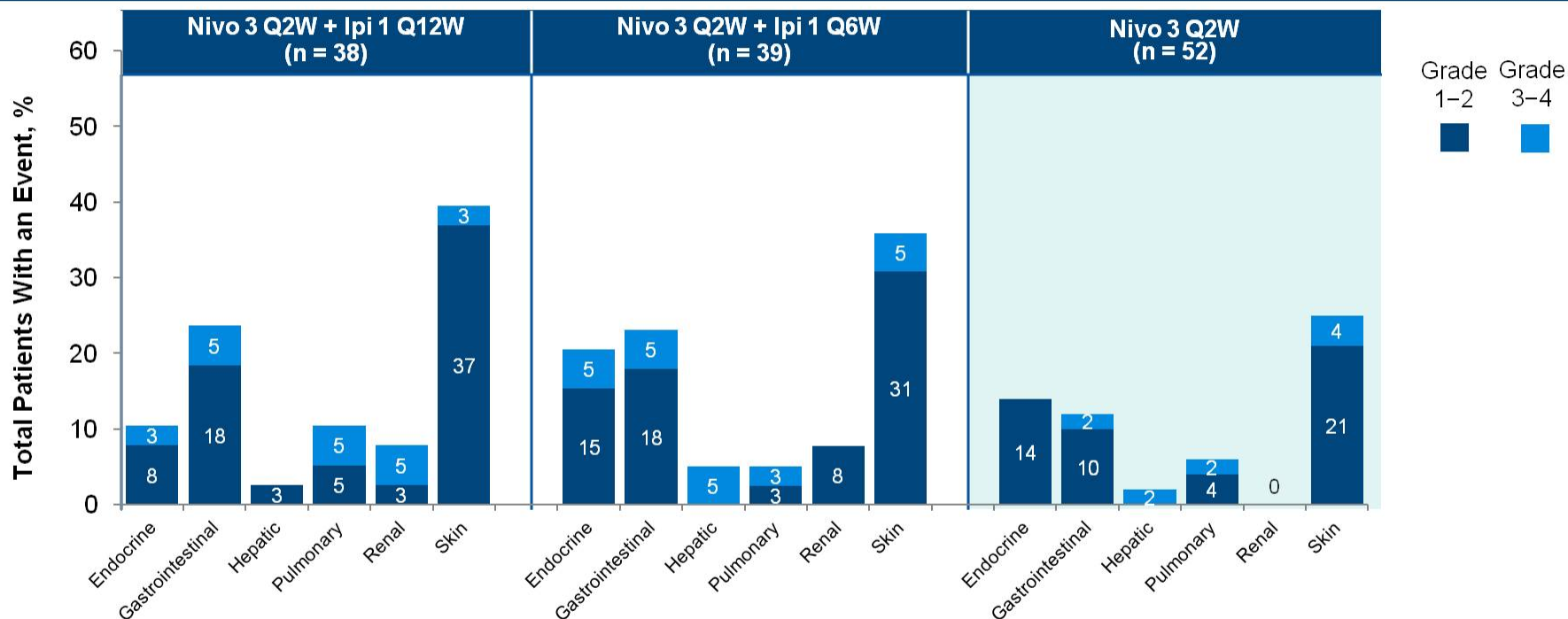
No viable tumor in resected residual lesion



Courtesy of Dr. William Travis, MSKCC

^aPatient was included as having partial response and PD-L1 expression unknown in analysis at time of database lock

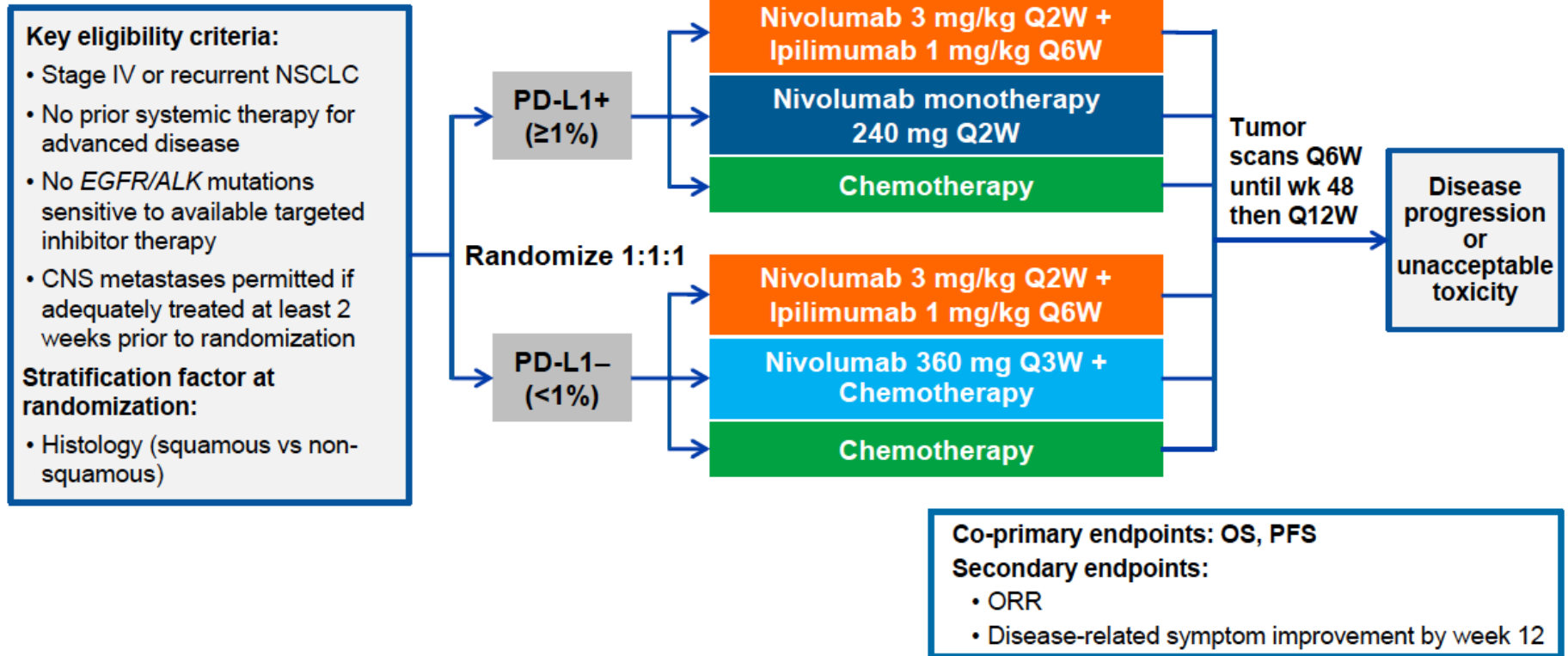
Nivolumab Plus Ipilimumab in First-line NSCLC: Treatment-related Select AEs



- All treatment-related pulmonary events were pneumonitis
- Grade 1–2 hypersensitivity/infusion reaction occurred in 5% and 6% of patients in the nivo 3 Q2W + ipi 1 Q12W and monotherapy groups, respectively

Combination data based on a February 2016 database lock; monotherapy data based on a March 2015 database lock
 Select AEs are those with potential immunologic etiology that require frequent monitoring/intervention

Phase 3 CheckMate 227 (NCT02477826): Study Design



Immunotherapy + Chemotherapy

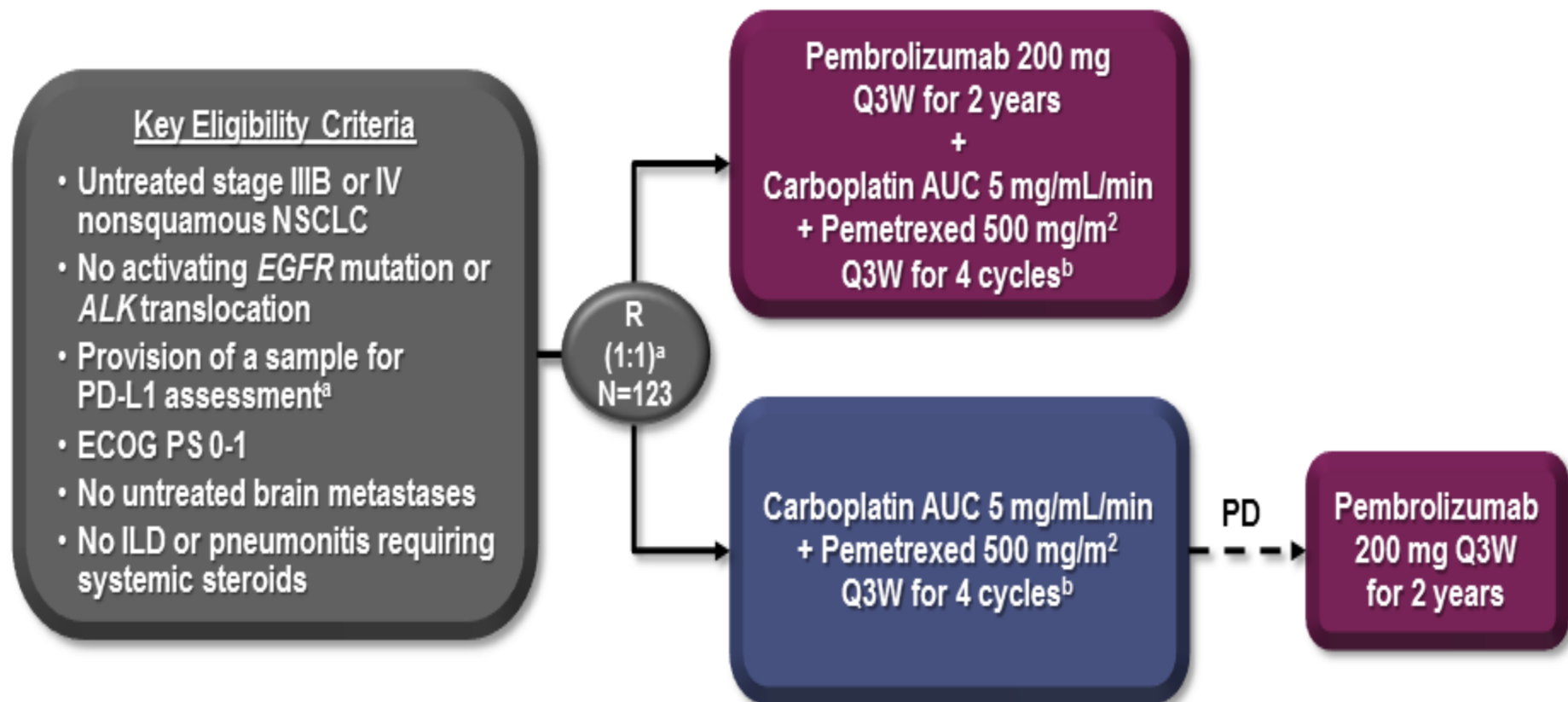


Randomized Phase 2 Study of Carboplatin and Pemetrexed ± Pembrolizumab as First-Line Therapy for Advanced NSCLC: KEYNOTE-021 Cohort G

Corey J. Langer,¹ Shirish M. Gadgeel,² Hossein Borghaei,³ Vassiliki A. Papadimitrakopoulou,⁴ Amita Patnaik,⁵ Steven F. Powell,⁶ Ryan D. Gentzler,⁷ Renato G. Martins,⁸ James P. Stevenson,⁹ Shadia I. Jalal,¹⁰ Amit Panwalkar,¹¹ James Chih-Hsin Yang,¹² Matthew Gubens,¹³ Lecia V. Sequist,¹⁴ Mark M. Awad,¹⁵ Joseph Fiore,¹⁶ Yang Joy Ge,¹⁶ Harry Raftopoulos,¹⁶ Leena Gandhi^{15,17}

¹Abramson Cancer Center of the University of Pennsylvania, Philadelphia, PA, USA; ²Karmanos Cancer Institute/Wayne State University, Detroit, MI, USA; ³Fox Chase Cancer Center, Philadelphia, PA, USA; ⁴The University of Texas MD Anderson Cancer Center, Houston, TX, USA; ⁵South Texas Accelerated Research Therapeutics, San Antonio, TX, USA; ⁶Sanford Cancer Center, University of South Dakota Sanford School of Medicine, Sioux Falls, SD, USA; ⁷Emily Couric Clinical Cancer Center, University of Virginia School of Medicine, Charlottesville, VA, USA; ⁸Seattle Cancer Care Alliance, Seattle, WA, USA; ⁹Cleveland Clinic, Cleveland, OH, USA; ¹⁰Indiana University School of Medicine, Indianapolis, IN, USA; ¹¹Sanford Roger Maris Cancer Center, Fargo, ND, USA; ¹²National Taiwan University Hospital and National Taiwan University Cancer Center, Taipei, Taiwan, Republic of China; ¹³University of California, San Francisco, Helen Diller Family Comprehensive Cancer Center, San Francisco, CA, USA; ¹⁴Massachusetts General Hospital Cancer Center and Harvard Medical School, Boston, MA, USA; ¹⁵Dana-Farber Cancer Institute, Boston, MA, USA; ¹⁶Merck & Co., Inc., Kenilworth, NJ, USA; ¹⁷Current affiliation: Laura and Isaac Perlmutter Cancer Center, NYU Langone Medical Center, New York, New York, USA

KEYNOTE-021 Cohort G



End Points

Primary: ORR (RECIST v1.1 per blinded, independent central review)

Key secondary: PFS

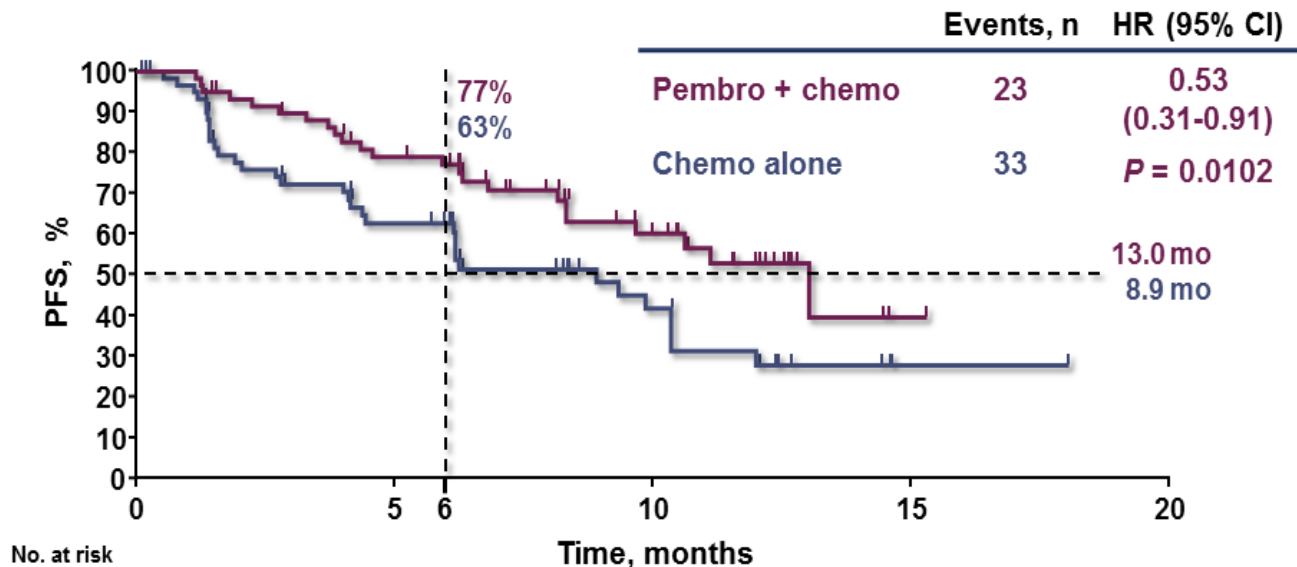
Other secondary: OS, safety, relationship between antitumor activity and PD-L1 TPS

PD=progressive disease.

^aRandomization was stratified by PD-L1 TPS <1% vs ≥1%.

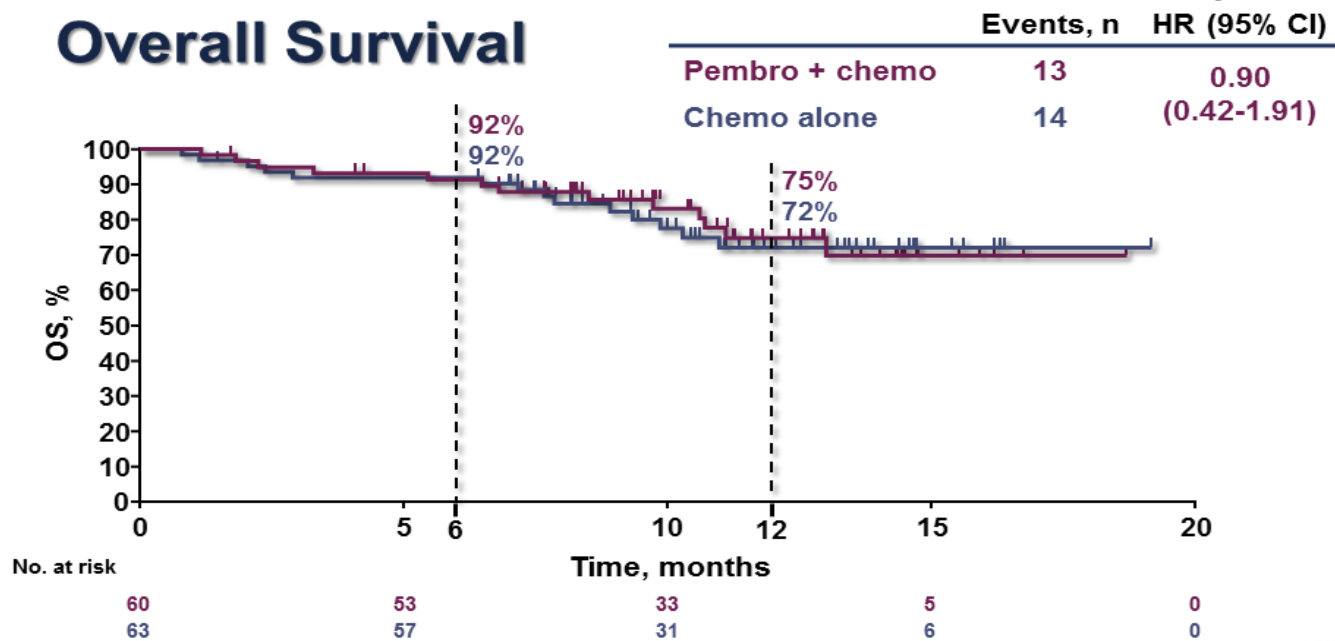
^bIndefinite maintenance therapy with pemetrexed 500 mg/m² Q3W permitted.

Progression-Free Survival (RECIST v1.1 by Blinded, Independent Central Review)



No. at risk

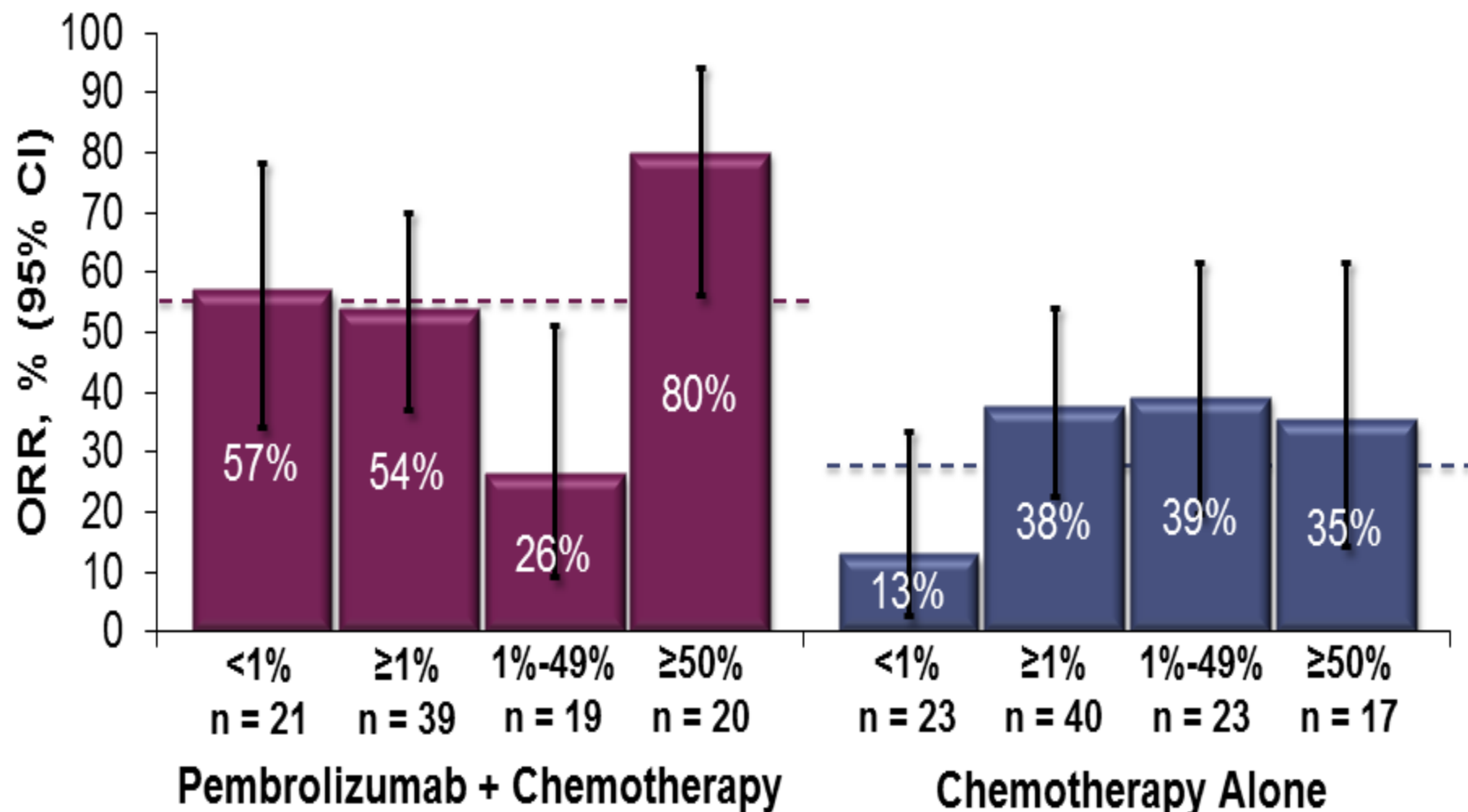
Overall Survival



No. at risk

Objective Response Rate by PD-L1 Status

(RECIST v1.1 by Blinded, Independent Central Review)



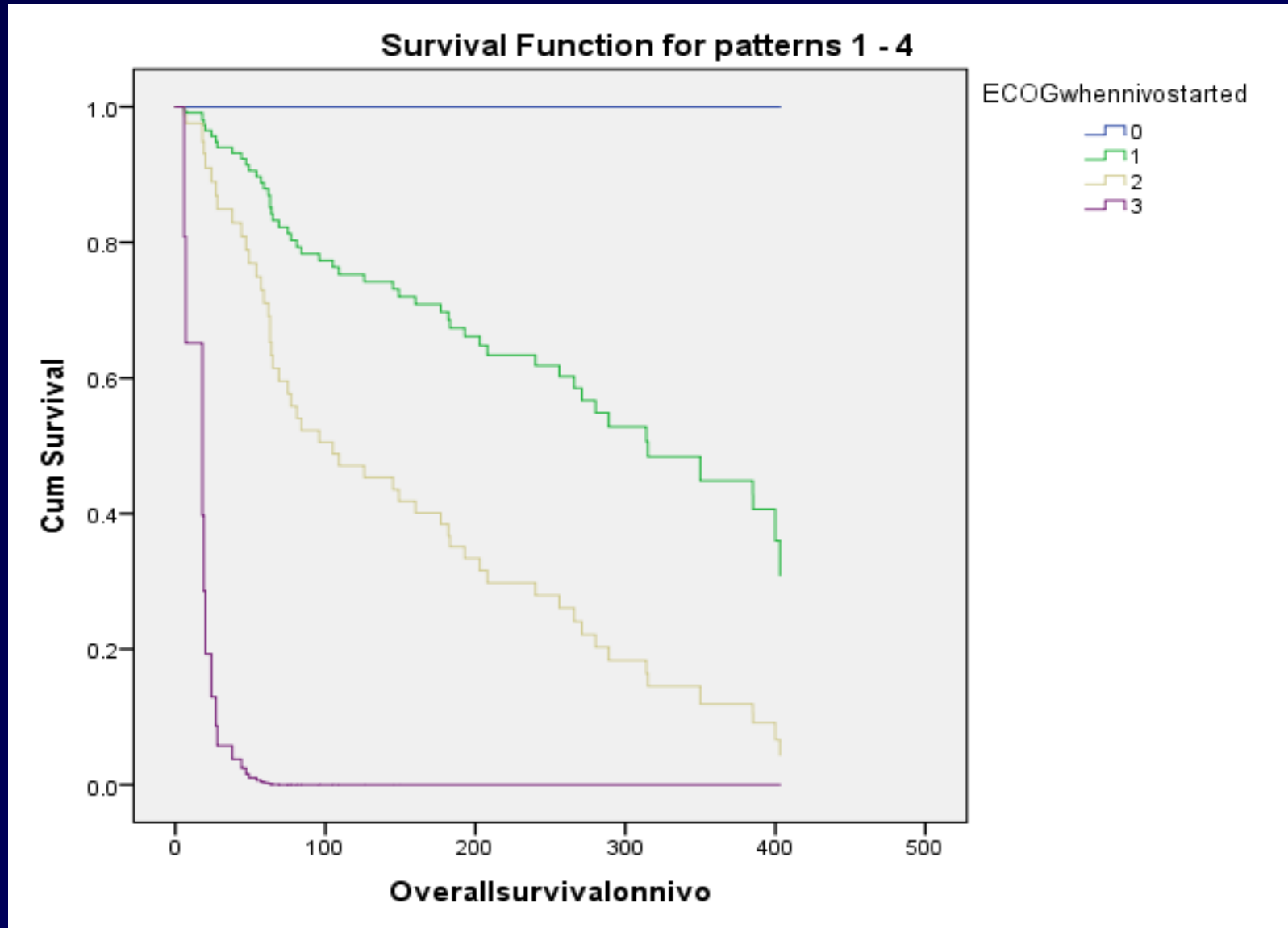
Horizontal dotted lines represent the ORR in the total population.

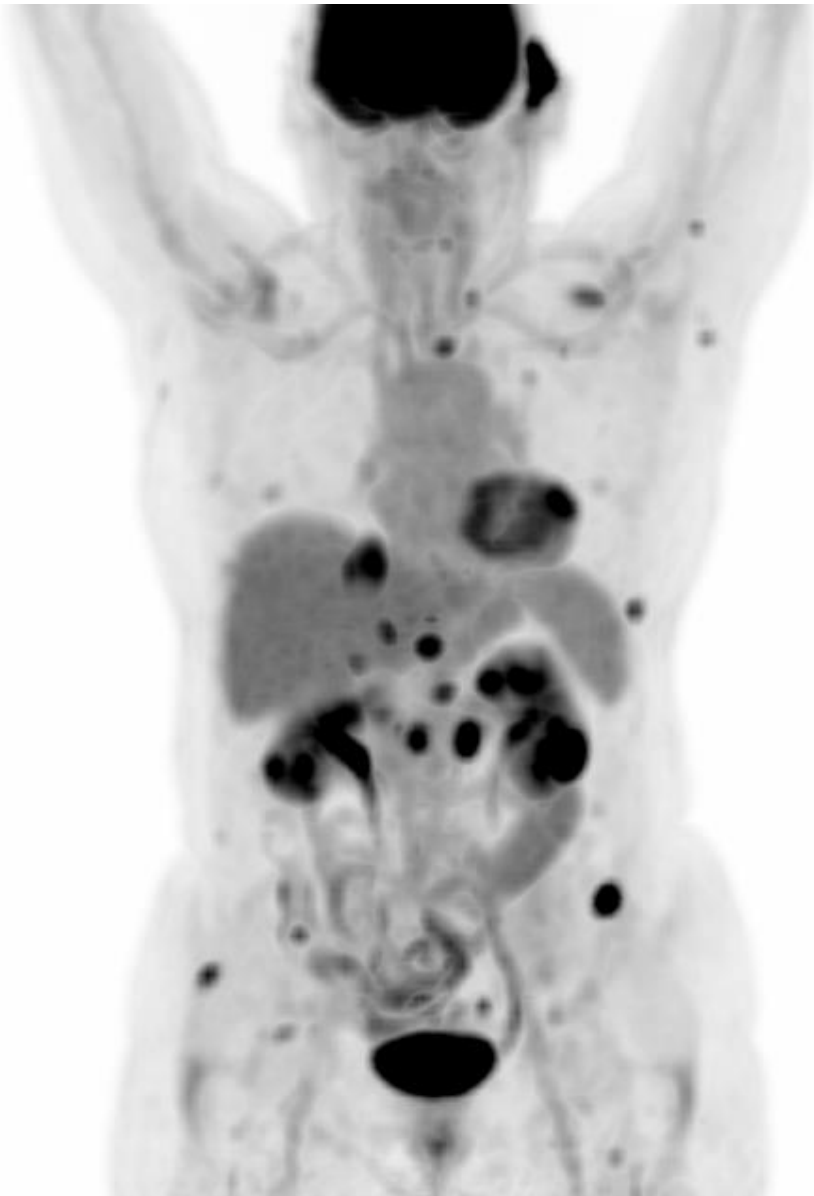
Data cut-off: August 8, 2016.

Issues

- **Should we treat everyone?**

Audit of Patient Treated with Nivolumab for Relapsed Disease in Brisbane





**January 2016
(Post-chemotherapy)**

68yo female patient

- Diagnosed with metastatic sarcomatoid lung cancer August 2015 following resection of a scalp lesion
- Resection L distal femur metastasis Sept 2015
- Received 4 cycles of carboplatin and gemcitabine Oct – Dec 2015 showed progressive disease
- Radiotherapy to a skin lesion – no effect
- PS=3 but alert and well and symptoms related to disease only
- Received Nivolumab
- Complete remission
- Feels **NORMAL** today!

Biomarkers: The Target

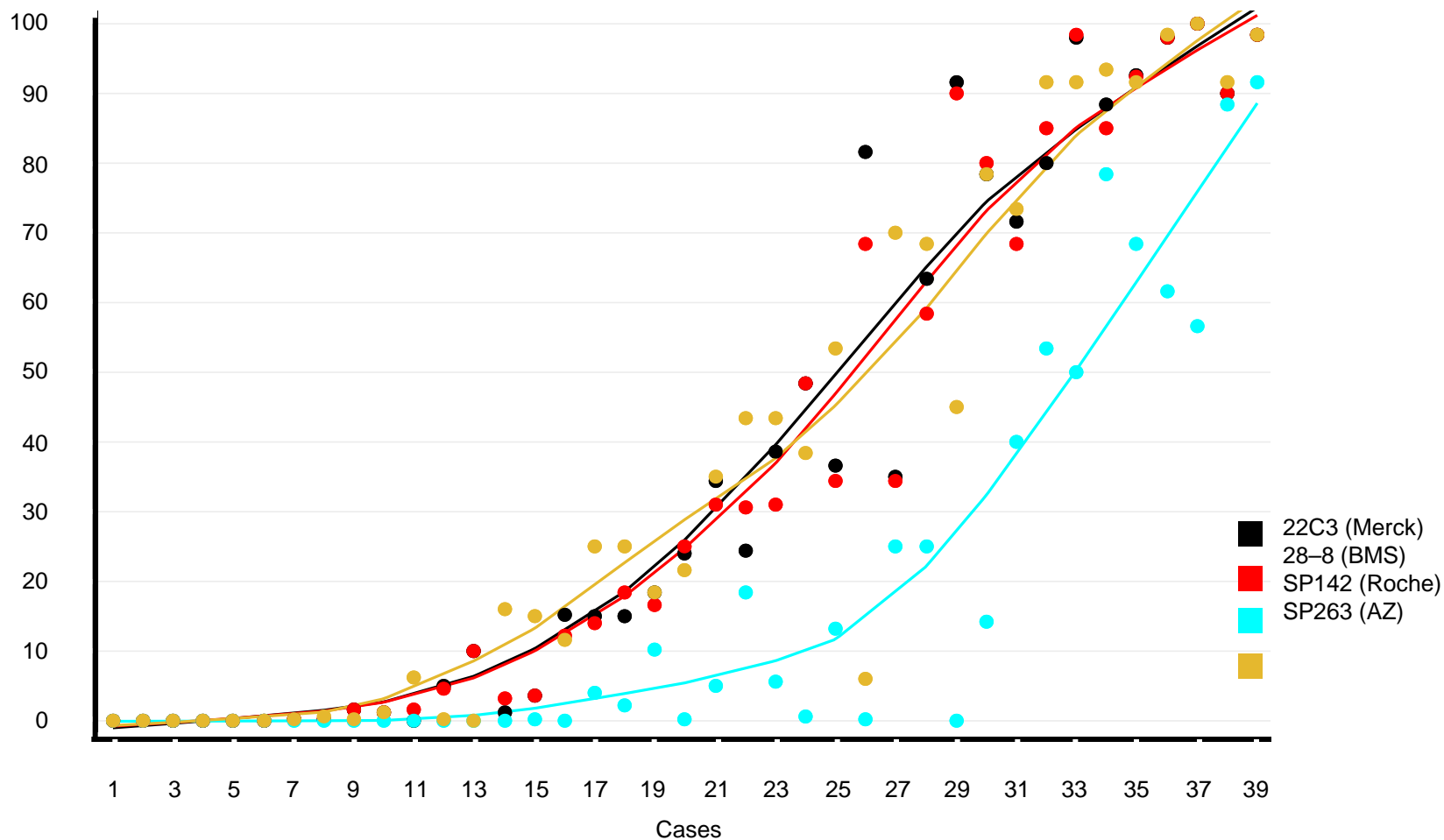
Known PD-L1 diagnostic assays differ in many key aspects



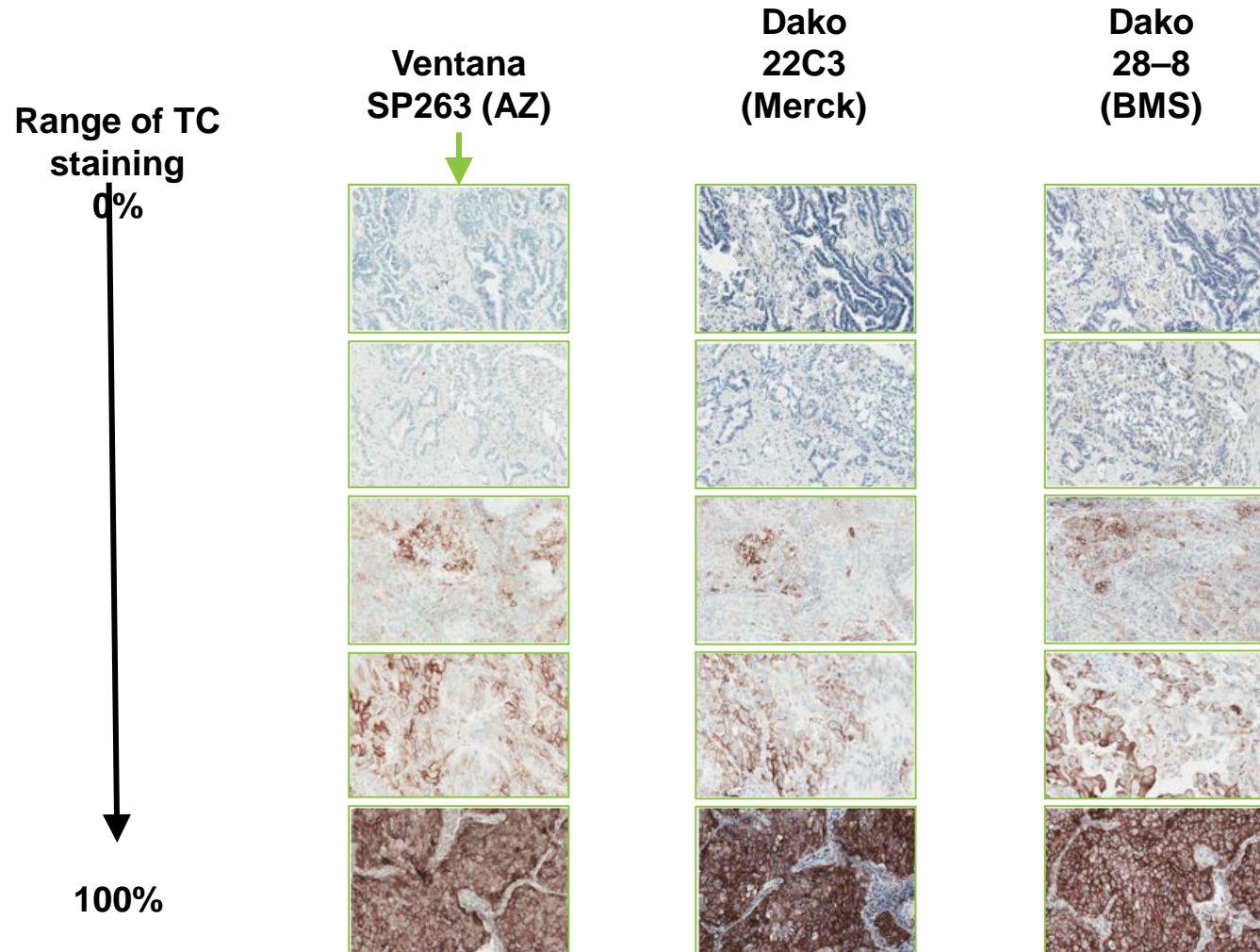
	MERCK	Bristol-Myers Squibb	MedImmune AstraZeneca	Roche
Diagnostic	Dako	Dako	Ventana	Ventana
PD-L1 antibody clone	22C3	28-8	SP263	SP142
Machines utilised	Link 48	Link 48	BenchMark ULTRA	BenchMark ULTRA
Compartment	TM	TM	TM	TC/IC
Variables	% of cells	% of cells	% of cells	% of cells
Cut-off used for patient subgroups	Strong(+): $\geq 50\%$	$>1\%$, 5%, 10% TC	PD-L1(high): $\geq 25\%^4$	$\geq 1\%$, 5% or 10% for IC $\geq 1\%$, 5% or 50% for TC
Diagnostic type	Companion diagnostic in NSCLC	Complementary diagnostic in NSCLC	Companion diagnostic in NSCLC, SCCHN and UC	Complementary diagnostic in UC ¹

Results from Blueprint demonstrate concordance between three assays with respect to TC staining

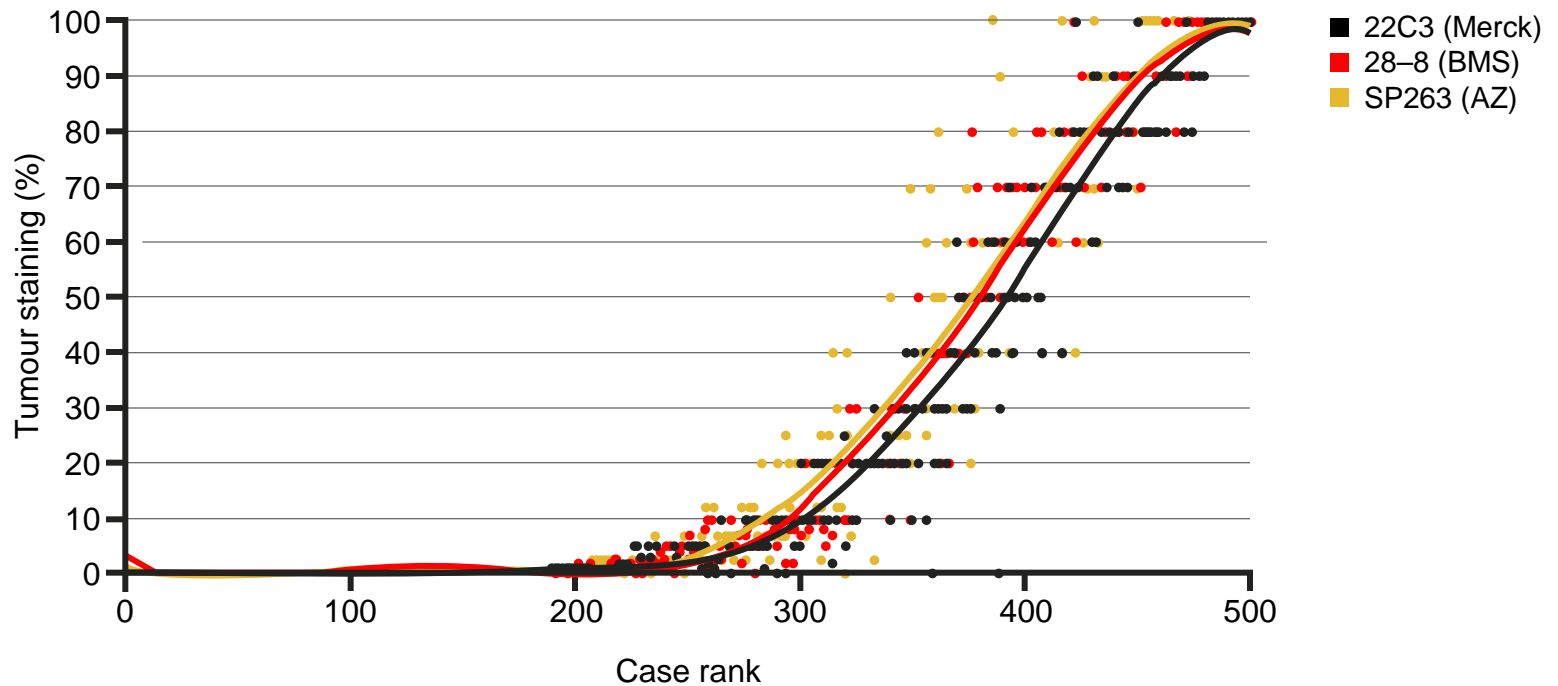
Mean tumour cell score per case, based on three readers



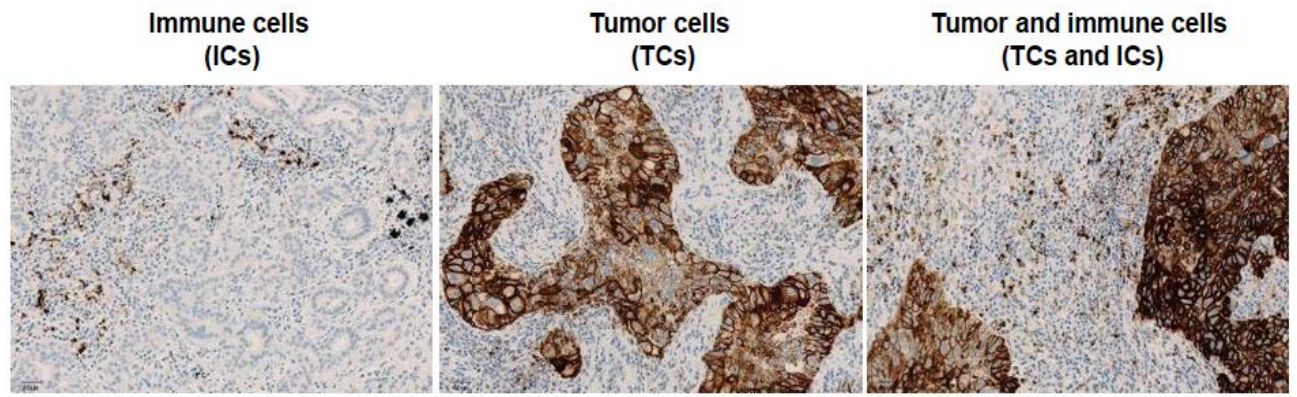
AstraZeneca is generating proprietary data sets: NSCLC concordance study



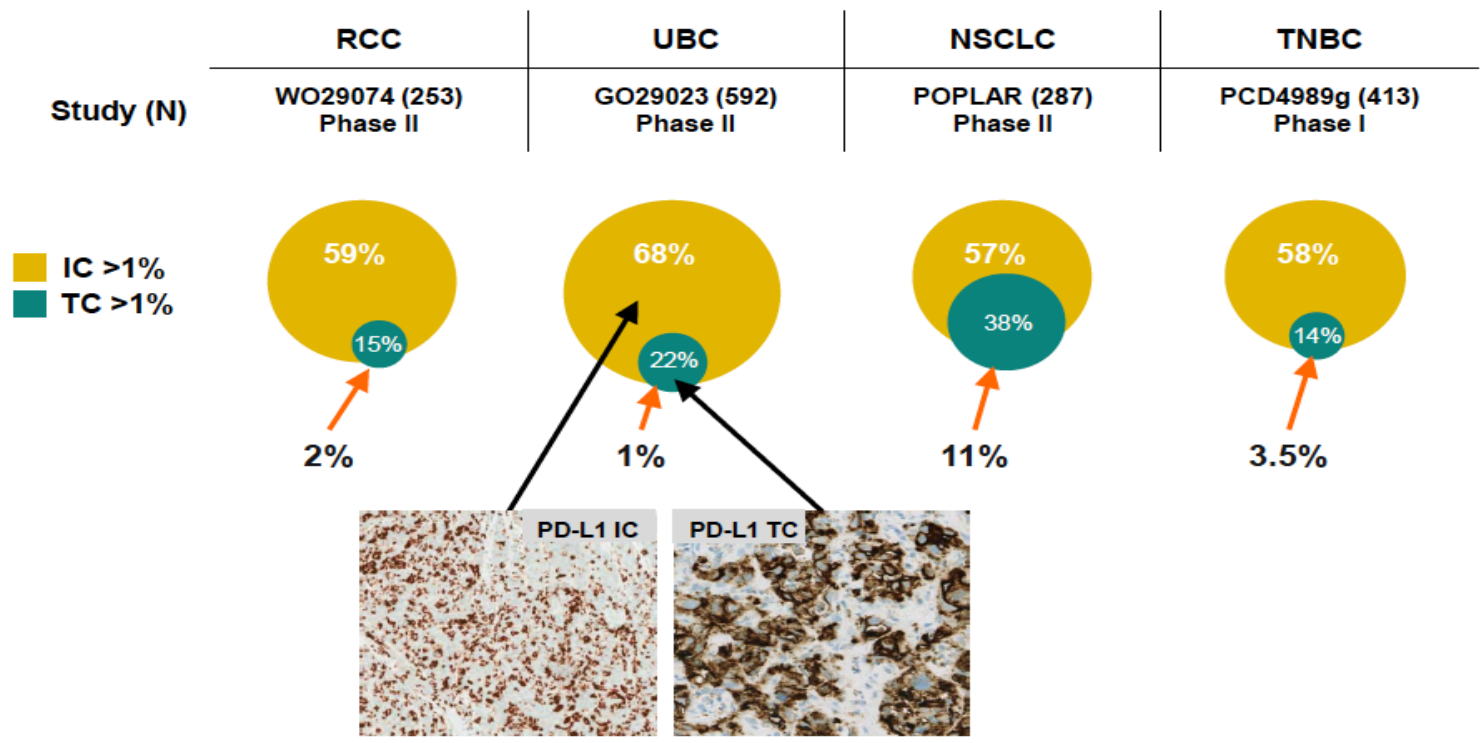
The NSCLC concordance study showed correlation between the three assays examined



PD1/PDL1 Targeted Therapies work best in Inflamed Tumors



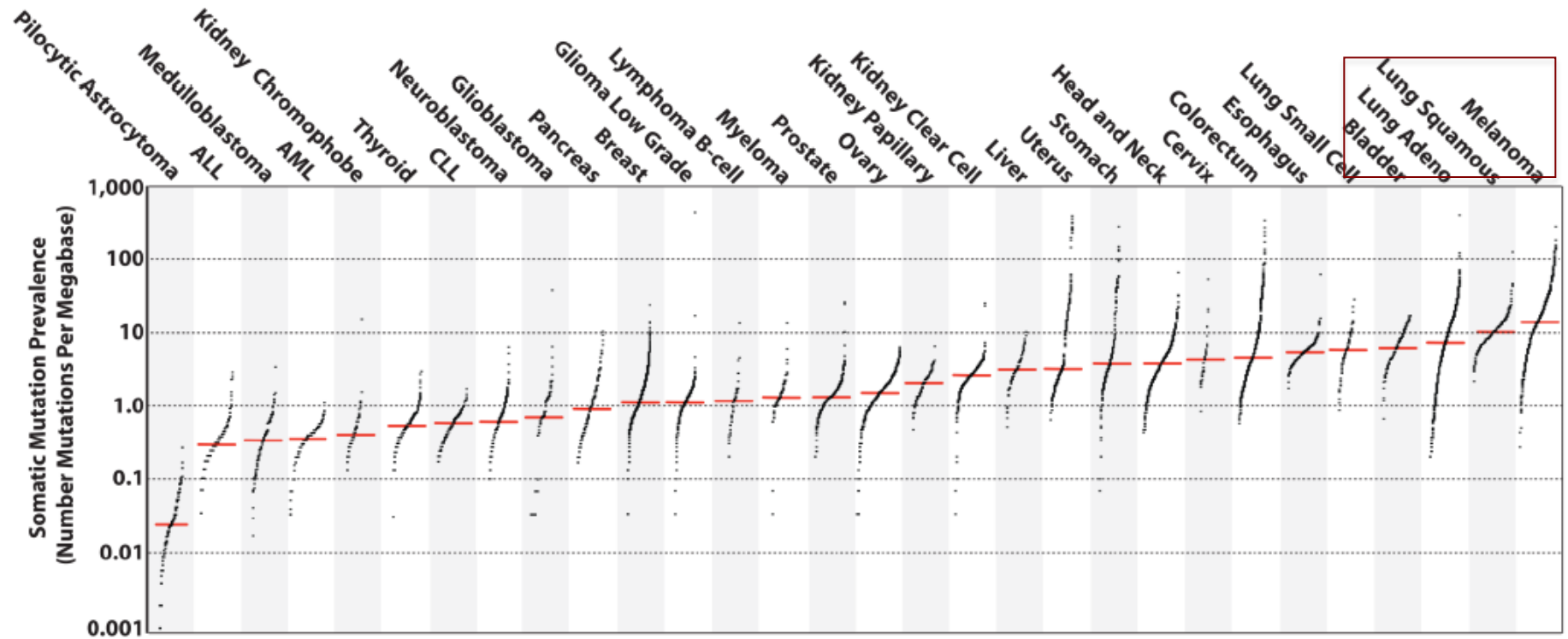
Immune cell expression of PD-L1 is more prevalent across indications



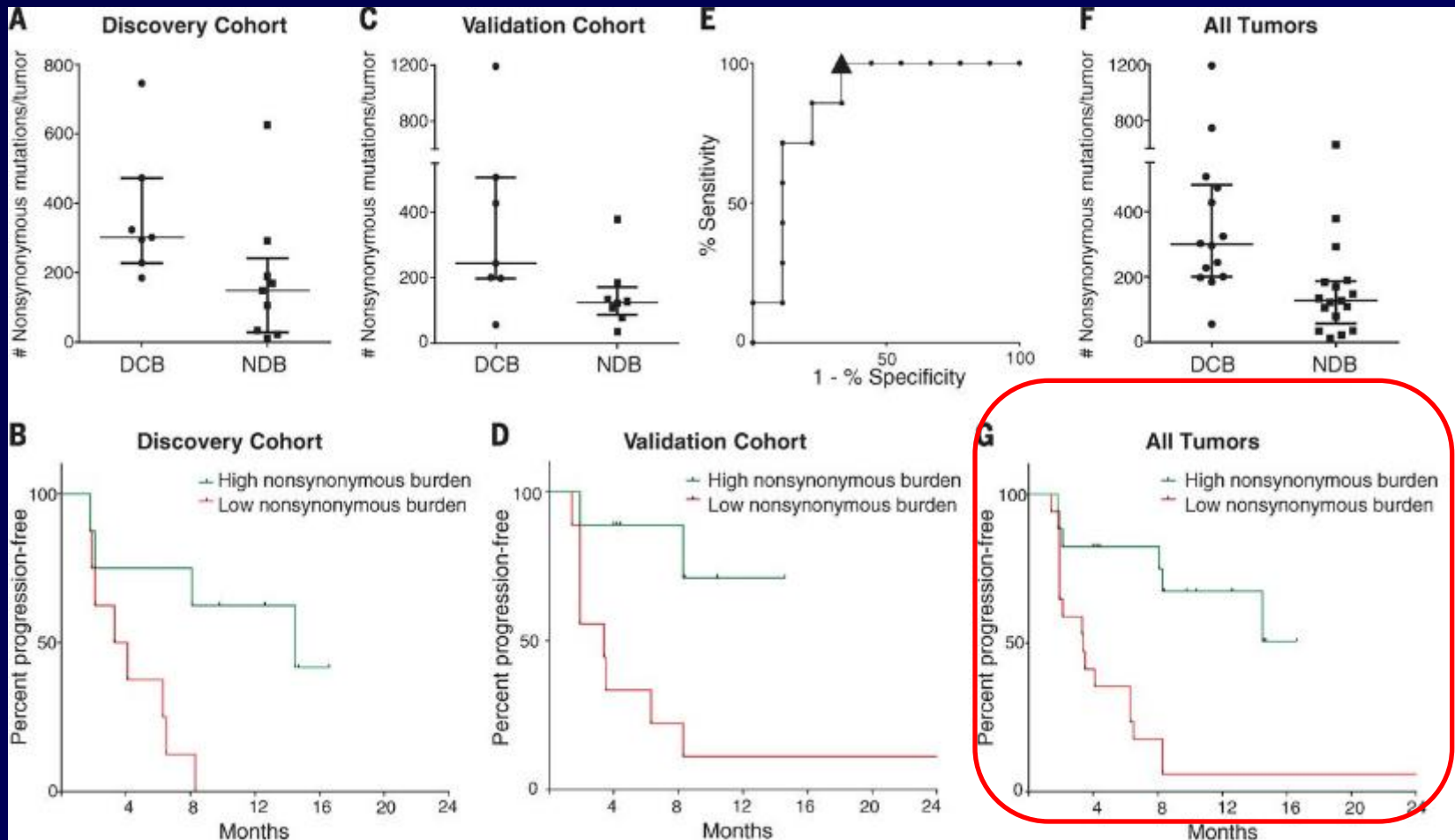
TNBC = triple negative breast cancer
Data from ongoing clinical trials

Mutations and Response to Therapy

Lung Cancer and Melanoma are Immunogenic Tumor: Gene Mutations Result in Neoantigens



Nonsynonymous mutation burden (whole exome sequencing) associated with PFS benefit of anti-PD-1 therapy (pembro).

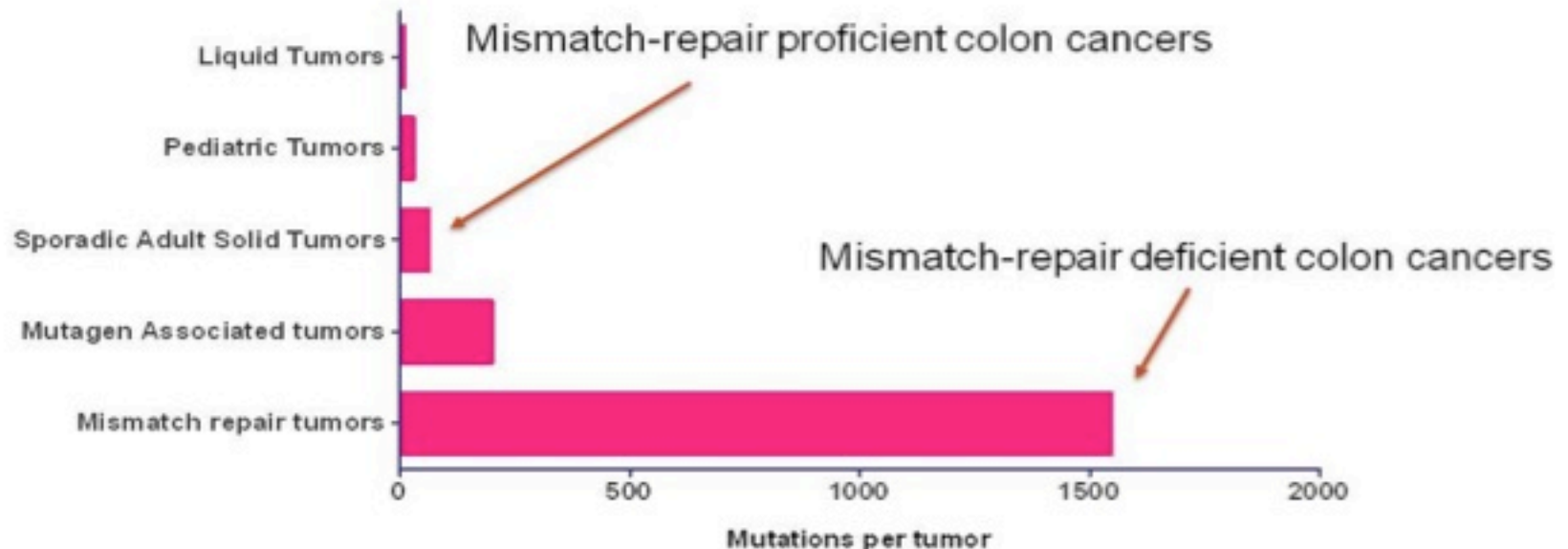


Mismatch Repair Deficiency

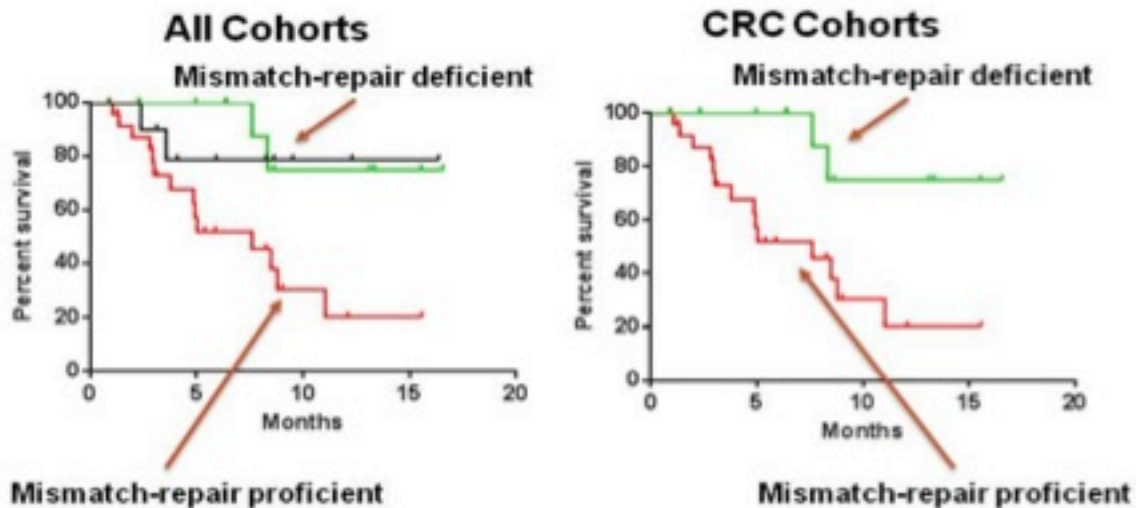
Microsatellite instability in tumor cells is due to deficient DNA mismatch repair:

- **germline** (Lynch syndrome) and/or **sporadic** mutations (MLH1, MSH2, MSH6, PMS2, EpCAM)
- **epigenetic silencing** (MLH1 hyper-methylation)

Mutations per tumor



Overall Survival



Objective Responses

	MMR-deficient CRC	MMR-proficient CRC	MMR-deficient non-CRC
N	13	25	10
Objective Response Rate	62%	0%	60%
Disease Control Rate	92%	16%	70%

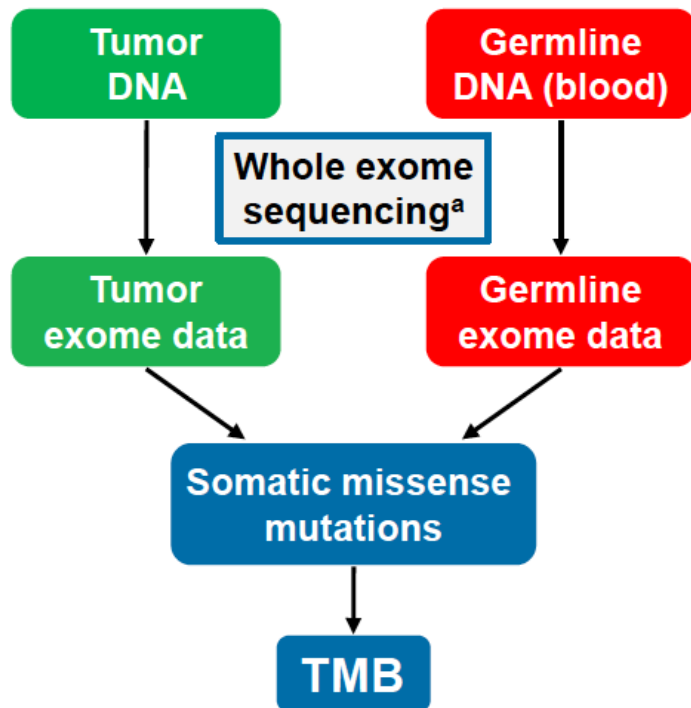
Impact of Tumor Mutation Burden on the Efficacy of First-Line Nivolumab in Stage IV or Recurrent Non-Small Cell Lung Cancer: An Exploratory Analysis of CheckMate 026

Solange Peters,¹ Benjamin Creelan,² Matthew D. Hellmann,³ Mark A. Socinski,⁴ Martin Reck,⁵ Prabhu Bhagavatheeswaran,⁶ Han Chang,⁶ William J. Geese,⁶ Luis Paz-Ares,⁷ David P. Carbone⁸

¹Oncology Department, Lausanne University Hospital, Lausanne, Switzerland; ²H. Lee Moffitt Cancer Center, Tampa, FL, USA; ³Memorial Sloan Kettering Cancer Center, New York, NY, USA; ⁴Florida Hospital Cancer Institute, Orlando, FL, USA; ⁵LungenClinic Grosshansdorf, Airway Research Center North (ARCN), German Center for Lung Research (DZL), Grosshansdorf, Germany; ⁶Bristol-Myers Squibb, Princeton, NJ, USA; ⁷Hospital Universitario Doce de Octubre, CNIO and Universidad Complutense, Madrid, Spain; ⁸Ohio State University Comprehensive Cancer Center, Columbus, OH, USA

Exploratory TMB Methods

CheckMate 026 TMB Analysis: Nivolumab in First-line NSCLC



^aDNA was sequenced on the Illumina HiSeq 2500 using 2 × 100-bp paired-end reads; an average of 84 and 89 million reads were sequenced per tumor and germline sample, respectively (average 84.6 × and 93 × the mean target coverage, respectively)

Sample size throughout TMB determination		
Patients, n (%)	Tumor DNA	Germline DNA
Randomized	541 (100)	541 (100)
Samples available for DNA extraction ^a	485 (90)	452 (84)
DNA available for sequencing	408 (75)	452 (84)
Successful preparation of next-generation sequencing library	402 (74)	452 (84)
Passed internal quality control ^b	320 (59)	432 (80)
Matched tumor-germline exome sequences for TMB analysis^c	312 (58)	

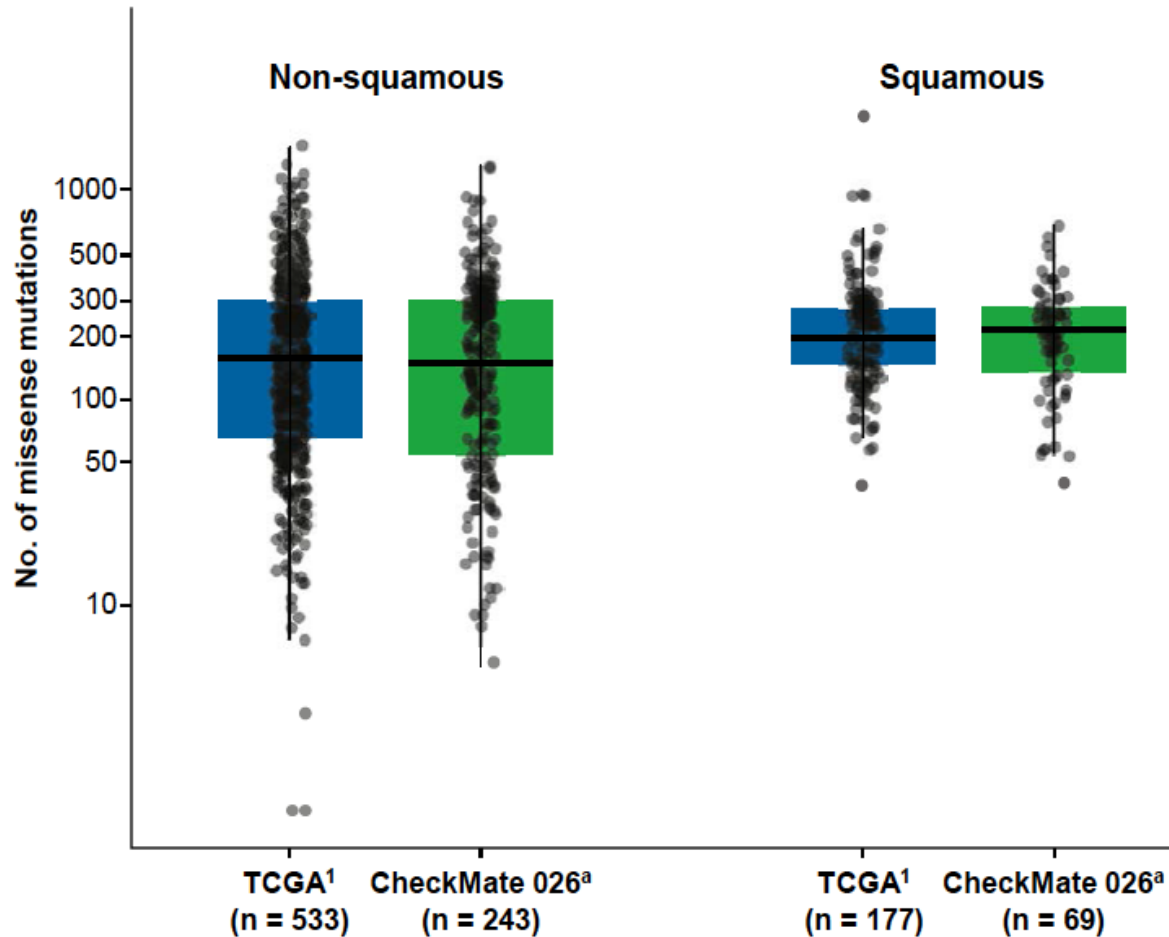
^aSamples were not available for various reasons, including but not limited to lack of patient pharmacogenetic consent, samples exhausted for PD-L1 testing, or poor tissue sampling

^bInternal quality control failure included factors such as discordance between tumor and germline DNA, too few sequence reads, and low or uneven target region coverage

^c8 patients with available tumor DNA sequences did not have matched germline DNA sequences

TMB in The Cancer Genome Atlas¹ and CheckMate 026 Samples

CheckMate 026 TMB Analysis: Nivolumab in First-line NSCLC



^aSamples were from whole exome sequencing

1. Broad Institute TCGA Genome Data Analysis Center (2015): Firehose stddata__2015_02_04 run. Broad Institute of MIT and Harvard. doi:10.7908/C19P30S6

Exploratory Analysis by TMB Tertile

CheckMate 026 TMB Analysis: Nivolumab in First-line NSCLC

- For initial exploratory analyses, patients were divided into 3 subgroups based on TMB tertile distribution

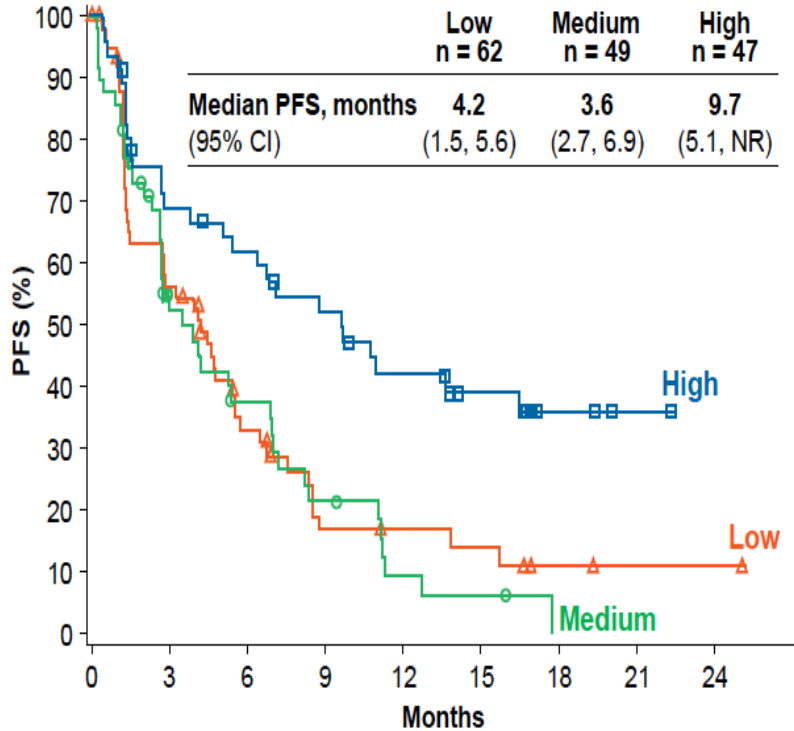
TMB tertile	Total missense mutations, no.
Low	0 to <100
Medium	100 to 242
High	≥243

- ROC curves were generated and suggested TMB has predictive power
 - Additional analyses to help further refine potential optimal cutpoints are ongoing

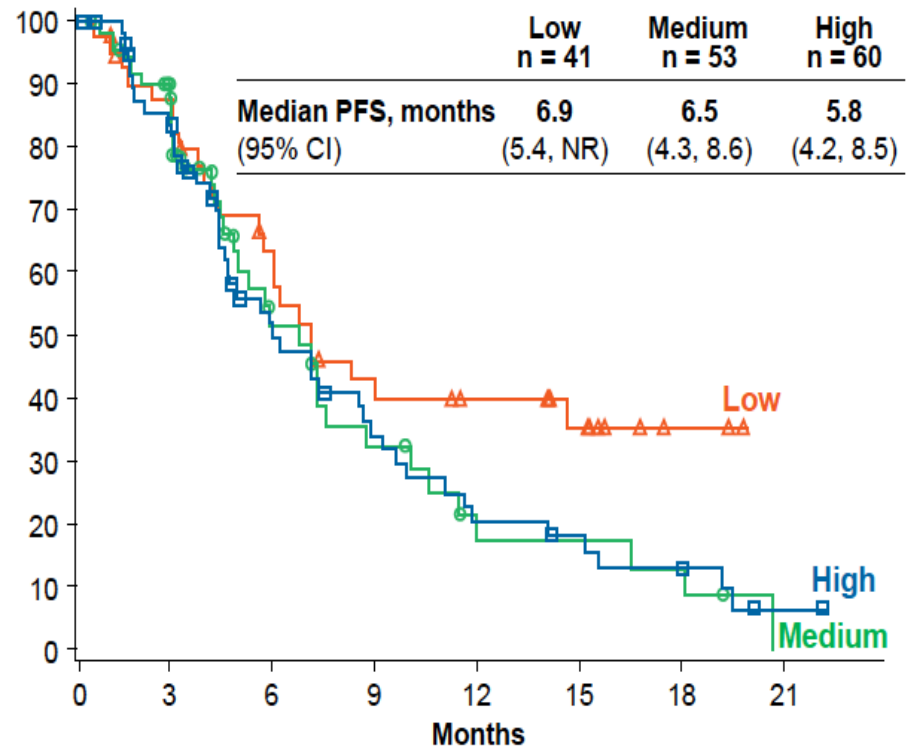
PFS by Tumor Mutation Burden Tertile

CheckMate 026 TMB Analysis: Nivolumab in First-line NSCLC

Nivolumab Arm



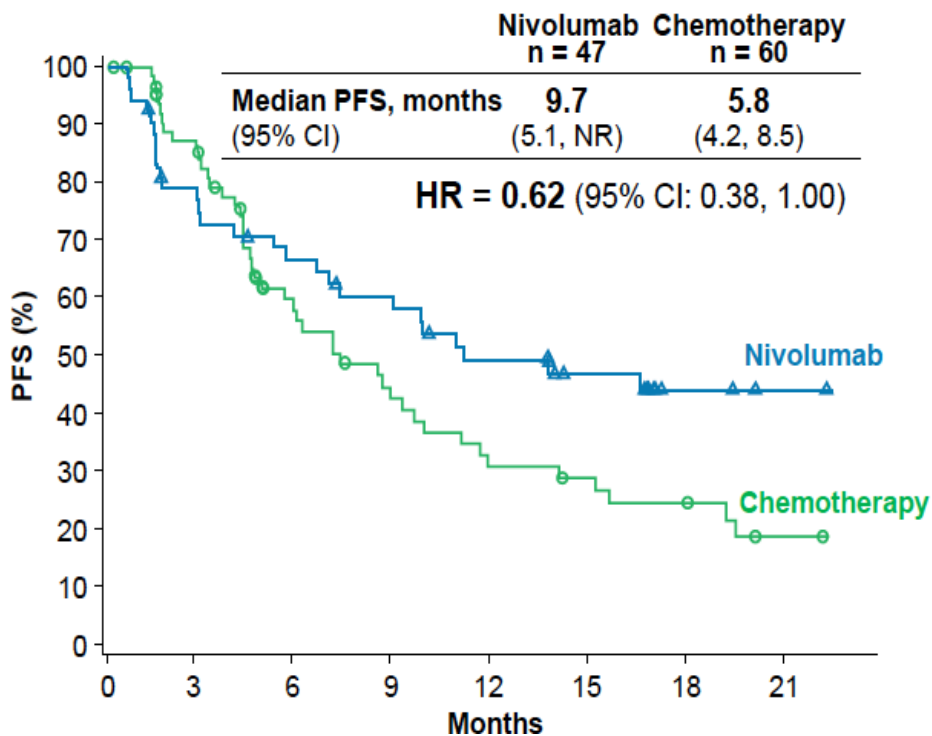
Chemotherapy Arm



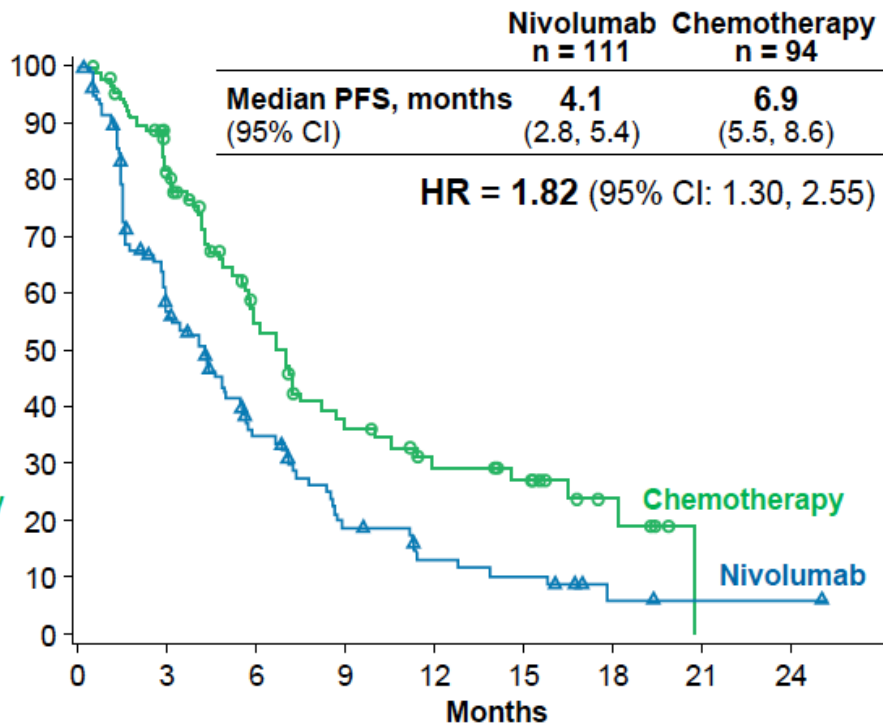
PFS by Tumor Mutation Burden Subgroup

CheckMate 026 TMB Analysis: Nivolumab in First-line NSCLC

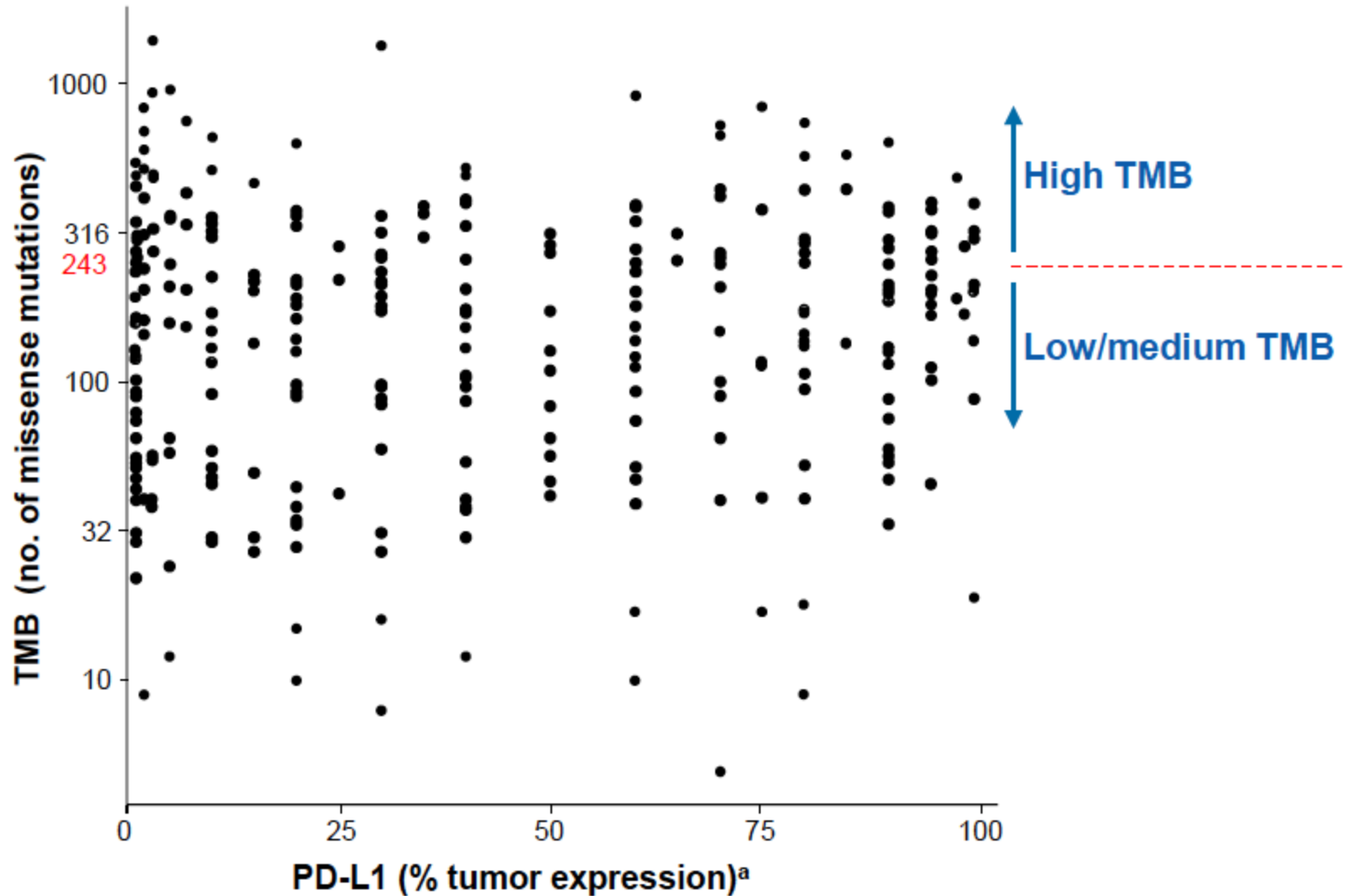
High TMB



Low/medium TMB

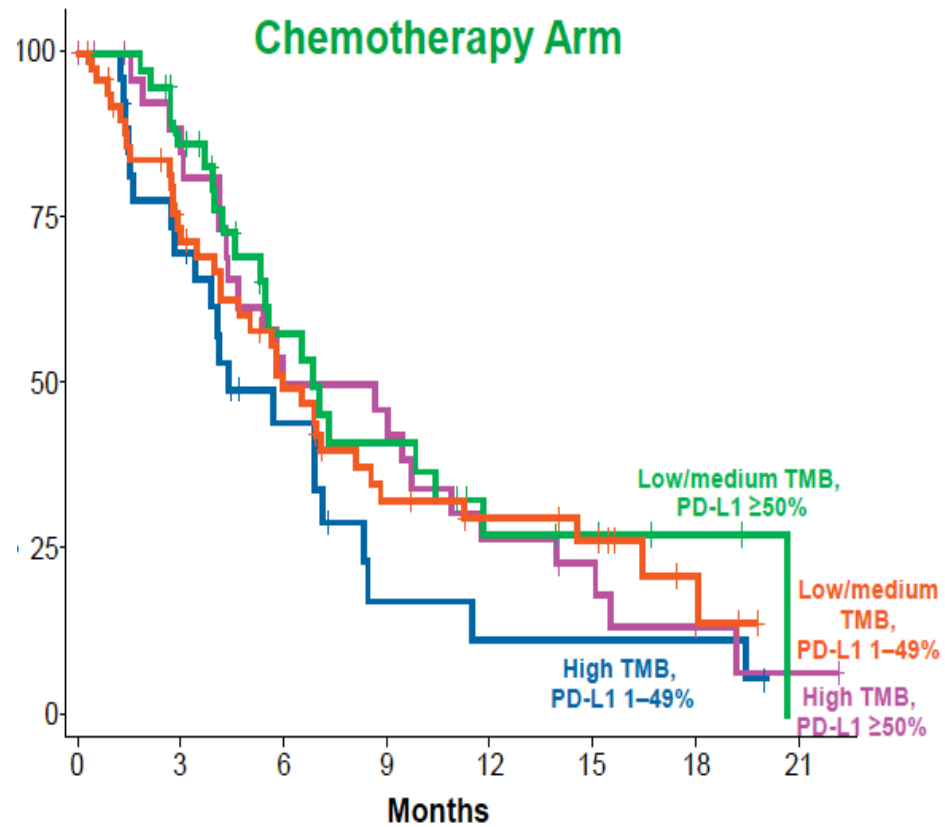
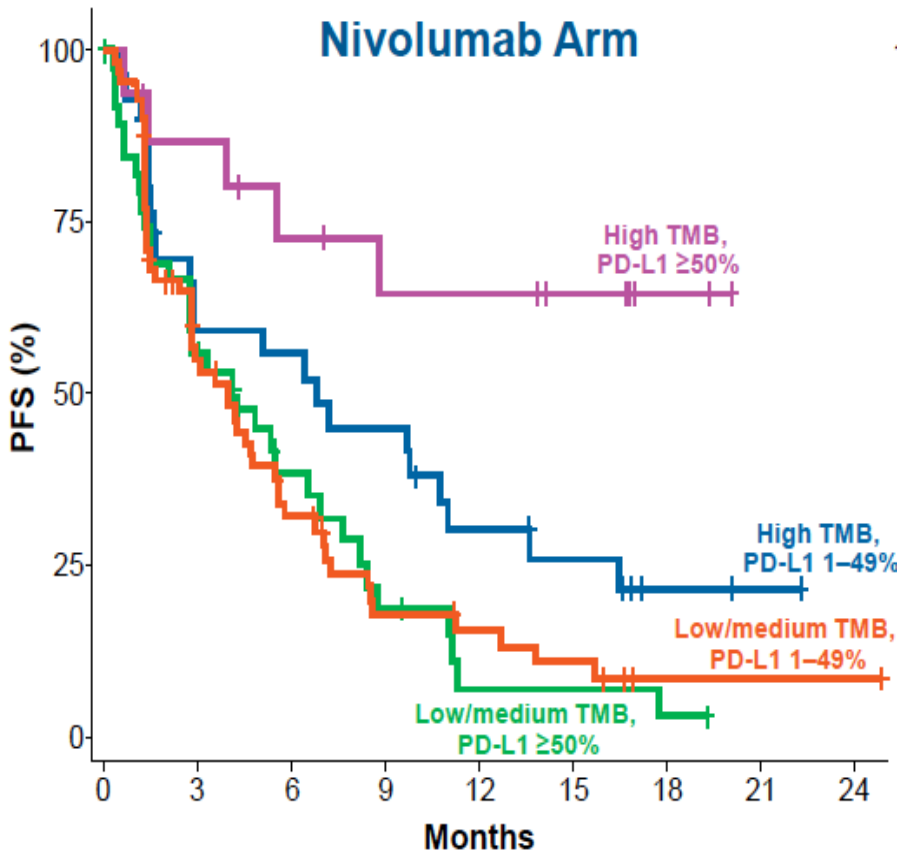


No Association Found Between PDL1 Expression and Mutational Burden



PFS by TMB Subgroup and PD-L1 Expression

CheckMate 026 TMB Analysis: Nivolumab in First-line NSCLC



Oncogene Drivers and Efficacy of Therapy

Nivolumab (CA209-153 study)

	CR, n(%)	PR, n(%)	SD, n(%)
Total patients N=531	0	63 (12)	233 (44)
<i>EGFR</i> Mut+ (n=55)	0	9 (16)	26 (47)
<i>EGFR</i> WT (n=300)	0	34 (11)	123 (41)
<i>ALK</i> + (n=12)	0	1 (8)	7 (58)
<i>ALK</i> neg (n=299)	0	35 (12)	123 (41)

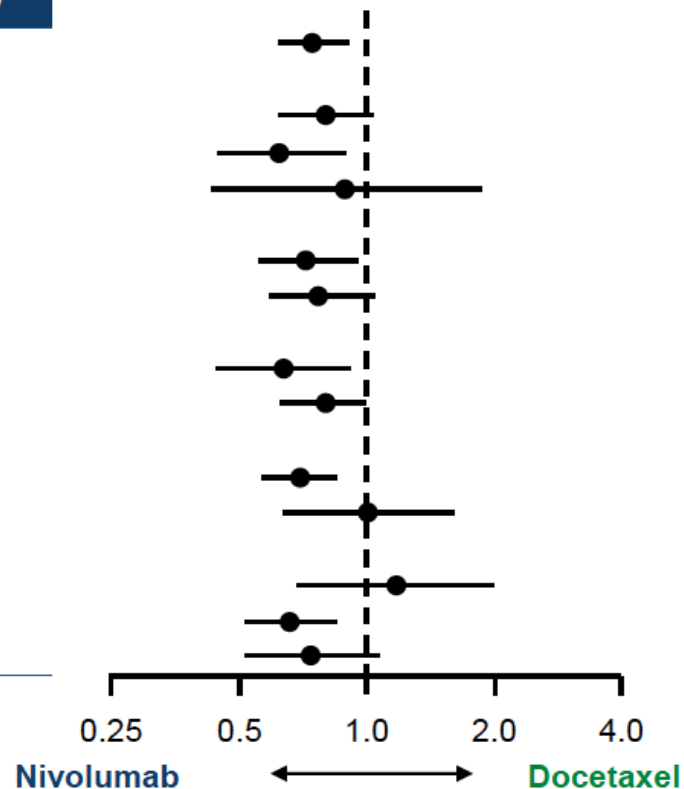
Pembrolizumab (KEYNOTE-001)

	TPS ≥50%		TPS 1-49%		TPS <1%		Total ^a	
	n	ORR, % (95% CI)	n	ORR, % (95% CI)	n	ORR, % (95% CI)	N	ORR, % (95% CI)
Overall	144	38.2 (30.2-46.7)	185	11.9 (7.6-17.4)	80	10.0 (4.4-18.8)	550	20.2 (16.9-23.8)
<i>EGFR</i> wild type	113	39.8 (30.7-49.5)	156	12.2 (7.5-18.4)	63	12.7 (5.6-23.5)	450	21.6 (17.8-25.6)
<i>EGFR</i> mutant	20	20.0 (5.7-43.7)	23	8.7 (1.1-28.0)	14	0.0 (0.0-23.2)	77	7.8 (2.9-16.2)

Checkmate 057 Study Results

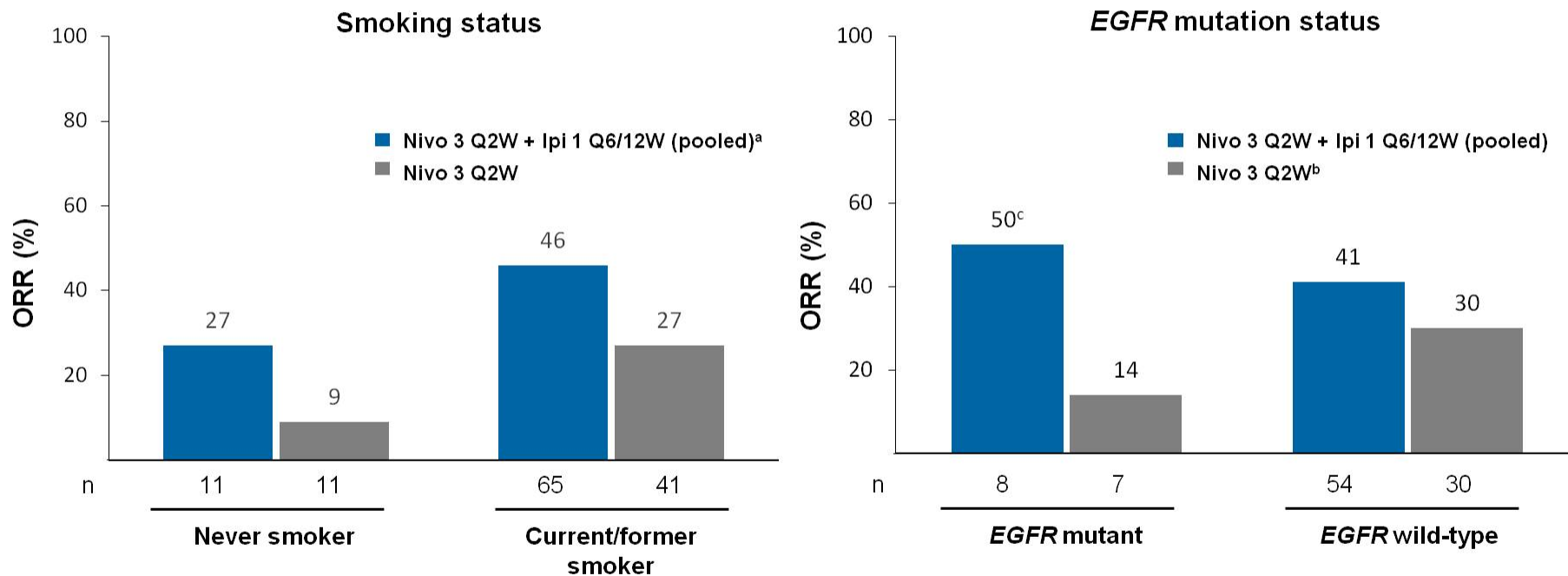
Treatment Effect on OS in Predefined Subgroups

	N	Unstratified HR (95% CI)
Overall	582	0.75 (0.62, 0.91)
Age Categorization (years)		
<65	339	0.81 (0.62, 1.04)
≥65 and <75	200	0.63 (0.45, 0.89)
≥75	43	0.90 (0.43, 1.87)
Gender		
Male	319	0.73 (0.56, 0.96)
Female	263	0.78 (0.58, 1.04)
Baseline ECOG PS		
0	179	0.64 (0.44, 0.93)
≥1	402	0.80 (0.63, 1.00)
Smoking Status		
Current/Former Smoker	458	0.70 (0.56, 0.86)
Never Smoked	118	1.02 (0.64, 1.61)
EGFR Mutation Status		
Positive	82	1.18 (0.69, 2.00)
Not Detected	340	0.66 (0.51, 0.86)
Not Reported	160	0.74 (0.51, 1.06)



All randomized patients (nivolumab, n = 292; docetaxel, n = 290).

Nivolumab Plus Ipilimumab in First-line NSCLC: Efficacy by Smoking and *EGFR* Mutation Status



Combination data based on a February 2016 database lock; monotherapy data based on a March 2015 database lock

^aExcludes 1 patient with unknown smoking status (nivo 3 Q2W + ipi 1 Q6W)

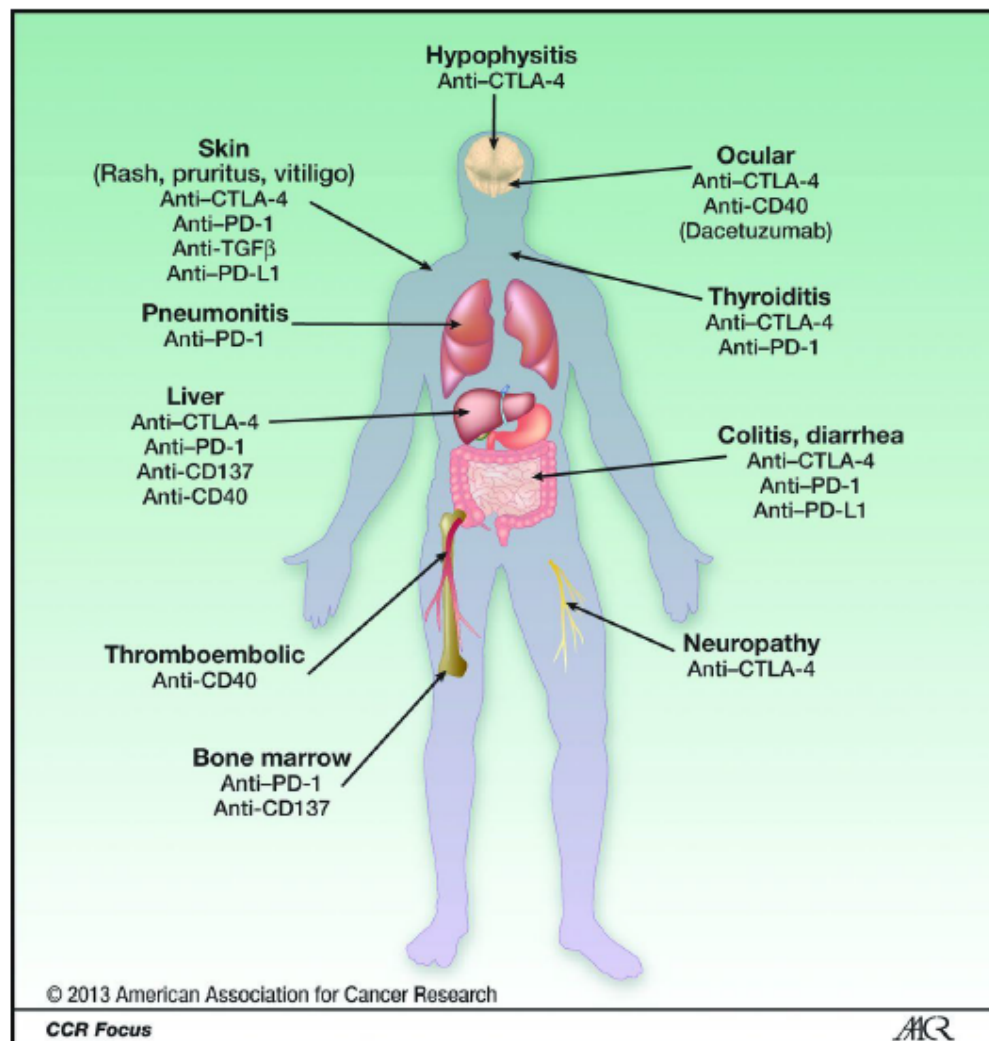
^bIn patients with non-squamous histology only

^cMust be interpreted with caution: of these 4 responders, 1 did not have a classical exon 19 deletion or L858R *EGFR* activating mutations, 3 were former/current smokers, and 3 had high PD-L1 expression levels

Issues

- **What's the optimal duration of therapy?**
 - **Long term toxicities**

Tissue distribution of the most frequent irAEs observed with immunostimulatory mAbs.

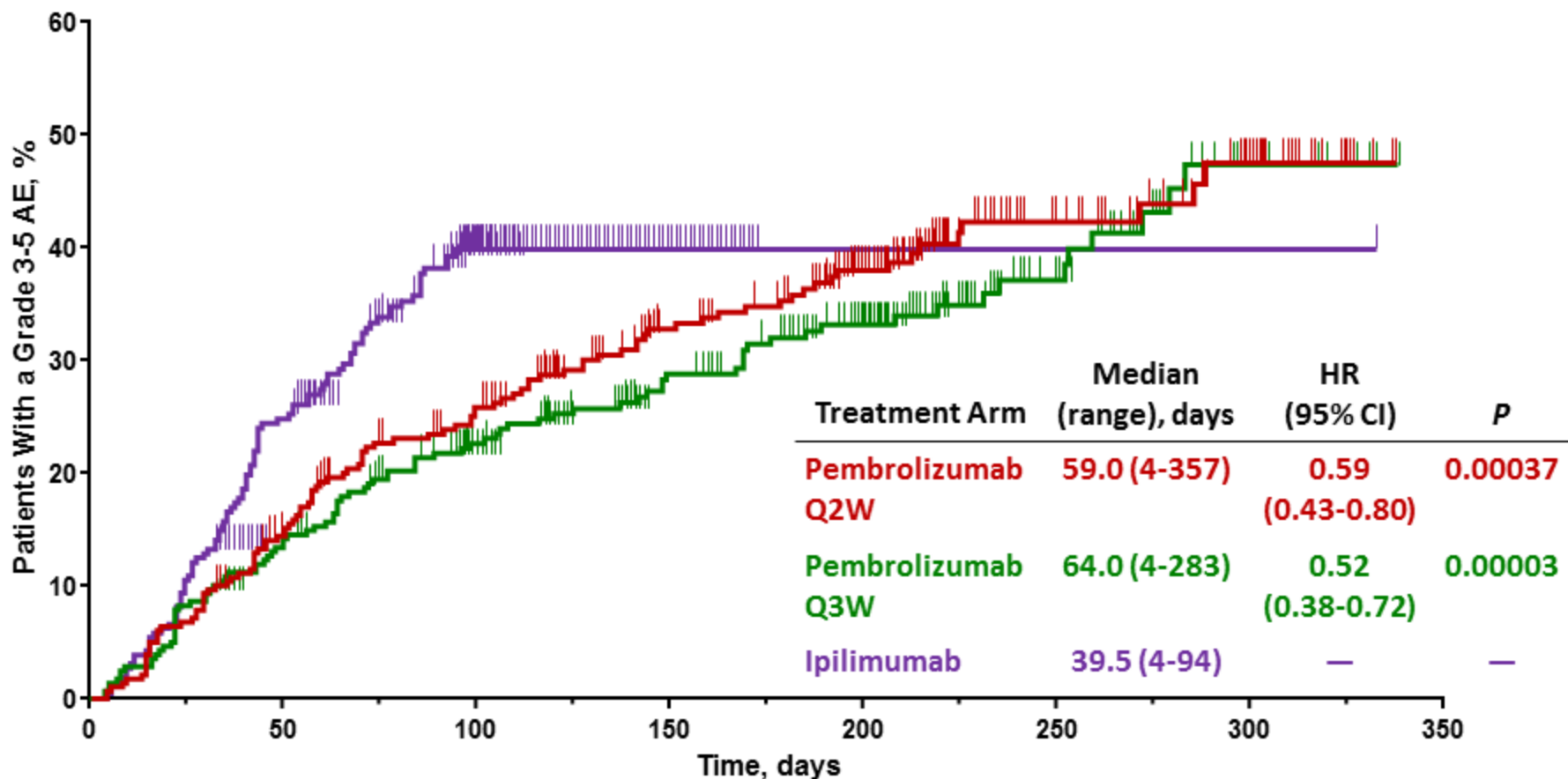


Melero I et al. Clin Cancer Res 2013;19:997-1008

Immune Adverse Events

- **Onset:**
 - Average is 6-12 weeks after initiation of therapy
 - Can occur within days of the first dose, after several months of treatment, and after discontinuation of therapy
- **Pt complaints are autoimmune and drug related until proven otherwise**
 - Rule out infections, metabolic causes, tumor effects, etc
- **Early recognition, evaluation, and treatment are critical**

Time to First Grade 3-5 Adverse Event^a at IA1



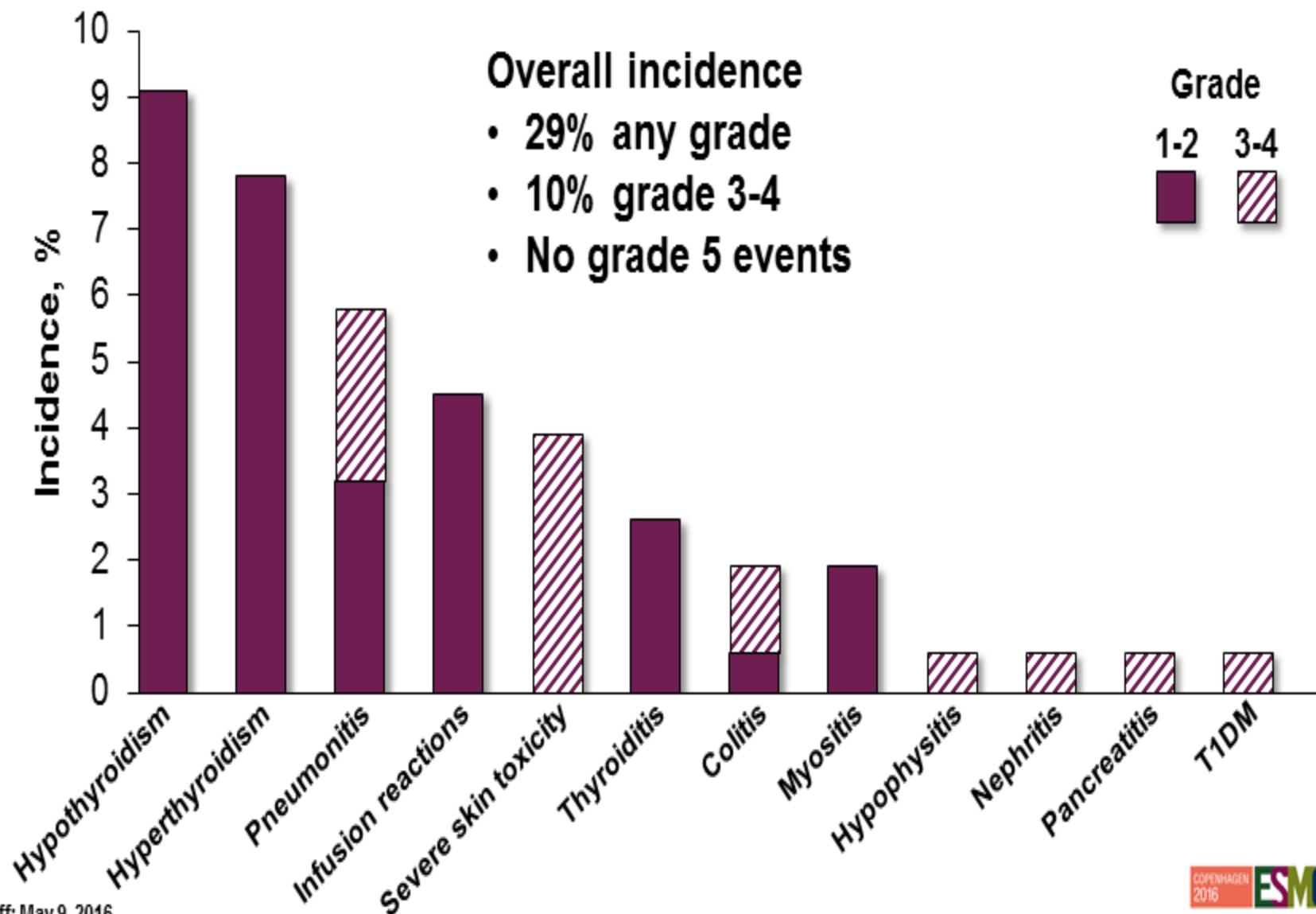
No. at risk

278	230	188	139	98	45	22	1
277	233	179	140	97	47	17	0
256	182	6	1	1	1	1	0

^aAdverse events are presented regardless of causality.

Analysis cut-off date: September 3, 2014.

Immune-Mediated AEs With Pembrolizumab



Event	Nivolumab (N=131)	
	Any Grade	Grade 3 or 4
	<i>number of patients</i>	
Any event	76 (58)	9 (7)
Fatigue	21 (16)	1 (1)
Decreased appetite	14 (11)	1 (1)
Asthenia	13 (10)	0
Nausea	12 (9)	0
Diarrhea	10 (8)	0
Arthralgia	7 (5)	0
Pyrexia	6 (5)	0
Pneumonitis	6 (5)	0
Rash	5 (4)	0
Mucosal inflammation	3 (2)	0
Myalgia	2 (2)	0
Anemia	2 (2)	0
Peripheral neuropathy	1 (1)	0
Leukopenia	1 (1)	1 (1)
Neutropenia	1 (1)	0
Febrile neutropenia	0	0
Alopecia	0	0

**Treatment
Related
Adverse
Events
Reported in
at Least 5%
Patients**



BURISITS AND TENDINOSIS

SYNOVITIS

CAPSULITIS

Progress

- Symptoms improved with NSAIDs

Other significant issue

- A CT scan after 2 cycles demonstrated ground glass changes consistent with pneumonitis

Toxicity

- **Received cycle 2 anti-PD1 therapy on the 28/1/2016**
- **1/2/2016 contacted by wife**
 - **Symptoms of somnolence, memory loss, expressive dysphasia and ataxia**
- **Admitted to hospital**
 - **Investigations including CT brain and MRI scan unremarkable apart from post-surgical and radiotherapy changes**
 - **CSF – completely normal (including subsequent JC virus PCR)**

Progress

- **Auto-antibody and vasculitic screen normal**
- **EEG demonstrated a generalised encephalopathy**
- **Condition deteriorated further over 3 days in hospital**
 - **Decision to commence high dose methylprednisone (1G daily for 3 days and subsequent weaning)**
- **Patients condition improved significantly and symptoms on follow-up have now virtually resolved**
 - **Anti-PDL1 therapy discontinued**

Neurological side-effects

- Neurological adverse events were considered rare with checkpoint inhibitors
- Recent review of melanoma in Royal Marsden – 2.8%
- Ipililumab associated with Guillain-Barre Syndrome, Transverse myelitis, Myasthenia Gravis, meningitis, and Posterior Reversible Encephalopathy Syndrome
- Limbic encephalitis secondary to pembrolizumab reported
 - MRI and CSF findings abnormal but EEG no epileptiform discharges
 - cognitive decline was not reversed with high dose corticosteroids
- The majority resolve high dose steroids, however fatalities have been reported
- Significant toxicities probably under-called

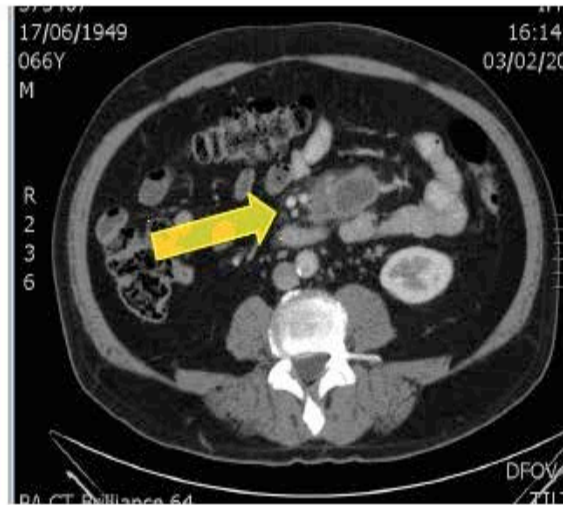
Issues

-and the optimal duration of therapy?

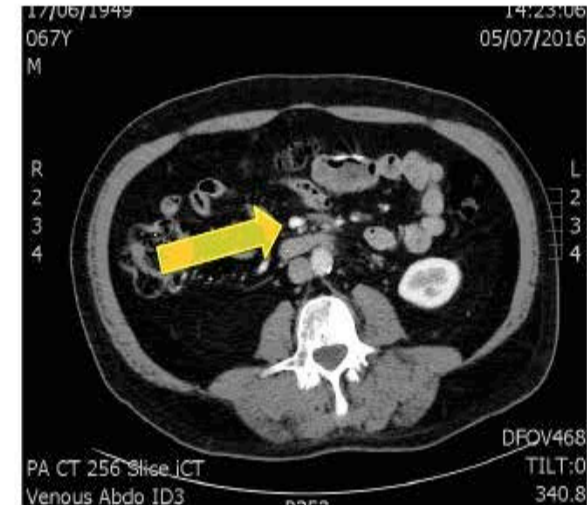
Deep Partial Response to Pembrolizumab



5th January 2016- prior to pembrolizumab



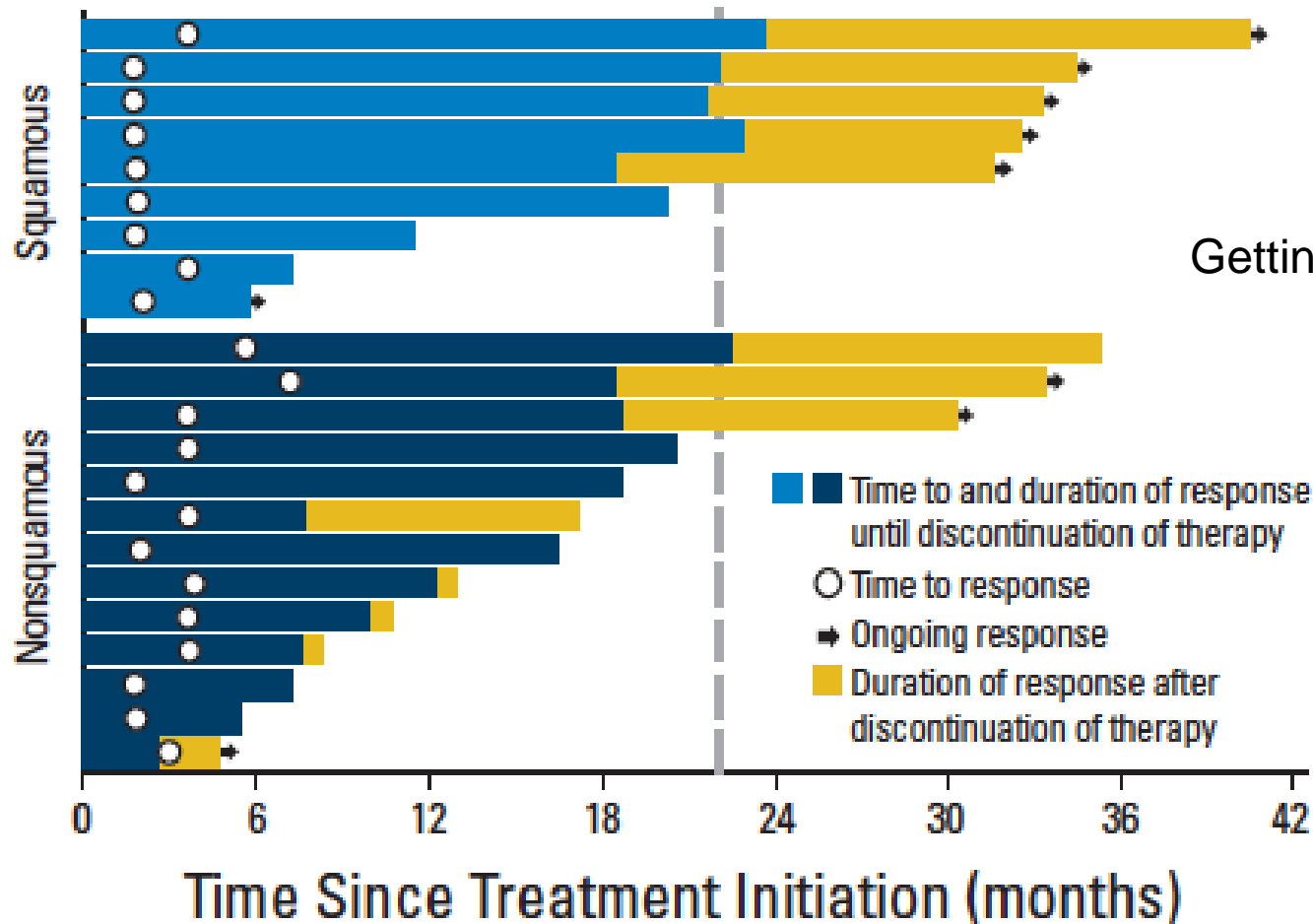
3rd February 2016- First scan after discontinuation of pembrolizumab



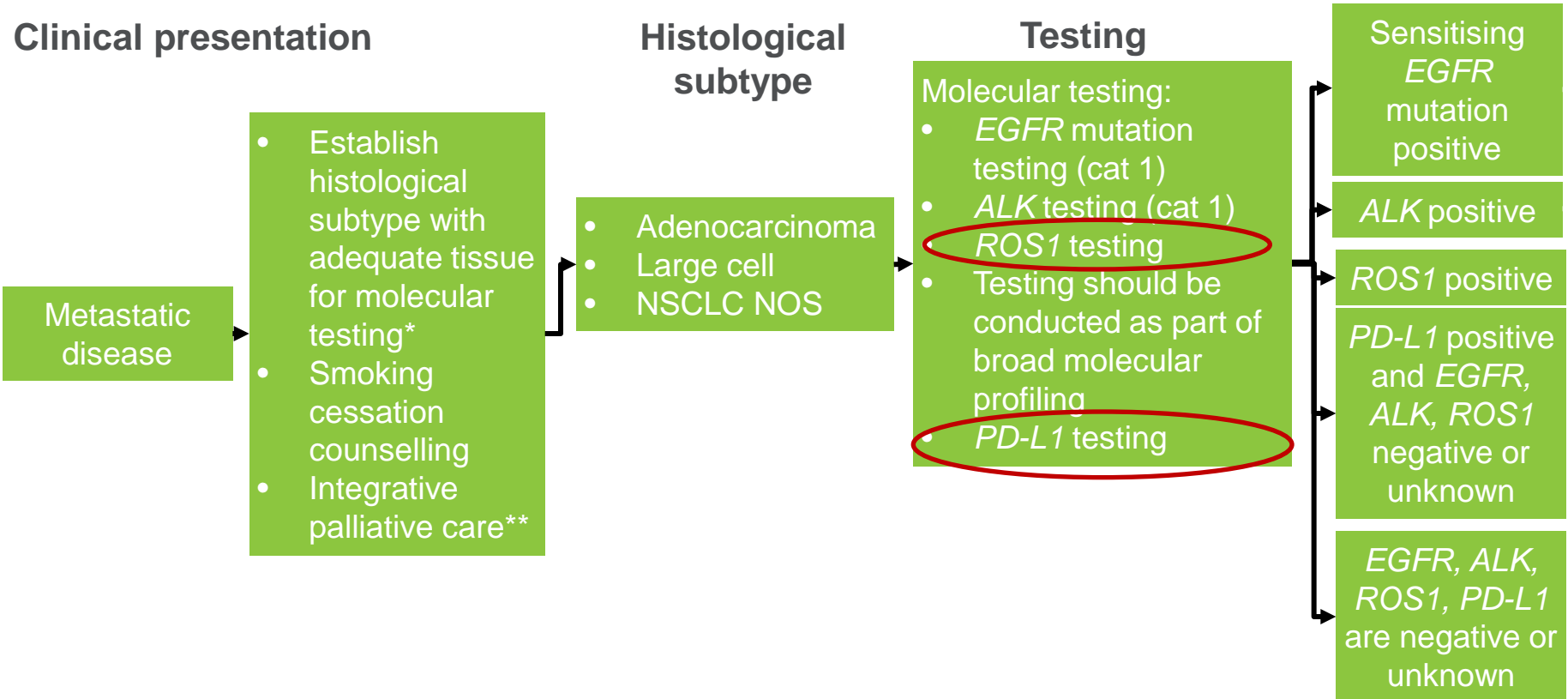
5th July 2016- 5 months after discontinuation of pembrolizumab

Response ongoing 16 months after stopping Pembrolizumab

Overall Survival and Long-Term Safety of Nivolumab (Anti-Programmed Death 1 Antibody, BMS-936558, ONO-4538) in Patients With Previously Treated Advanced Non-Small-Cell Lung Cancer



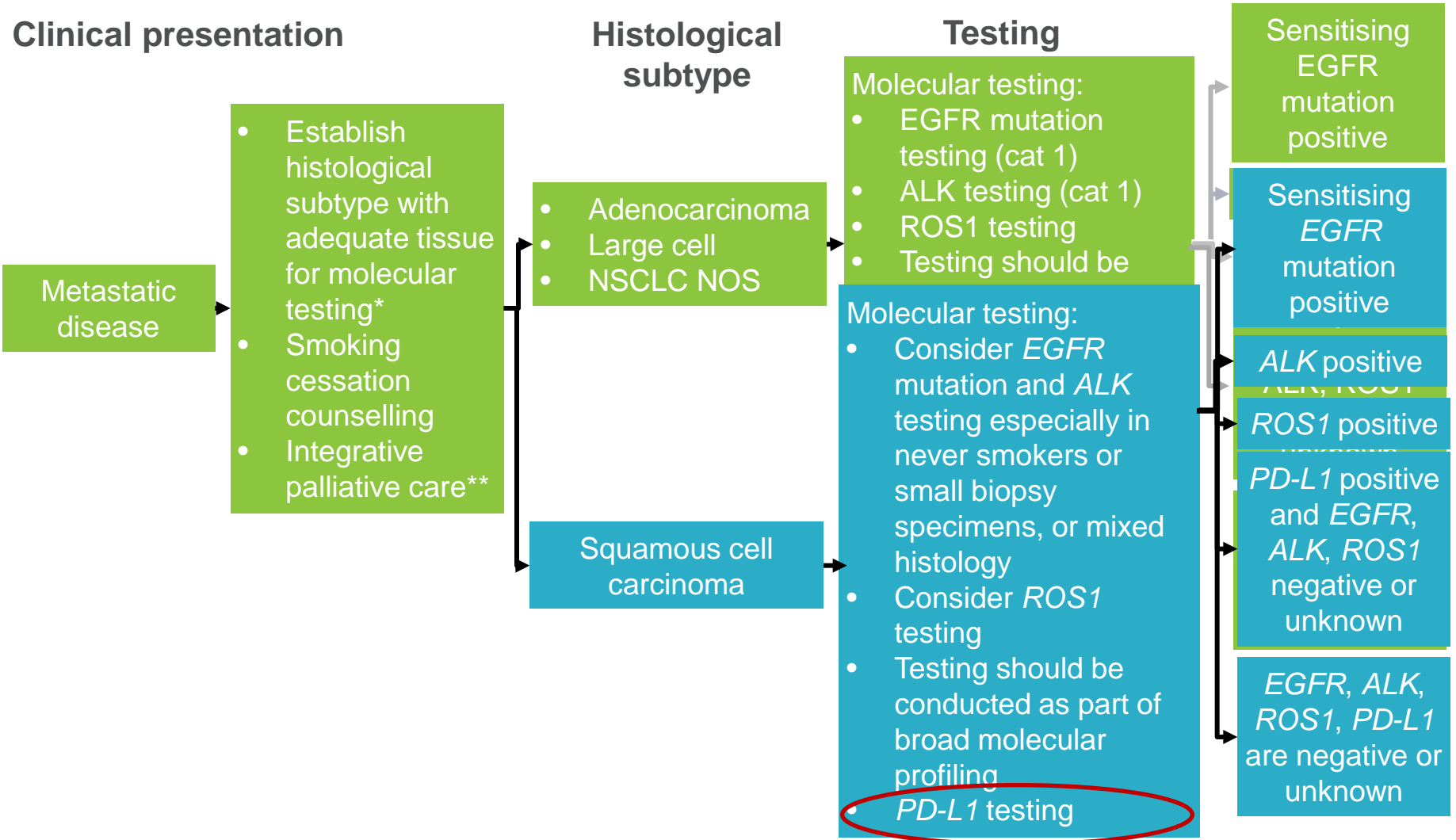
NCCN guidelines for NSCLC V5, 2017



* Consider rebiopsy, if appropriate; ** See NCCN Guidelines for Palliative Care. NGS, next generation sequencing; NOS, not otherwise specified.

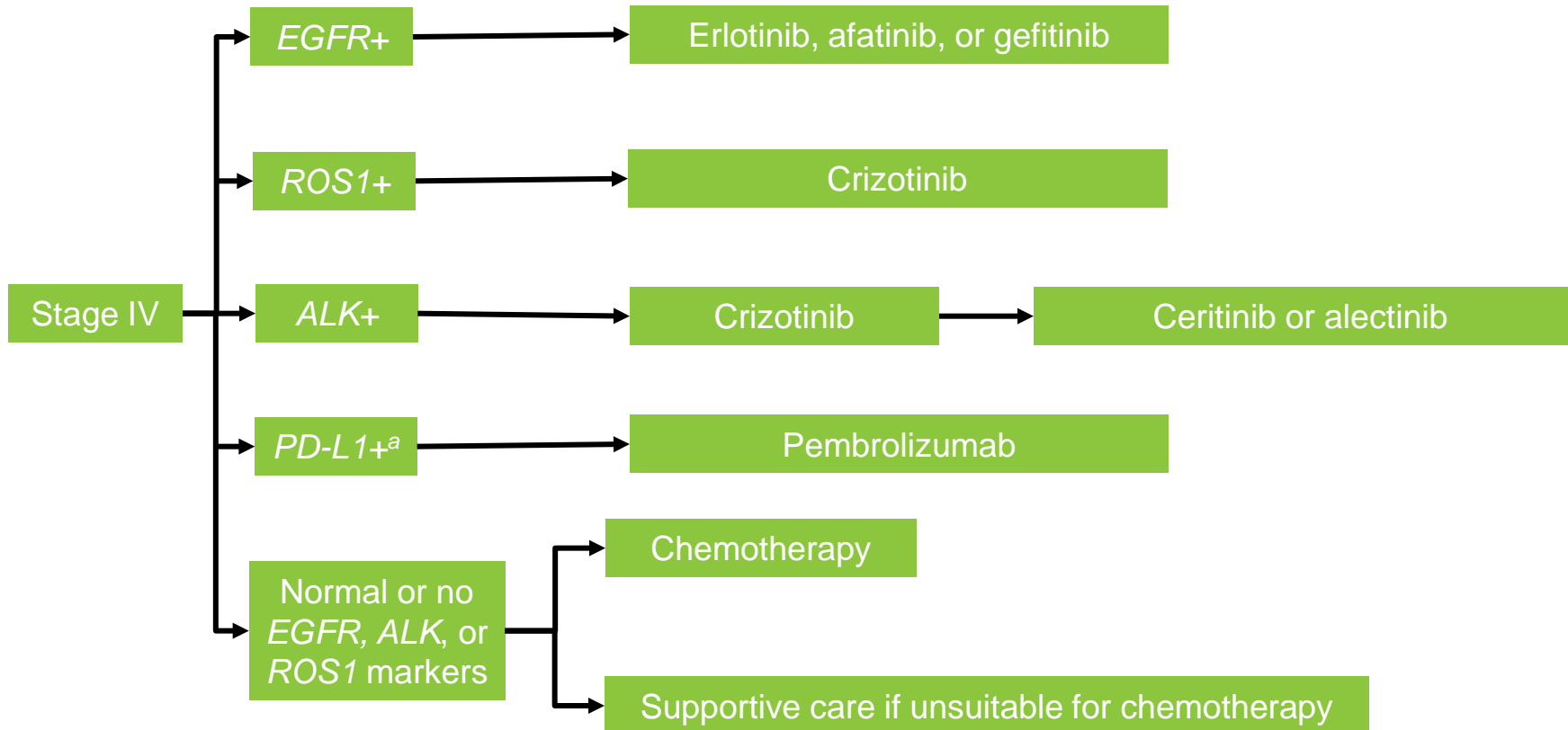
1. NCCN Clinical Practice Guidelines in Oncology. Non-Small Cell Lung Cancer Version 5. 2017. Available at: https://www.nccn.org/professionals/physician_gls/pdf/nscl.pdf (accessed April 2017)

NCCN guidelines for NSCLC V5, 2017



* Consider rebiopsy, if appropriate; ** See NCCN Guidelines for Palliative Care. NGS, next generation sequencing; NOS, not otherwise specified.

Current NCCN guidelines for 1L treatment of stage IV NSCLC^{1,2}



1. https://www.nccn.org/patients/guidelines/quick_guides/lung-nsclc/treatment_options/ 2. NCCN guidelines. Non-Small Cell Lung Cancer Version 5. 2017. Available at: https://www.nccn.org/professionals/physician_gls/pdf/nscl.pdf (accessed April 2017)

^a EGFR, ALK and ROS1 negative or unknown

Conclusions

- Immune checkpoint inhibitors targeting the PD1/PDL1 pathway are a new standard of care in the treatment of NSCLC
- In the first line setting selection of patients using PDL1 IHC is required
- Combination therapies with either anti-CTLA4 antibodies or chemotherapy in the first line setting, and in patients with oncogene driver mutations, are promising
- Better biomarkers to select patients, particularly with poor prognostic features, are required